

Heat Exchanger Networks, Benchmark Solutions for Literature Problems.

Author: Daniel Declercq

daniel.declercq@pinchco.com

Keywords: pinch analysis, heat exchanger network synthesis, benchmarks

This note is a summary of benchmark solutions for literature problems. A series of small networks with 2 hot streams and 2 cold streams has already been published on the Pinchco website [1].

The approach is based on simple procedures:

- Pinch analysis with, in case of unequal heat transfer coefficients, crisscross optimisation prior to design,
- Generation of the stream grid and transfer to an LP program for network synthesis,
- Simplification of the grid by reducing the number of integration bands (in the scientific literature known as “superstructures”) and generation of an initial network,
- Elimination of the smallest heat exchanger units via loops between adjacent bands,
- Transfer of the results into a network optimisation program using incremental evolution and smart optimisation procedures, and heuristics to further reduce the annual cost by reducing the number of units and optimising the stream splits,
- Alternatively, network design following the tick-off procedure, combined with smart optimisation procedures and heuristics.

Smart optimisation procedures and heuristics have been explained on the Pinchco website [2].

Supporting software is written in Visual Basic for Excel.

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1 Example from Adjiman.

Example 1 is from Adjiman et al. [3]. It has also been studied by Faria et al. [4], Lin et al. [5], Rezaei et al. [6], Aguitoni et al. [7], [8], Chang et al. [9] and Caballero et al. [10].

The data set is given in Table 1.1 hereunder.

Table 1.1

Tsupply	Ttarget	Heat	DT-Shift	U	Descript
K	K	kW	K	kW/K,m ²	-
650	370	2800		1.000	H1
590	370	4400		1.000	H2
410	650	3600		1.000	C1
350	500	1950		1.000	C2
680	680	460		5.000	Heating
300	320	2110		1.000	Cooling

Cost data

Heating : 80 \$ /kW,year Cooling : 15 \$/kW,year

HEX cost : 5500 + 150 x Area \$/year

Composite Curves are shown in Figure 1.1. Heating load in the table correspond with the heating load for minimum cost in the trade-off curves of Figure 1.2 in classic pinch analysis (2 systems with segregation at the pinch) as well as for a network with 1 system. The corresponding DTMin would be 10.66 K. Target cost for a network with 2 systems (pinch network with 6 units) is 151,073 \$/year, respectively 145,573 \$/year for a network with 1 system (5 units).

Design rules of pinch analysis will lead to a network with 6 units and an annual cost of 157,219 \$, which after incremental evolution drops to 154,853 \$ (Figure 1.3). Application of LP on the grid of the analysis (6 bands) generates a network with 11 units; this network is caught in a local optimum. Distortion of the solution space enables to get out of this suboptimum and the network evolves to the same network as with pinch design rules. Further distortion of the solution space leads to a network with 5 units and a cost of 154,431 \$/year (Figure 1.4). Results can be compared in Table 1.2.

Table 1.2	Heating	Area	# of units	splits	Cost
	kW	m ²	#	#	k\$/year
Adjiman	460.0	356.95	6	0	154.993
Faria	491.1	336.71	6	0	154.911
Rezaei	500.0	342.34	6	0	154.997
Aguitoni [7]	479.3	343.79	6	0	154.853
Aguitoni [8]	523.6	349.60	5	0	154.432
Chang	490.6	337.02	6	0	154.911
Caballero *)	526.2	347.98	5	0	154.436
This study a	479.0	343.98	6	0	154.853
This study b	523.1	349.91	5	0	154.431

*) revised

The optimum results are the same as those obtained by Aguitoni, however, using much simpler procedures. The network of Caballero has the same structure as the optimum network, but a marginally higher heating load.

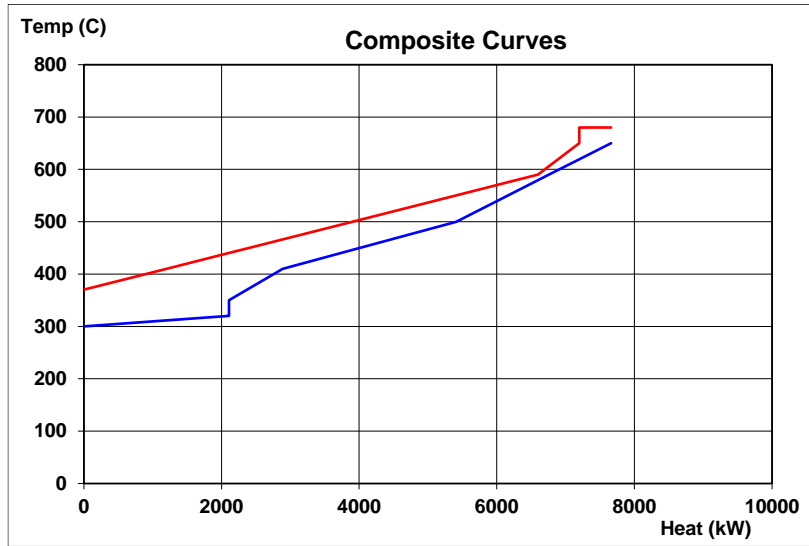


Figure 1.1

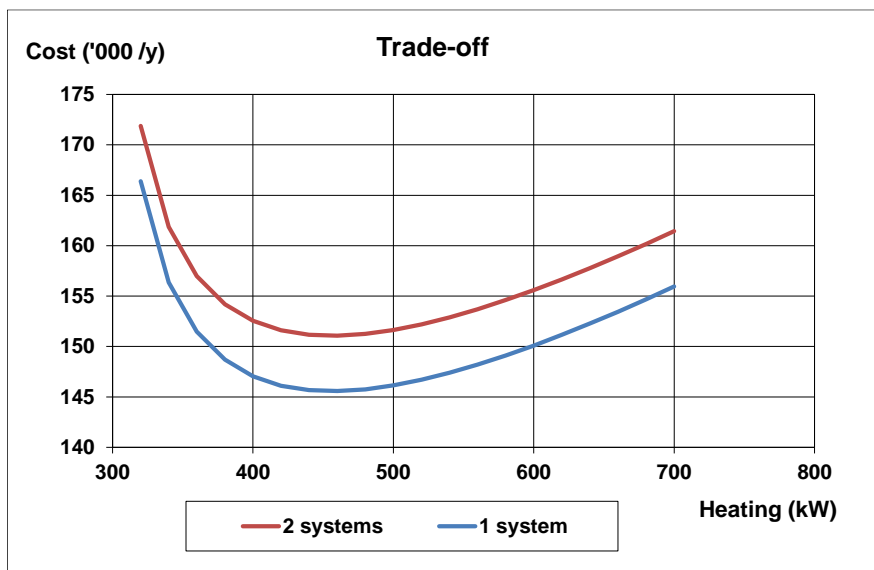


Figure 1.2

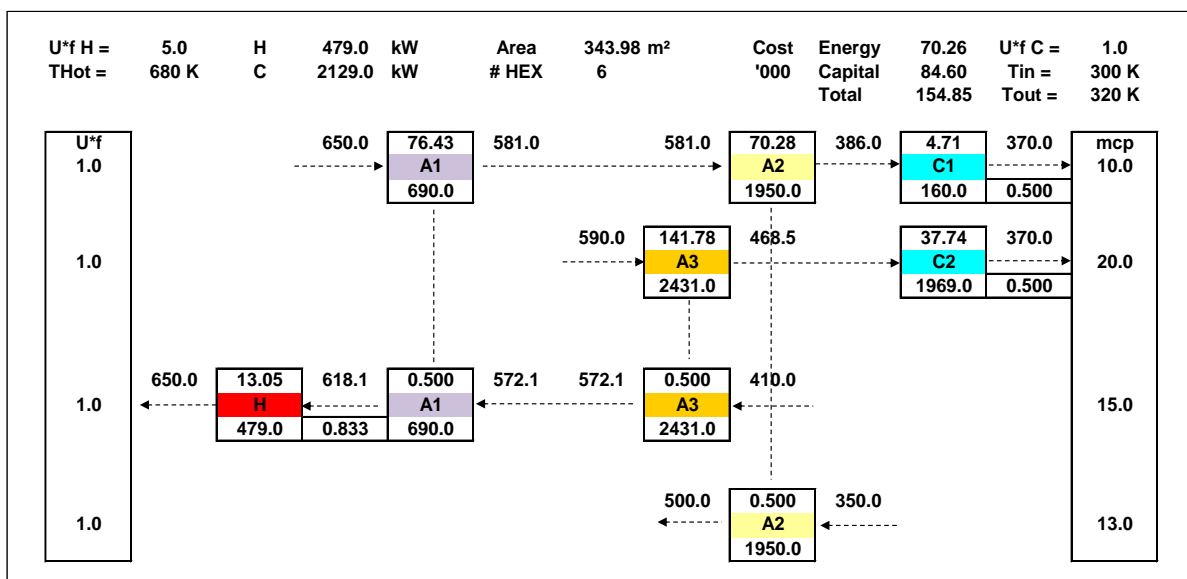


Figure 1.3

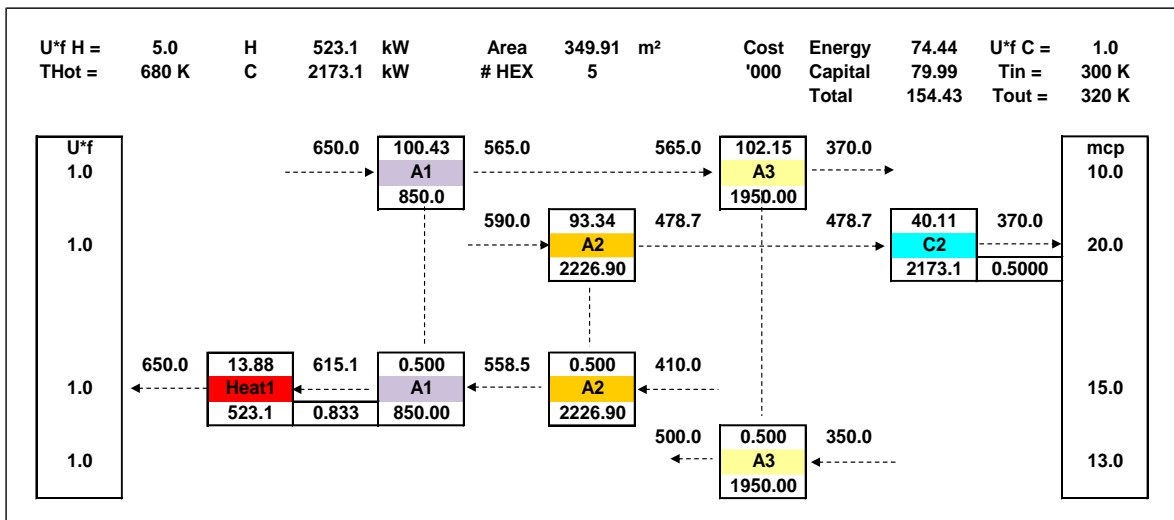


Figure 1.4

2 Example from Ahmad and from Gundersen & Grossmann

This example has been treated many times and is interesting for several reasons: the heat transfer coefficients of the various process streams are very unequal, the stream data structure has been explored with small heat loads, with mid-size heat loads and with large heat loads. This example problem was defined by Jegede et al. as one of the most difficult practical problems for targeting purposes [11].

2.1 The small-size problem

2.1.1 The original data set

This data set was treated in Suhail Ahmad [12], Xin X. Zhu [13] and [15] and X. X. Zhu et al. [14]. It was also studied by the author in [16].

Analysis was done with the original data set from Ahmad (Table 2.1).

Table 2.1

Tsupply	Ttarget	Heat	Shift	U	Description
°C	°C	kW	K	kW/m²,K	-
159	77	187.37	2.0	0.10	H1
267	80	38.15	13.0	0.04	H2
343	90	136.11	-6.0	0.50	H3
26	127	94.23	58.0	0.01	C1
118	265	288.27		0.50	C2
300	300	159.00	41.0	0.05	Heating
20	60	138.13		0.20	Cooling

Cost data

Heating : 110.0 EUR/kW,year Cooling : 10.0 \$/kW,year

Hex cost : $3800 + 750 \cdot \text{Area}^{0.83}$ \$

Life time: 6 years, interest 10% Annuity: 22.96%

Annual Hex cost : $872.51 + 172.21 \cdot \text{Area}^{0.83}$ \$/year

Assuming steam for the hot utility, the temperature level would correspond with a saturation pressure of 85.9 bar, which would assume a steam distribution system at a pressure of 90 bar.

The shift values in Table 2.1 were obtained by crisscross optimisation prior to design; the heat load was chosen from the trade-off curve in Figure 2.1.

Results of the analysis can be compared in Table 2.2. The best network is shown in Figure 2.2.

	Heating	Area	units	splits	Cost
	kW	m ²	#	#	'000 \$/y
Ahmad	145.7	292.53	9	0	52.251
Zhu	169.2	242.30	6	2	46.552
This study	145.3	255.03	7	3	46.0672
	141.9	262.03	7	2	46.0675
	156.5	251.96	6	2	46.219
	144.3	280.69	7	1	46.275
	169.2	235.10	7	0	47.022

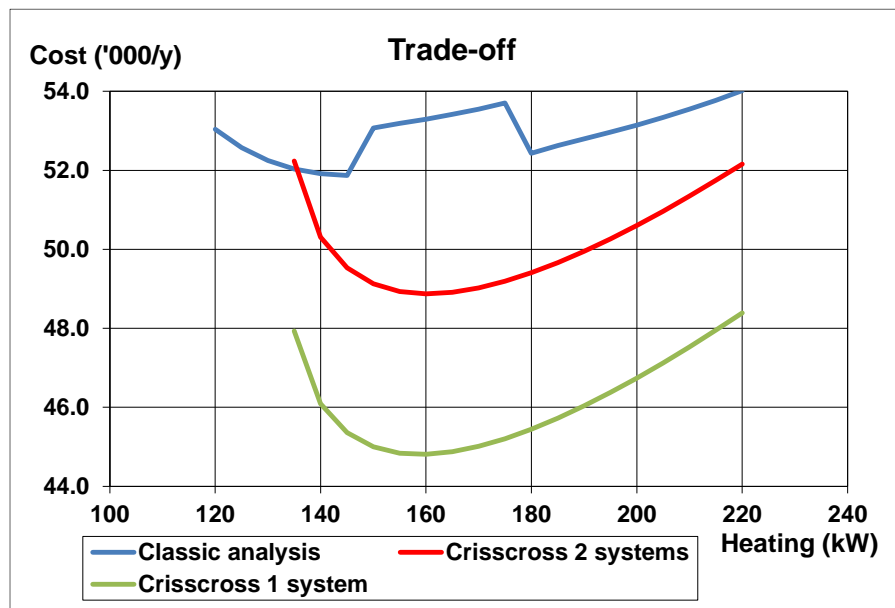


Figure 2.1

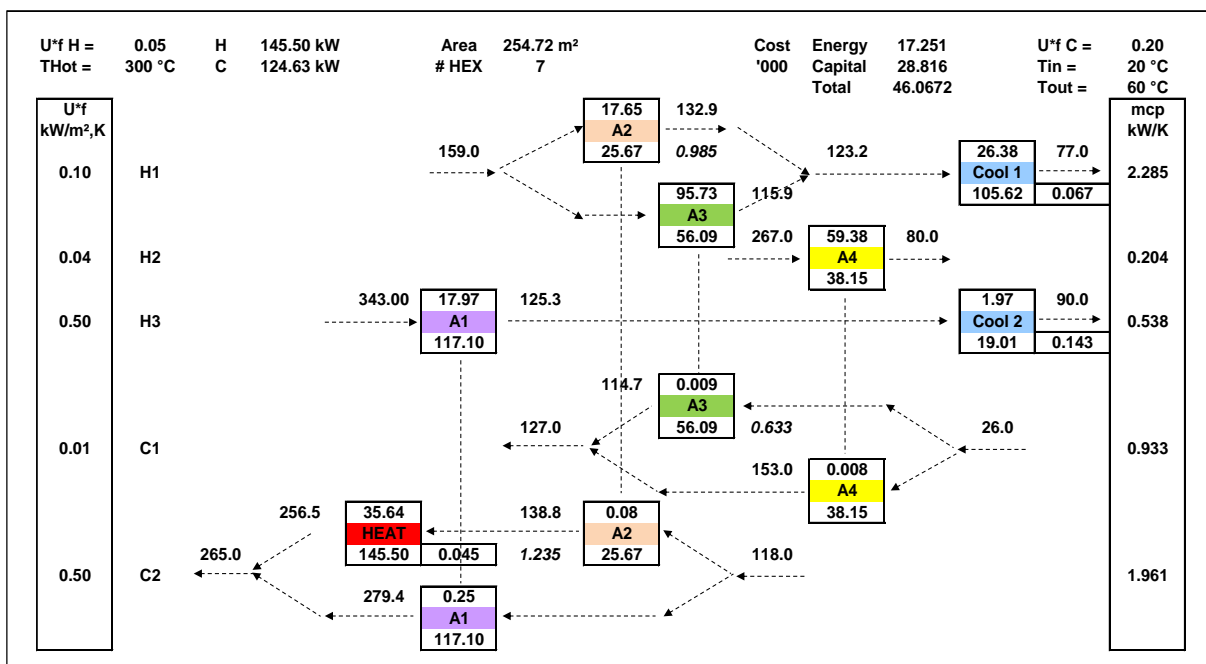


Figure 2.2

2.1.2 A second cost data set

The small-scale problem was also analysed with a different exchanger cost data set: HEX cost formula: $7400 + 80 \cdot \text{Area}$ \$/year. This second data set was used by Adjiman et al. [17], Bergamini et al. [18], F. Pettersson [19], Bogataj et al. [20], Chang et al. [9], Caballero et al. [10] and Cerdá et al. [22].

The trade-off curves for the second data set are shown in Figure 2.3. The shape of the curves is the same as for the first cost data set, the level, however, is different.

Based on the grid, generated by the analysis, several initial networks can be developed using LP after reducing the number of integration bands to between 6 and 3.

The minimum number of units with 1 independent system is 6. Such network has a cost of 83.12 k\$/year and is shown in Figure 2.4. With the relatively high fix cost in the exchanger cost function, there might be interest in further reducing the number of units. This is only possible by creating a second independent system, which can be done by removing exchanger A4 in Figure 2.4 and adjusting heating and cooling loads and the split ratio on cold stream C2. The resulting network has a cost of 79.99 k\$/year and is shown in Figure 2.5. There are other possibilities to create two independent systems, with a higher cost, however.

The optimum network shown in Figure 2.5 can also be obtained by application of the simple heuristic rule “satisfy the smallest heat load first”. This would lead to the following steps:

- smallest: load on H2 (38.15 kW) satisfied with A1 on branch of C1
- next: 2nd branch of C1 (56.09 kW) satisfied with A2 on cold side H3
- next: hot side H3 (80.03 kW) satisfied with A3 on branch of C2
- next: load on H1 (187.37 kW) satisfied with Cooling
- next: 2nd branch of C2 (208.24 kW) satisfied with Heating.

Analysis of the data set prior to design reveals other possibilities. With the objective of achieving a minimum number of units (in view of the high exchanger fix unit cost), cooling of at least 138.13 kW (from Table 2.1) should be achieved with one single cooling unit. This is only possible with a cooler on hot stream H1 since the cooling demand cannot be satisfied with one single cooler on the other hot streams. Furthermore, such cooler on hot streams H2 and H3 would create a significant energy penalty to start with. With this assumption, an initial network automatically develops into the network in Figure 2.4. Further evolution, eliminating heat exchanger A4 leads to the optimum network in Figure 2.5. With a cooler load of 187.37 kW on H1, an initial network develops immediately into the optimum network of Figure 2.5. The network of Caballero et al. has the optimum structure and fine-tuning results in minimum cost.

Results can be compared in Table 2.3.

Table 2.3

	Heating kW	Area m ²	units #	splits #	Cost '000 \$/y
Zamora	246.39	213.03	5	0	83.40
Adjiman 1°	246.39	200.72	5	0	82.42
Bergamini	246.39	213.03	5	0	83.40
Petterson (iso)	208.24	239.75	5	1	80.96
Bogataj (iso)	208.24	239.75	5	1	80.96
Chang (iso)	208.24	239.75	5	1	80.96
Caballero et al. 1°	208.2	227.80	5	2	80.00
Cerda et al. (non-iso)	208.24	238.35	5	1	80.85
This study					
non-iso	157.00	251.12	6	2	83.12
iso	208.24	232.49	5	2	80.36
non-iso	208.24	227.64	5	2	79.99

1° corresponds with the optimum solution after fine-tuning by the author

Figure 2.3

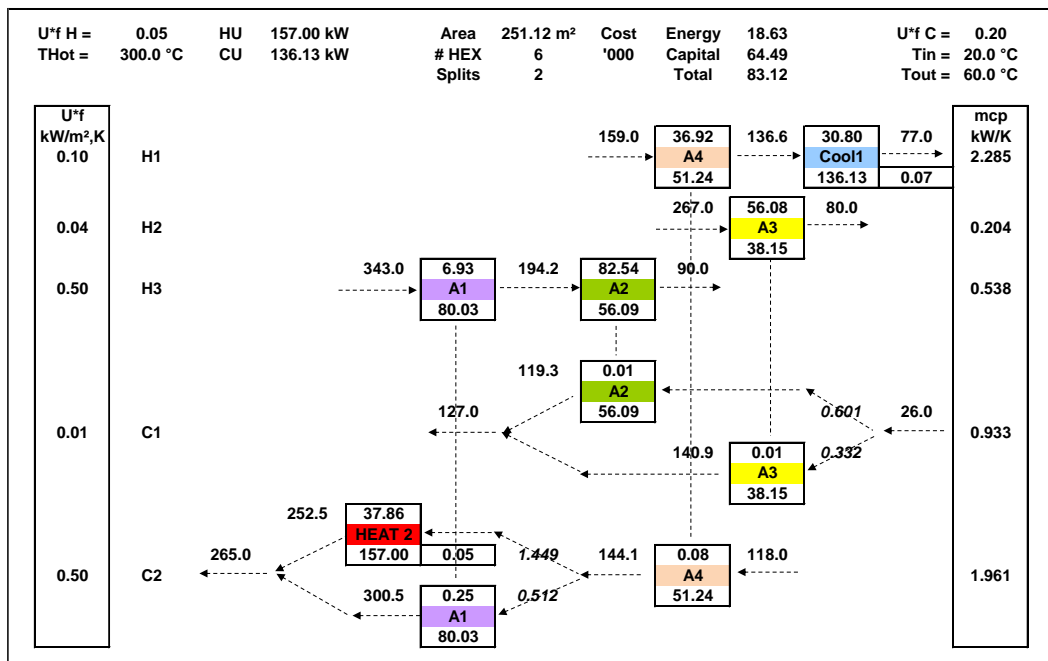
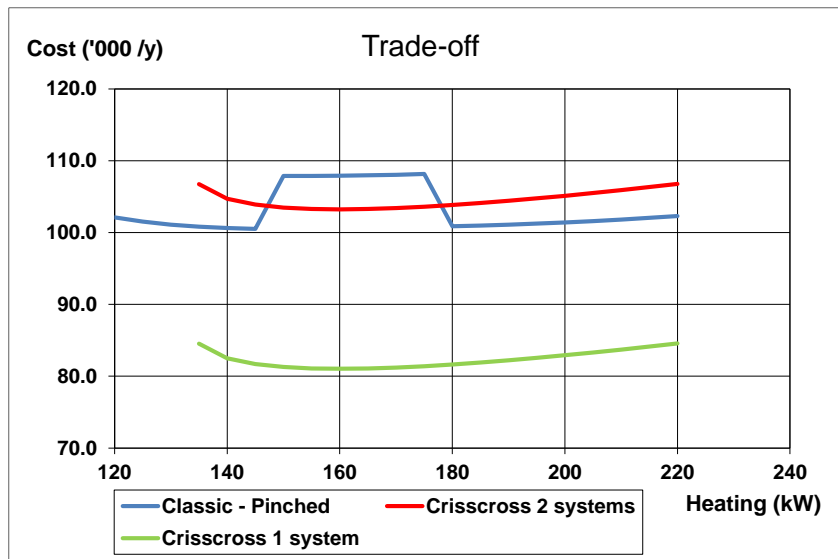


Figure 2.4

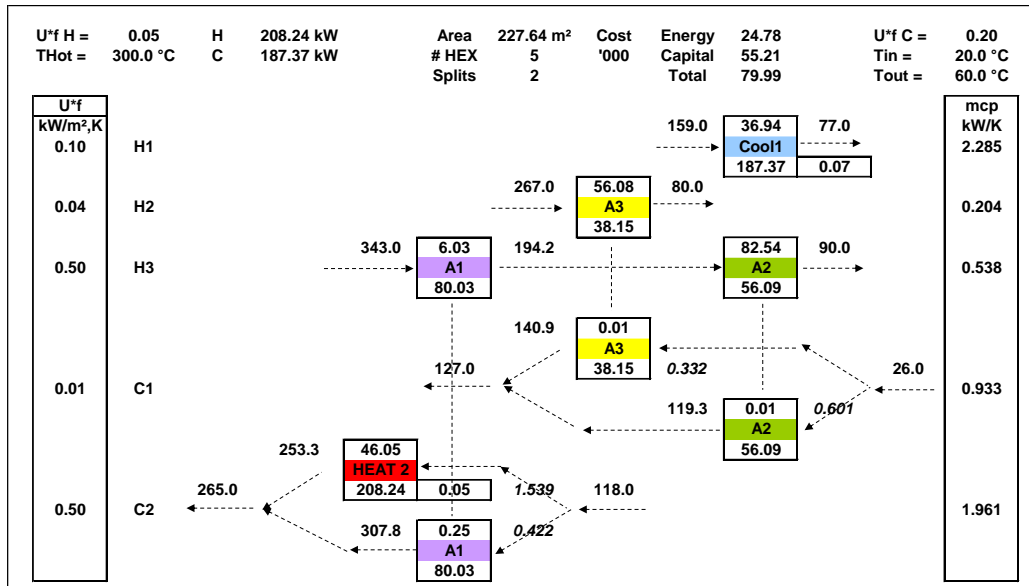


Figure 2.5

2.2 The mid-size problem

The mid-size case was studied by the author in [23]. The data of the process streams are shown in Table 2.4. The heat capacity flow rates are a factor of 10 larger than in the small-size case.

Table 2.4

	Tsupply	Ttarget	Heat	DT-Shift	U*f	Descript
	°C	°C	kW	K	kW/K,m ²	-
	159	77	1873.70	9	0.10	H1
	267	80	381.48	21	0.04	H2
	343	90	1361.14	0	0.50	H3
	26	127	942.33	63	0.01	C1
	118	265	2882.67	0	0.50	C2
	300	300	1675.00	47	0.05	Heating
	20	60	1466.32	0	0.20	Cooling

The shift values in Table 2.4 were obtained by crisscross optimisation prior to design. The heat load of 1675 kW has been chosen from the shape of the trade-off curves in Figure 2.6, based on the first cost data set as mentioned below.

2.2.1 A first cost data set

The problem was studied with a first cost dataset by S. Ahmad [24]. It was also studied by Rev & Fonio [25] and Serna and Jiménez [26], without cost calculations, however, and by Serna et al. [27], with different area cost values.

The following cost parameters were used by Ahmad:

- Hot utility 300°C - 299°C, Uhot 0.05 kW/m²,K, Cost 110 \$/kW,year
- Cold utility 20°C - 60°C, Ucold 0.20 kW/m²,K, Cost 10 \$/kW,year
- HEX cost formula: $874.0 + 438.15 \cdot \text{Area}^{0.78}$ \$/year.

The grids from classic pinch analysis and from the crisscross procedure with respectively 11 and 10 vertical integration bands can be simplified and reduced to 6 bands without affecting the nature of the problem. The resulting grids are shown in Table 2.6.

From the grid diagram of the classic approach, it appears that a heater shall be allocated to cold stream C2 only. In the crisscross case, a heater will be put on cold stream C2 as well as on cold stream C1 because the heating utility and C1 lay in a common integration band. The optimum design worked out from the crisscross-grid with 9 units and a cost of 460.72 k\$/year is shown in Figure 2.7. This network can be further developed into the network of Figure 2.8 with 8 units and a cost of 460.78 k\$/year with a negligible cost penalty. Finally, deleting the heater on cold stream C1 will lead to the network in Figure 2.9 with 7 units and a cost of 463.60 k\$, which means a cost penalty of only 0.63%. A couple of swaps, enabling the removal of the cooler on hot stream H3 lead to the network of Figure 2.10 with 6 units and a cost of 470.74 k\$.

Analysis of the differences between the classic and the crisscross approach and of the impact of different starting values for the initial network leads to the following insight.

The optimum network shows a heater on cold stream C1 and a cooler on hot stream H3, each of which might be missing following the classic approach.

A heater will appear on cold stream C1 only if crisscross is applied to such an extent that the heating utility and the cold stream C1 come into a common integration band. Referring to Table 2.4 where shift values are optimized for minimum area, a heater will appear on cold stream C1 for heating loads of 1400 kW onwards. The trade-off suggested a heating load of 1675 kW and, indeed, this input generates a heater on cold streams C1 and C2 in the initial network.

A cooler will appear on hot stream H3 as soon as cooling down hot stream H3 with cold utility becomes attractive versus cooling it down with cold stream C1. This is achieved automatically in case of crisscross for heating loads of 1200 kW onwards. For lower heating loads, cold stream C1 does not move out of the cooling band automatically and no cooler will appear on hot stream H3. In the classic approach, a cooler will never appear on hot stream H3 automatically.

Results can be compared in Table 2.5

Table 2.5	Heating	Area	units	splits	Cost
	kW	m ²	#	#	'000 \$/y
Ahmad	1456.70	2600.12	9	3	503.71
This study	1621.14	2255.60	9	1	460.72
	1629.00	2281.16	8	1	460.78
	1457.70	2790.74	7	1	463.60
	1715.90	2341.45	6	2	470.74

Table 2.6

Process : 3H2C				Version : Classic												
	area	#HEX	AreaCost													
Heatit	2690.82	9	340.24													
Design	2734.22	15	342.02													
N°	Tsupply °C	Ttarget °C	Heat kW	Shift K	Description -	U*f kW/m²,K	Bands	1	2	3	4	5	6			
6	300	299	1675.00		Heating	0.050		300.00	299.00							
1	159	77	1873.70		H1	0.100					159.00	138.43	77.00			
2	267	80	381.48		H2	0.040			267.00	183.13	159.00	138.43	80.00			
3	343	90	1361.14		H3	0.500	343.00	300.01	299.00	183.13	159.00	138.43	90.00			
4	26	127	942.33		C1	0.010				127.00	126.72	60.00	26.00			
5	118	265	2882.67		C2	0.500	265.00	253.21	167.51	127.00	118.00					
7	20	60	1466.32		Cooling	0.200						60.00	20.00			

Process : 3H2C				Version : Crisscross												
	area	#HEX	AreaCost													
Heatit	2101.73	9	285.25													
Design	2046.18	17	306.37													
N°	Tsupply °C	Ttarget °C	Heat kW	Shift K	Description -	U*f kW/m²,K	Bands	1	2	3	4	5	6			
6	300	299	1675.00	47	Heating	0.050		300.00	299.00							
1	159	77	1873.70	9	H1	0.100				159.00	137.68	128.74	77.00			
2	267	80	381.48	21	H2	0.040			267.00	171.00	149.68	140.74	80.00			
3	343	90	1361.14	0	H3	0.500	343.00	253.01	252.00	150.00	128.68	119.74	90.00			
4	26	127	942.33	63	C1	0.010		127.00	103.03	77.30	55.00	26.00				
5	118	265	2882.67	0	C2	0.500	265.00	240.31	166.03	140.30	118.00					
7	20	60	1466.32	0	Cooling	0.200						60.00	20.00			

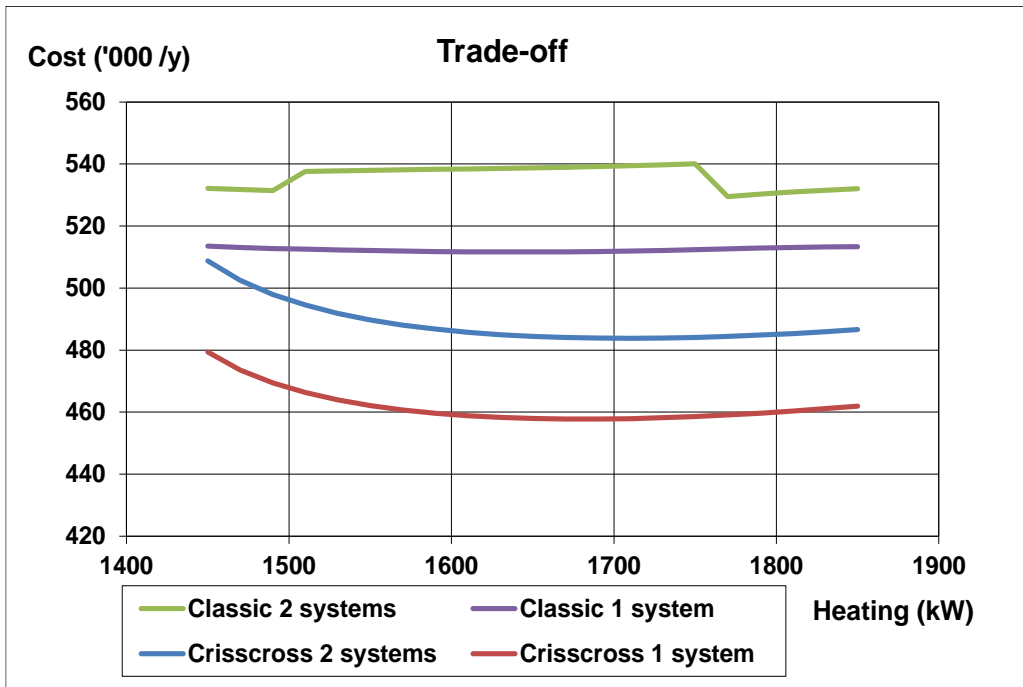


Figure 2.6

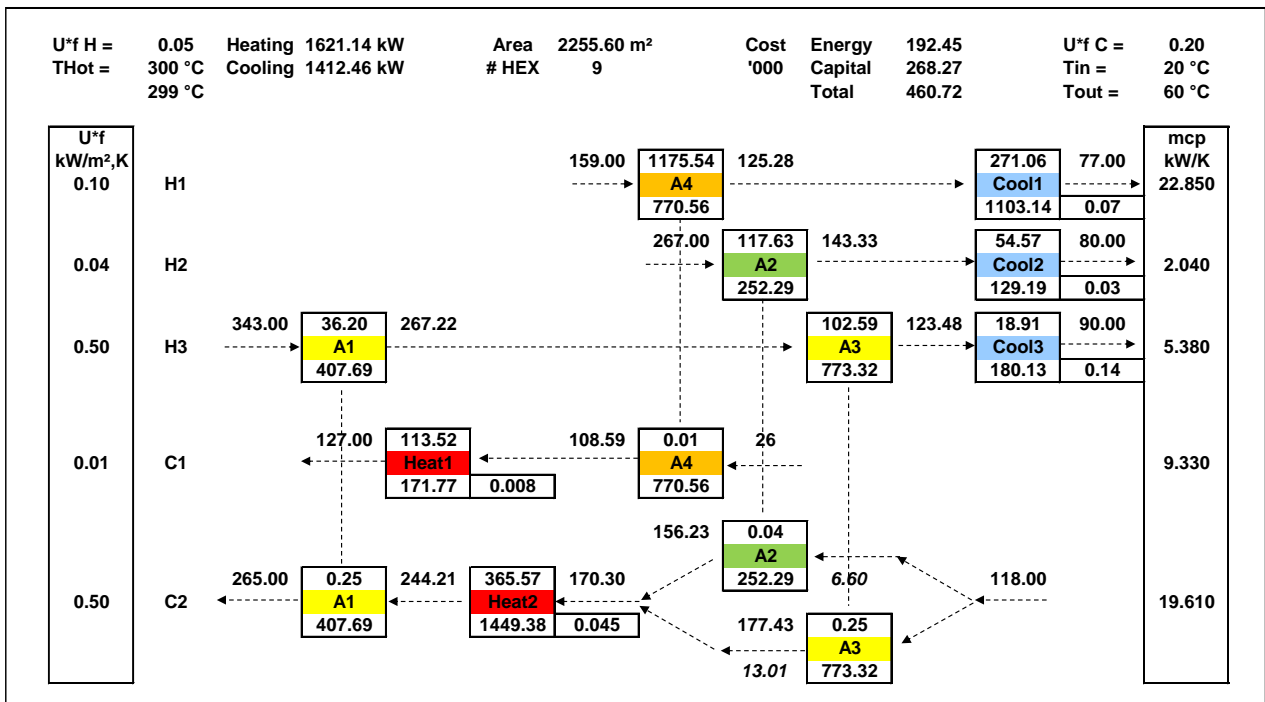


Figure 2.7

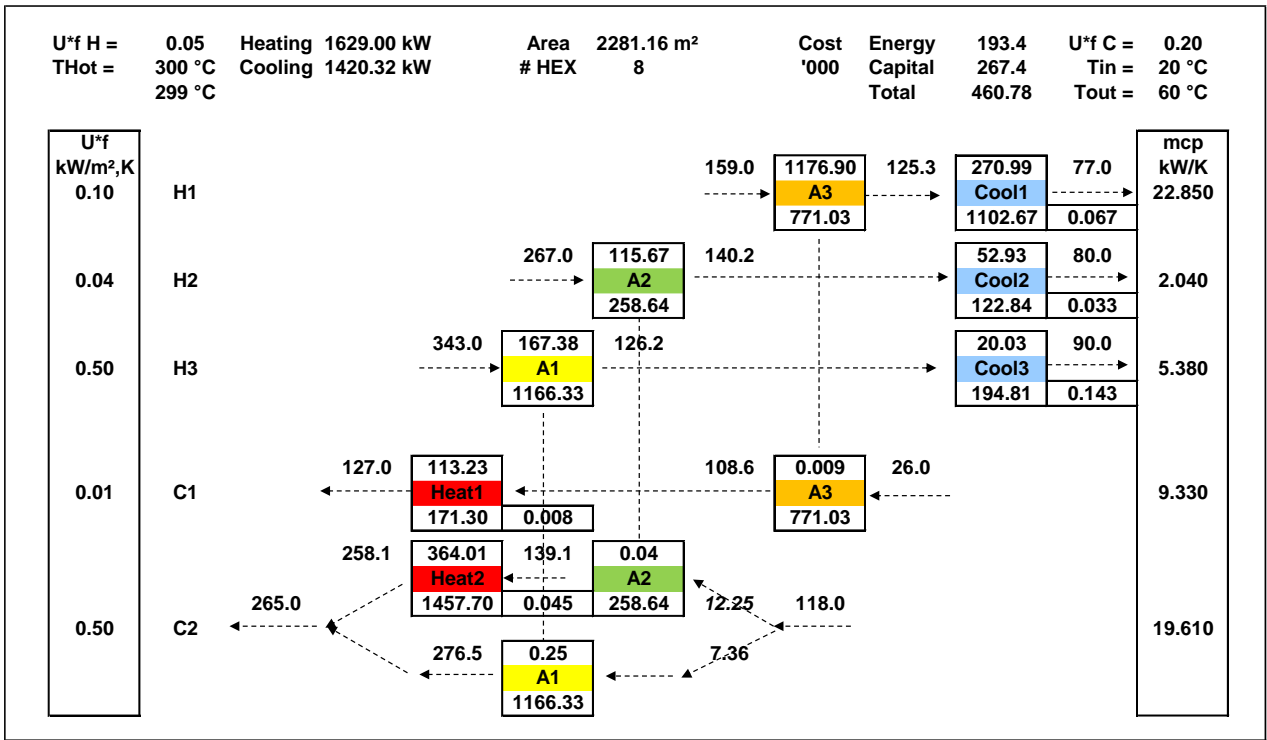


Figure 2.8

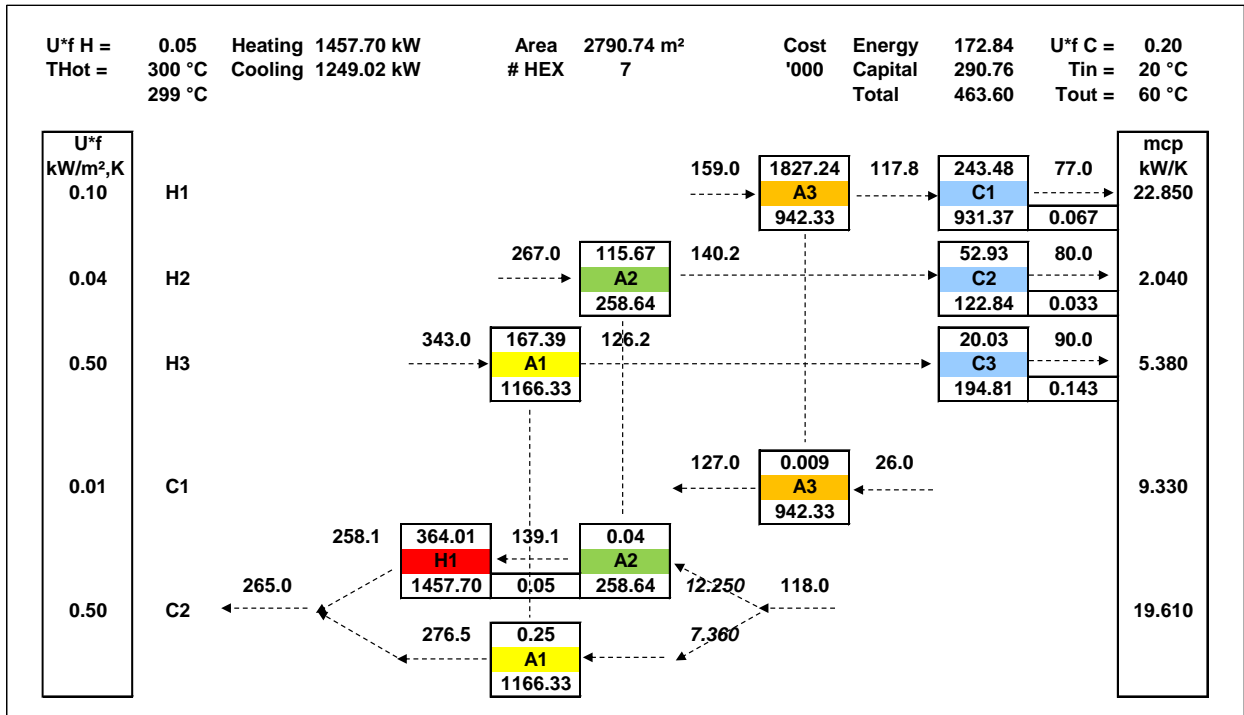


Figure 2.9

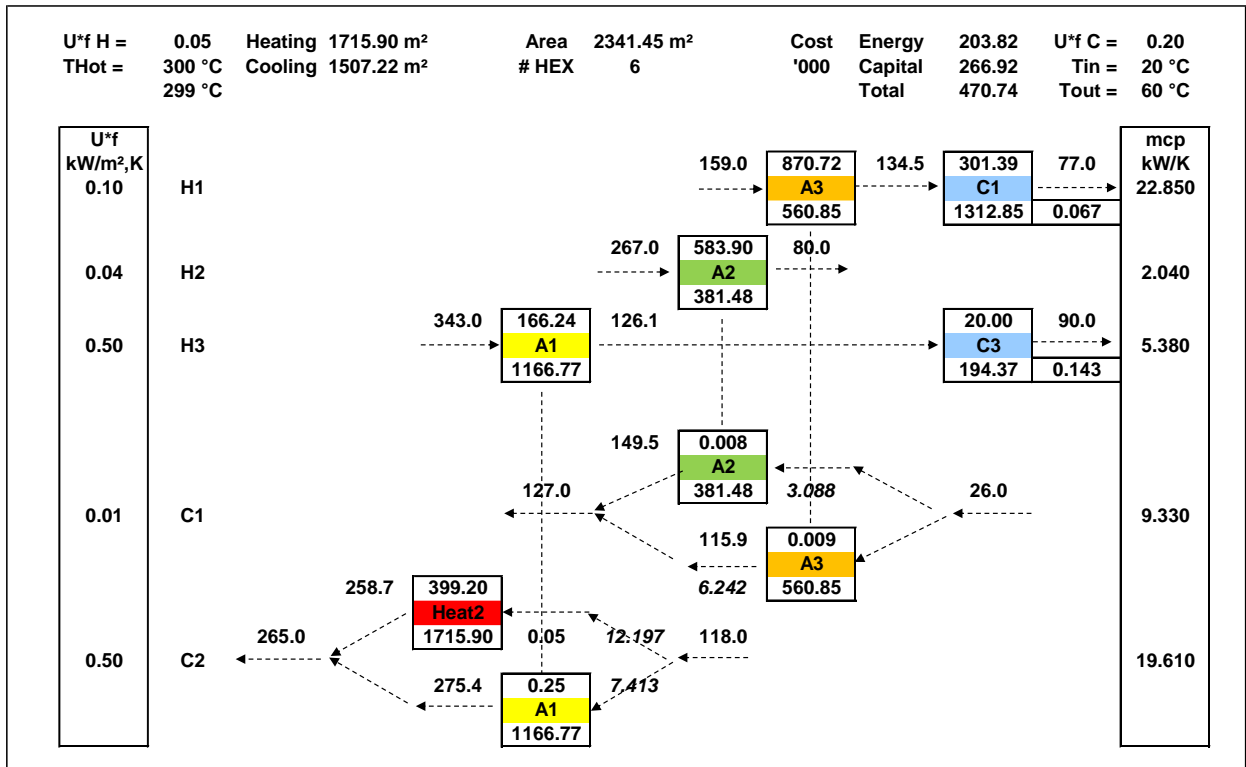


Figure 2.10

2.2.2 A second cost data set

This stream configuration was also used by Ning Jiang et al. [29], with the following cost data:

- Hot utility 300°C - 299°C, Uhot 0.05 kW/m²,K, Cost 80 \$/kW,year
- Cold utility 20°C - 60°C, Ucold 0.20 kW/m²,K, Cost 20 \$/kW,year
- HEX cost formula: 1300*Area^{0.60} \$/year.

The trade-off curve is shown in Figure 2.11.

The design approach by Jiang et al. was based on the diverse pinch concept, developed by Ahmad, a methodology also followed by Rev and Fonio and by Serna et al. The approach in the present study was based on pinch analysis with crisscross optimisation prior to design.

The network developed here has 7 units for a cost of 411.64 k\$/year and is shown in Figure 2.12. Results can be compared in Table 2.7.

Table 2.7	Heating	Area	units	splits	Cost
	kW	m ²	#	#	'000 \$/y
Jiang	2067.88	1842.31	11	3	478.20
This study	1465.00	2784.91	7	1	411.64

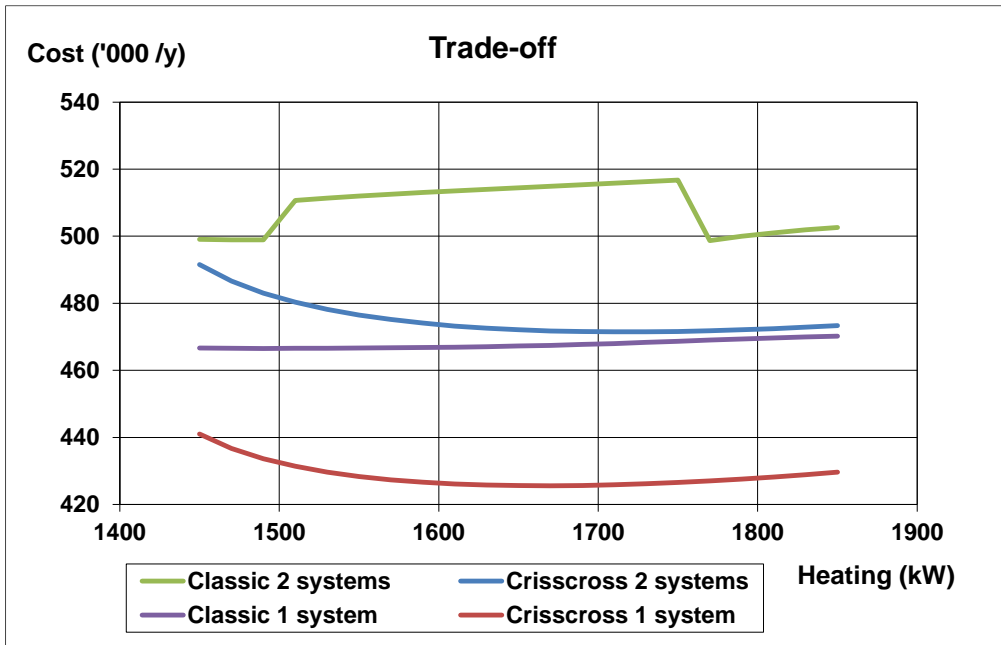


Figure 2.11

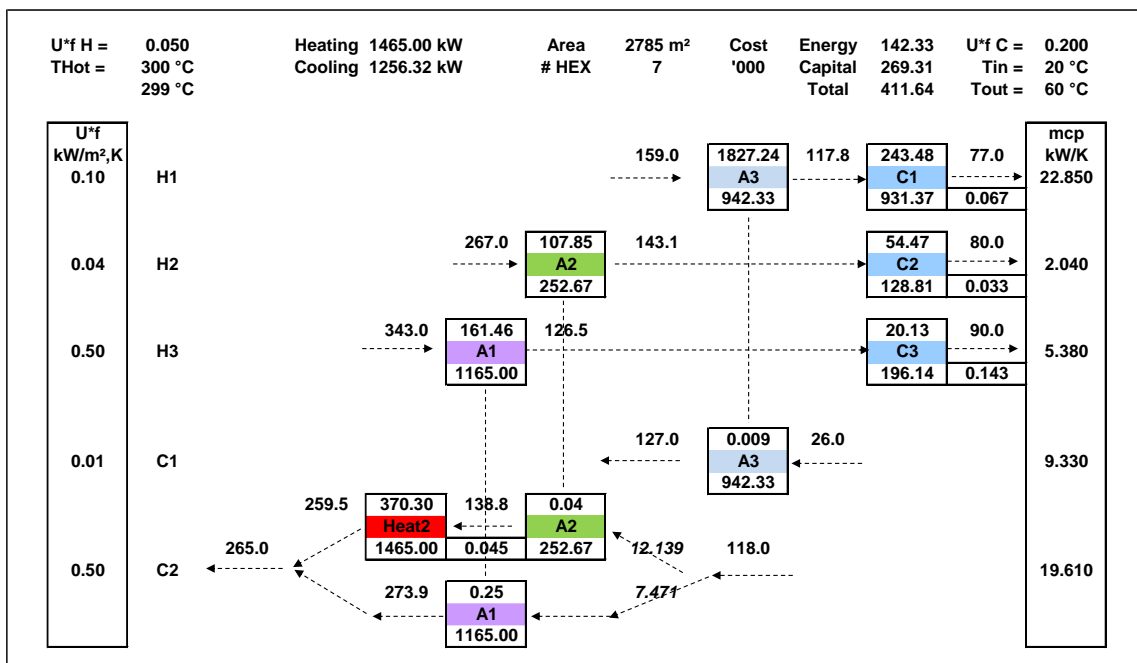


Figure 2.1

2.3 The large-size problem

2.3.1 Data set from Gundersen et al.

The data set of the large-size problem treated here was taken from T. Gundersen et al. [29]. It was also used by Miguel Velazquez [30].

The data set is given in Table 2.8 with shift values optimised with the crisscross procedure for minimum surface area. The heat transfer coefficients are not different from each other with an order of magnitude as in the small and medium-sized problem, so, the shift values are much smaller. The challenge of dealing with unequal heat transfer coefficients is no longer present.

Table 2.8

Tsupply	Ttarget	Heat	DT-Shift	U*f	Descript
°C	°C	kW	K	kW/K,m ²	-
159	77	18737	-1.0	0.40	H1
267	88	3652	0.0	0.30	H2
343	90	13611	1.0	0.25	H3
26	127	9423	6.0	0.15	C1
118	265	28827	0.0	0.50	C2
376	376	10050	0.0	1.00	Heating
15	30	7800	0.0	0.60	Cooling

Cost data

Heating : 110 /kW,year Cooling : 10/kW,year

HEX Cost : $8600 + 670 \times \text{Area}^{0.83}$ \$ Annuity factor : 0.3221

The heating load has been chosen on the basis of the trade-off curves, illustrated in Figure 2.13, as the average between the two minimums and would correspond with an overall DT_{Min} of 7°C in classic pinch analysis.

The authors in the paper by Andres Barbaro et al. [31] refer to the same problem as above; the stream data set has the same structure, but heat loads and heat transfer coefficients are smaller with a factor 3.6, which would lead to similar exchanger areas. HEX costs are the same, but energy prices are not mentioned; to restore congruency, energy prices should be increased by a factor of 3.6. Hence, it was assumed that the latter authors' objective was to study the same problem as presented by Gundersen and Grossmann.

The hot utility temperature of 376°C is higher than the hottest hot stream, which simplifies the design task significantly. It should be noted, however, that a hot utility with a condensing temperature of 376°C is unusual, the critical point of steam being at 375°C. It is unclear which kind of utility was considered.

Results and comparison of solutions are shown in Table 2.9.

The networks of this study are shown in Figure 2.14 and Figure 2.15 for respectively 9 and 8 heat exchanger units. The difference in the structure of the solutions is limited.

Table 2.9	Heating	Area	units	splits	Cost
	kW	m ²	#	#	'000 \$/y
Gundersen et al. °)	10465.2	7178.63	8	3	1748.00
Barbaro et al.	10465.2	6994.35	8	3	1739.12
Velazquez	12411.0	5455.16	8	1	1862.86
This study	10067.0	7948.23	9	2	1722.05
	10090.0	7988.61	8	3	1722.08

°) isothermal splits

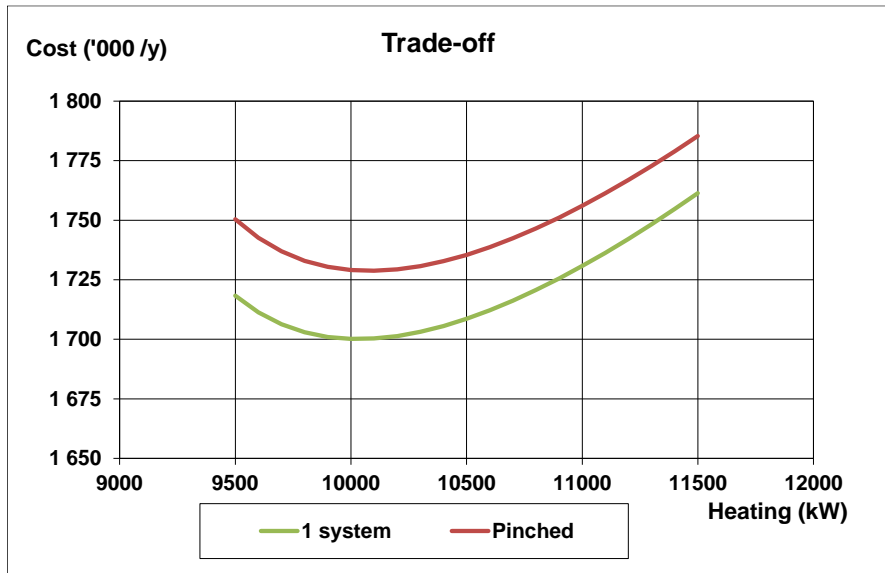


Figure 2.13

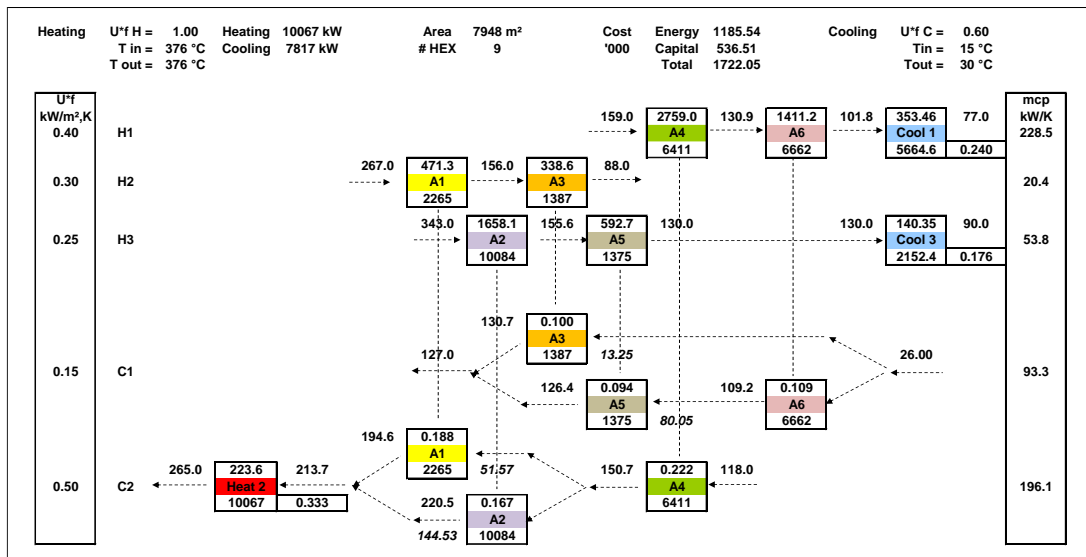


Figure 2.14

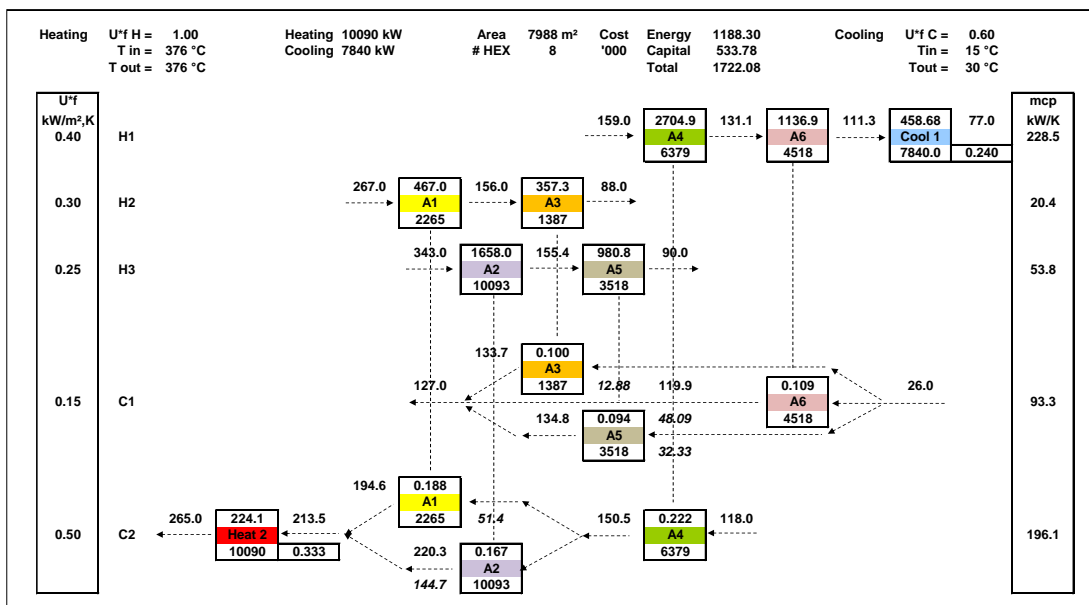


Figure 2.15

2.3.2 Dataset from Kim et al.

Later authors have used different utility and investment cost data as follows:

- Hot utility 500°C - 499°C, U_{hot} 0.53 kW/m²,K, Cost 100 \$/kW,year
- Cold utility 20°C - 40°C, U_{cold} 0.53 kW/m²,K, Cost 10 \$/kW,year
- HEX cost formula: $25000 + 55 * \text{Area}$ \$/year.

Among those authors are: Sung Young Kim et al. [32], Pavão et al. [33], Chang et al. [9] and Caballero et al. [10]. As was already the case in the previous utilities' data set, a condensing temperature of 500°C is unusual. It simplifies the generation of a network but becomes an academic exercise, unfortunately without any practical industrial significance.

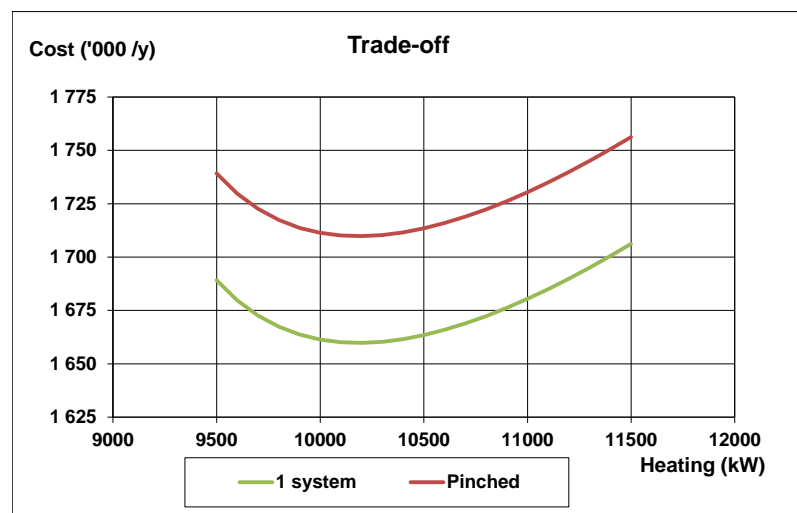
The trade-off curve is shown in Figure 2.16. The results are shown in Table 2.10.

		Heating	Area	units	splits	Cost
		kW	m ²	#	#	'000 \$/y
Kim	1°	11700.0	5703.21	8	2	1778.18
Pavao et al.	2°	10316.7	7482.10	8	3	1723.85
Chang et al.	2°	11299.2	6145.87	8	3	1758.38
Caballero et al.	3°	10453.3	7321.71	8	2	1730.06
This study	2°	10316.6	7482.26	8	3	1723.85
	3°	10374.0	7463.79	8	2	1729.15
		10339.0	7512.14	9	1	1752.96

1° revised by author
 2° Networks with similar structure
 3° Networks with similar structure

The network with the lowest cost of 1723.85 k\$/year is shown in Figure 2.17. It has an area of 7482.26 m², 8 units and 3 splits. A network with the same structure was developed by Pavao et al. and by Chang et al., the latter, however, with iso-thermal splits. A network with only 2 splits and a cost of 1729.15 k\$/year is shown in Figure 2.18. It should be noted that the cost of splits has not been accounted for. The additional cost is only 0.31% and having a cooler on hot stream H2 might be an operational advantage. A network with only 1 split is shown in Figure 2.19. It has 9 units, also a cooler on hot stream H3 and has a cost of 1752.96 k\$/year. The difference in energy consumption between the 3 alternatives is negligible. Many alternative networks can be developed with a cost within a range of 2% above the theoretical best alternative.

Figure 2.16



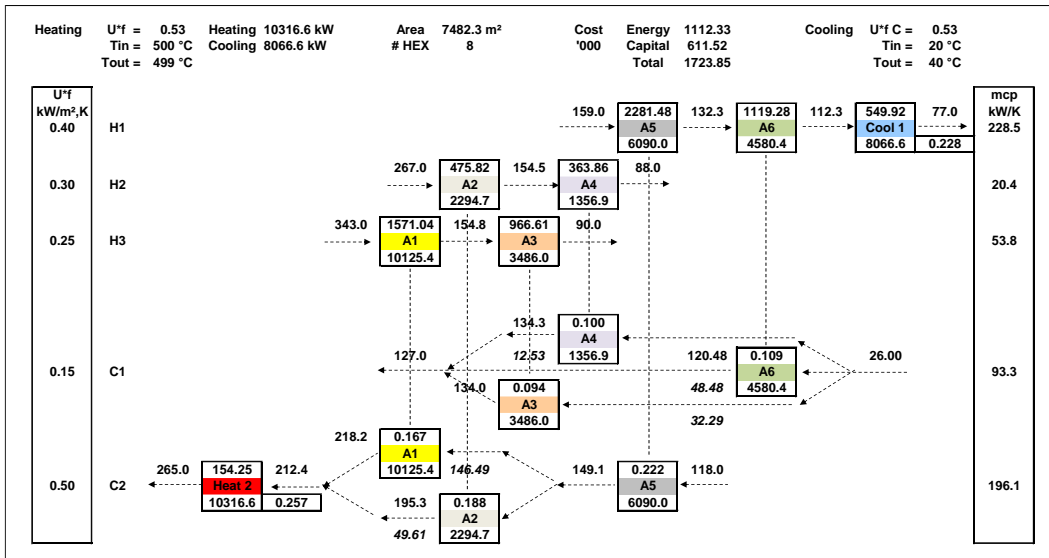


Figure 2.17

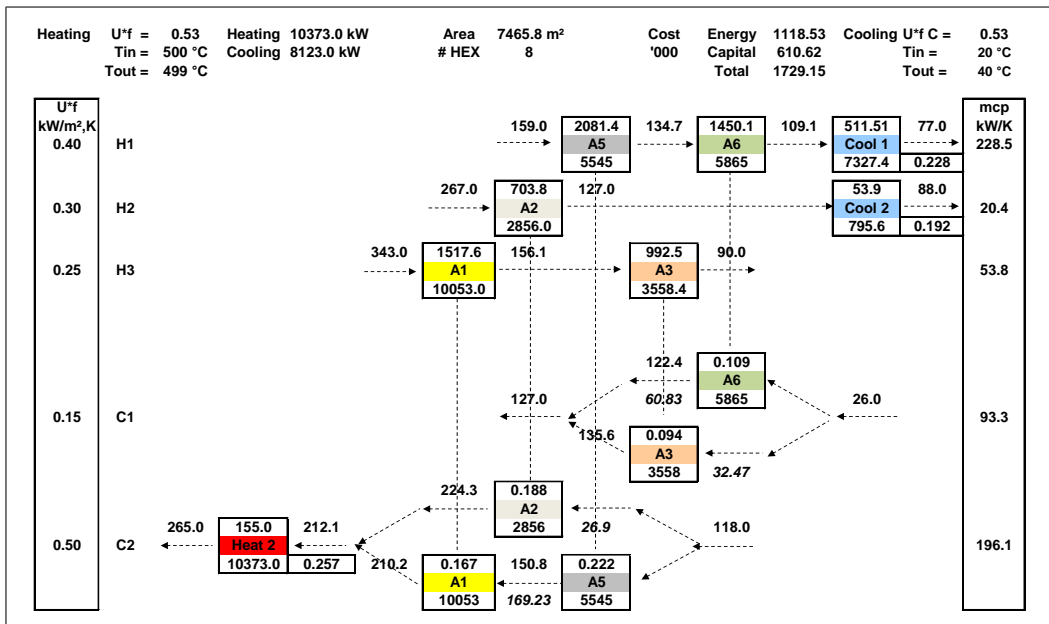


Figure 2.18

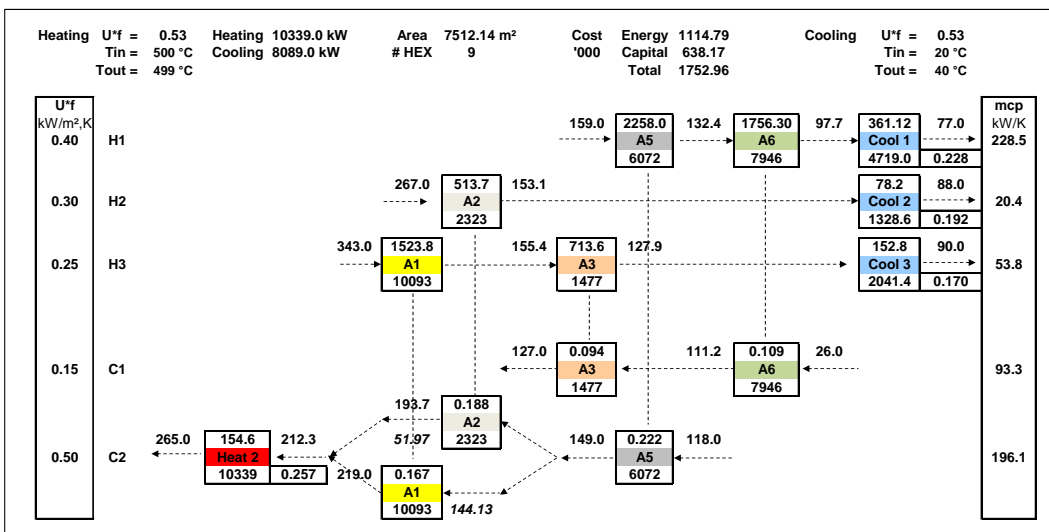


Figure 2.19

3 Example from Björk and Westerlund.

The data set of this theoretical case was set up by Björk et al. to illustrate a global optimisation strategy [35]. It was also studied by Laukkanen et al [36], by Huang et al. [37], by Pavao et al. [38], by Agui-toni et al. [39] and by Cerdá et al. [40].

The data set is shown in Table 3.1.

Table 3.1

	Tsupply	Ttarget	Heat	DT-Shift	U*f	Descript
	°C	°C	kW	K	kW/K,m ²	-
	155	30	1000	5.0	2.00	H1
	80	40	600	5.0	2.00	H2
	200	40	2400	5.0	2.00	H3
	20	160	2800	5.0	2.00	C1
	20	100	1200	5.0	2.00	C2
	220	220			2.00	Heating
	20	30			2.00	Cooling

Heating : 120 \$ /kW,year Cooling : 20 \$/kW,year

HEX Cost : 6000 + 600 x Area^{0.83} \$/year

The available heat in the hot streams equals the available heat in the cold streams and for the given overall DT_{min} of 10K the problem becomes a double threshold problem. The high investment versus energy cost also drives the system into a threshold problem without heating.

Composite curves are shown in Figure 3.1. The pinch is caused by the 2 cold streams; a near-pinch is caused by hot stream H2. Networks can be developed using pinch design rules starting at the pinch at 20°C. Splitting cold stream C1 is required. The resulting network with 5 units can be developed into a network with 4 units with a cost of 95.66 k\$/year as shown in Figure 3.2.

The grid generated by pinch analysis has 5 integration bands. The number of bands can be reduced to 4, respectively to 3 by merging adjacent bands as shown in Table 3.2. Application of LP to the 5-bands grid generates an initial network with 12 units; respectively 10 units with the 4-bands grid and 8 units with the 3-bands grid. All initial networks can be developed by incremental evolution and distortion of the solution space to the network shown in Figure 3.2. The networks with 10 and with 8 units offer another opportunity, shown on the 8-bands network in Figure 3.3. The indicated node on cold stream C1 can be worked out into a smart node [41] in Figure 3.4. Incremental evolution of this network leads to the network with minimum cost in Figure 3.5. This network has 2 splits. Elimination of the branch with an mcp of 1.33 kW/K leads to the network in Figure 3.6 with a cost that is only 70 \$/year more expensive whilst a split has been saved.

The results can be compared in Table 3.3.

Table 3.2

Streams	mcp kW/K	Bands					
		1	2	3	4	5	
H1	8.00		155.00	132.17	80.00	40.00	30.00
H2	15.00				80.00	40.00	
H3	15.00	200.00	155.00	132.17	80.00	40.00	
C1	20.00	160.00	126.25	100.00	65.71	22.29	20.00
C2	15.00			100.00	65.71	22.29	20.00

Streams	mcp kW/K	Bands				
		1	2	3	4	
H1	8.00		155.00	80.00	40.00	30.00
H2	15.00			80.00	40.00	
H3	15.00	200.00	155.00	80.00	40.00	
C1	20.00	160.00	126.25	65.71	22.29	20.00
C2	15.00		100.00	65.71	22.29	20.00

Streams	mcp kW/K	Bands			
		1	2	3	
H1	8.00		155.00	80.00	30.00
H2	15.00			80.00	40.00
H3	15.00	200.00	155.00	80.00	40.00
C1	20.00	160.00	126.25	65.71	20.00
C2	15.00		100.00	65.71	20.00

Table 3.3

	Heating	Area	units	splits	Cost
	kW	m ²	#	#	'000 \$/y
Björk et al. °1)	-	217.88	4	1	95.66
Laukkanen	12.0	207.58	7	1	114.25
Huang et al. °2)	-	215.04	4	2	94.75
Pavao et al. °1)	-	217.88	4	1	95.66
Aguitoni et al. °1)	-	217.88	4	1	95.66
Cerdá et al. 3°)	-	211.45	5	1	101.16
This study					
°1)	-	217.88	4	1	95.66
°2)	-	215.04	4	2	94.75
	-	215.41	4	1	94.82

°1) same solution

°2) same solution

°3) adjusted for non-iso split

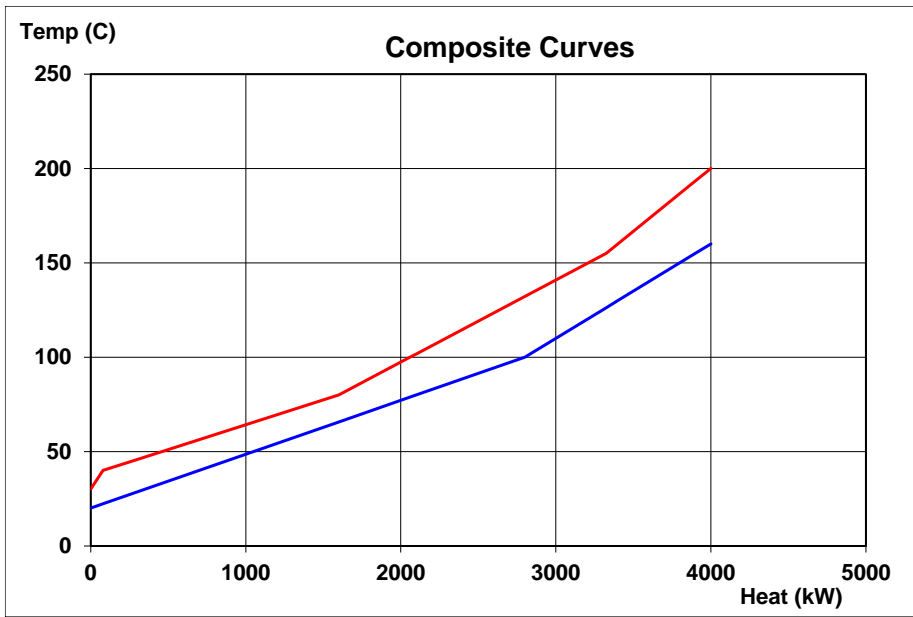


Figure 3.1

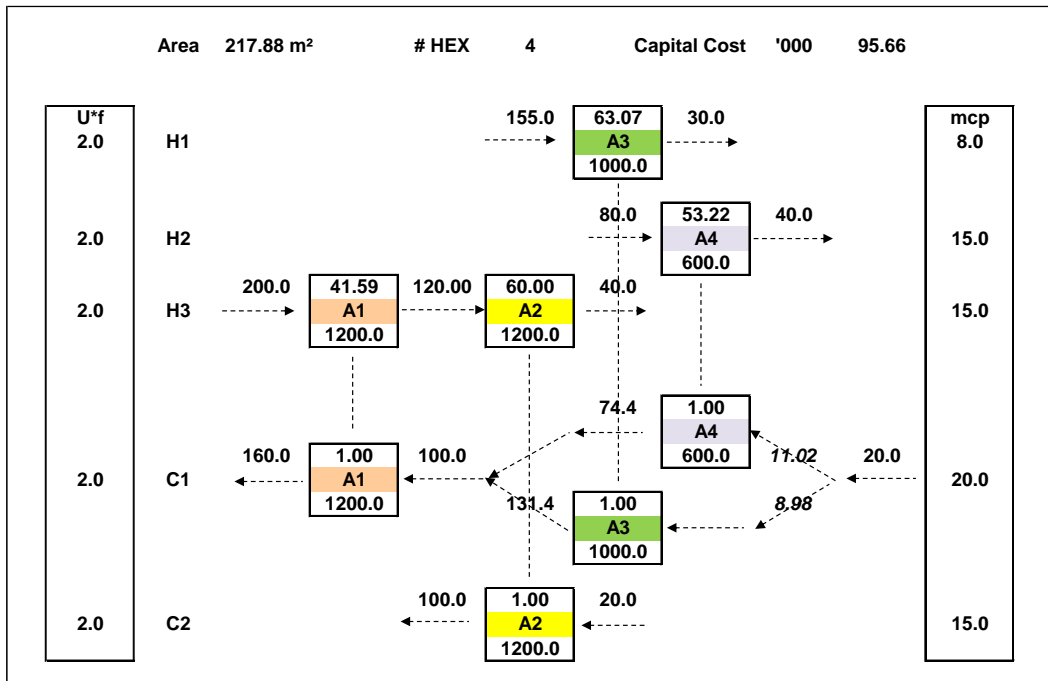


Figure 3.2

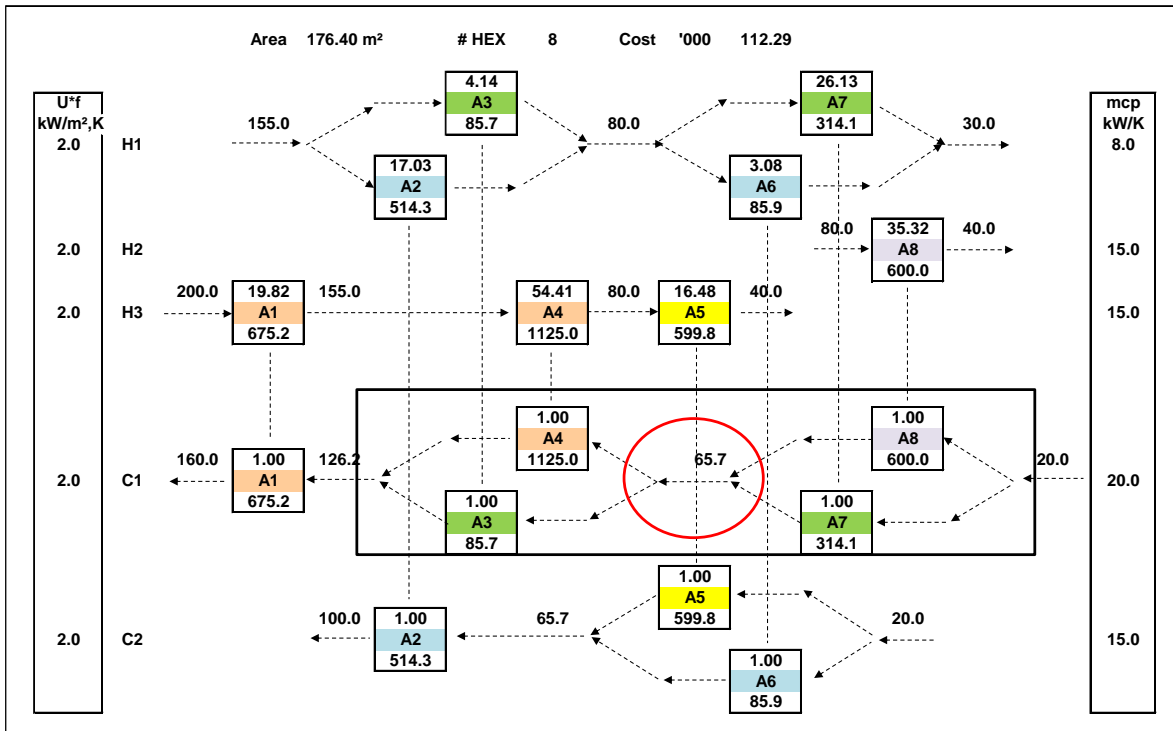


Figure 3.3

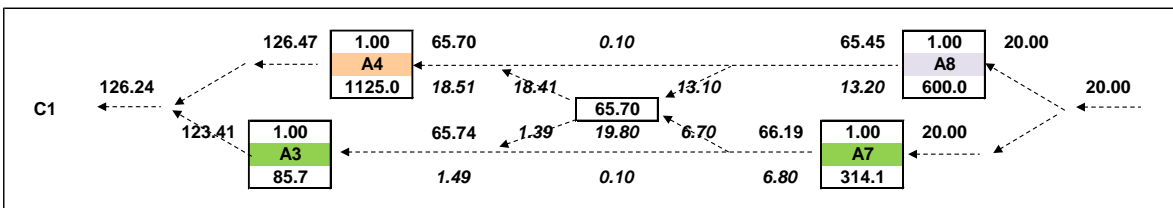


Figure 3.4

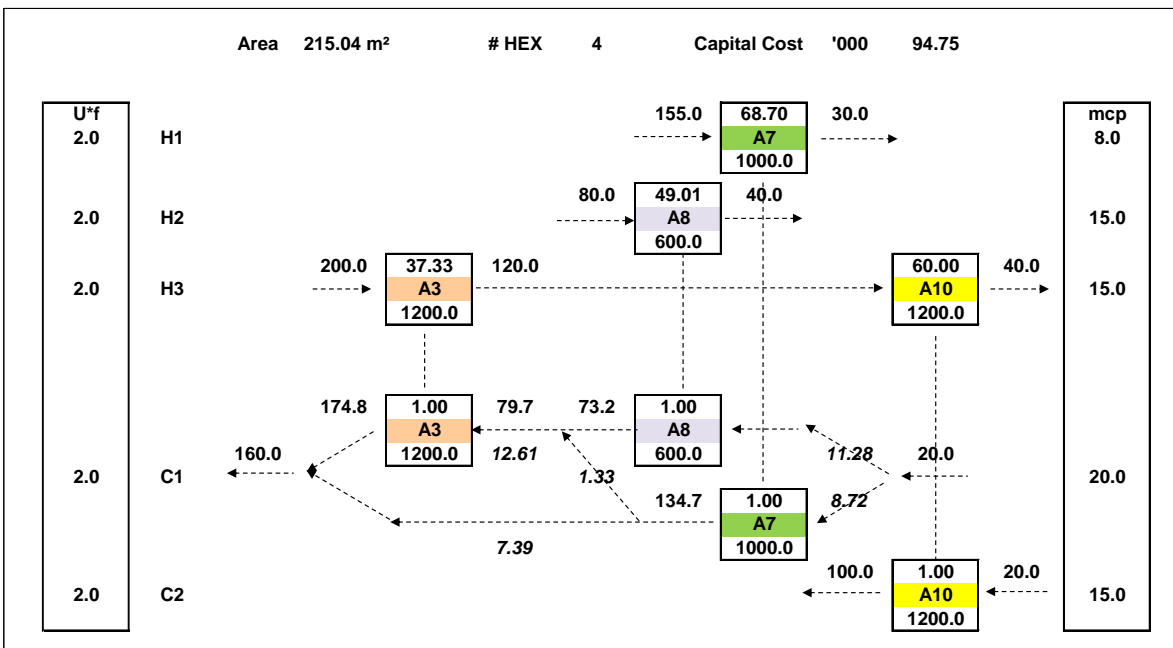


Figure 3.5

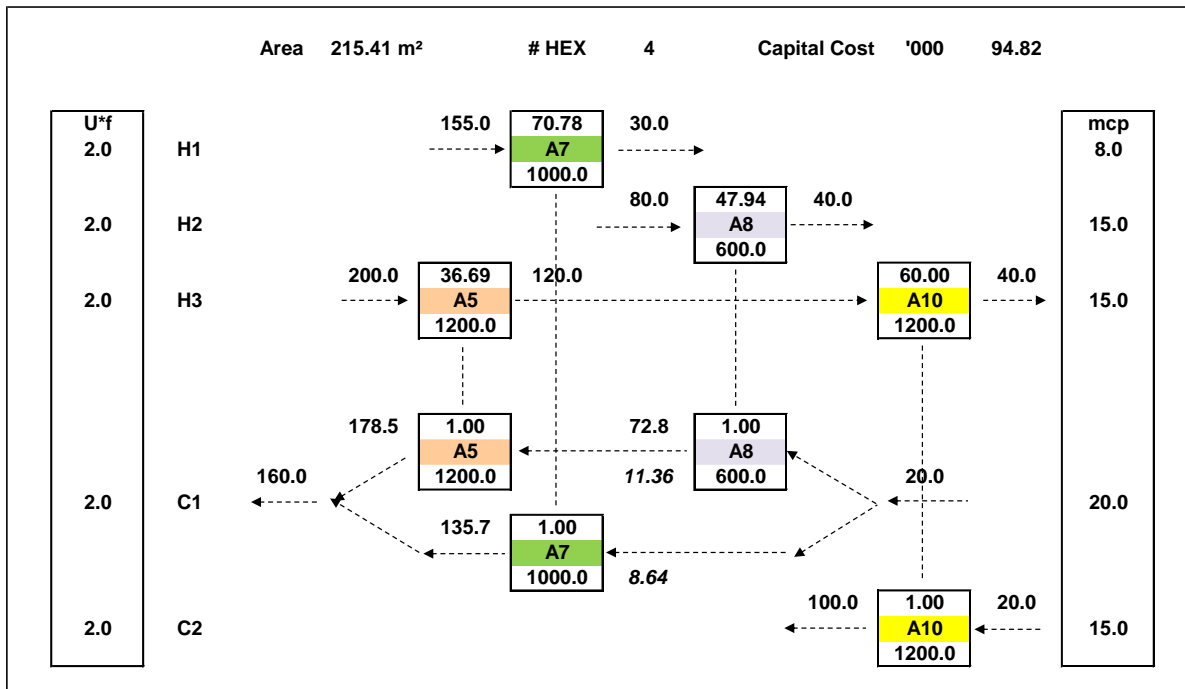


Figure 3.6

4 Example from Barbaro et al.

This problem, originally from Bagajewicz, Rodera and Savelski (2002) was studied by Barbaro et al [42]; it consists of 3 hot and 4 cold process streams and 1 heating utility. The data set is given in Table 4.1.

Table 4.1

Tsupply	Ttarget	Heat	Shift	U*f	Description
°C	°C	MJ/h	K	MJ/h,K,m ²	-
100	30	13020	5.0	0.4	H1
75	30	7560	5.0	0.4	H2
50	30	480	5.0	0.4	H3
20	100	16800	5.0	0.4	C1
20	75	4620	5.0	0.4	C2
20	40	1680	5.0	0.4	C3
40	67	1740	5.0	0.4	C4
180	179	3780		0.4	Heating
10	20	0		1.0	Cooling

Cost data

Heating : 36.11 \$/MJ/h,year Cooling : 5.56 MJ/h,year

HEX cost = 3221 + 257.68 x A^{0.8} \$/year

The problem was presented as a threshold problem for a DTMin of 10K and was treated as such by the authors in [4.1]. Composite curves are shown in Figure 4.1. The curves are almost parallel, which is confirmed in the driving force diagram in Figure 4.2. The pinch is caused by cold stream C1.

The trade-off curve, shown in Figure 4.3 would suggest a non-threshold problem with a heating load of around 6750 MJ/h. The problem was solved as a threshold problem first without EMAT constraint; the

resulting network, shown in Figure 4.4 has 7 units and 1 split for a cost of 712.60 k\$/year. The problem was then solved as a non-threshold problem leading to the networks in Figure 4.5. This network has a cost of 656.46 k\$/year, 9 units and 2 splits. From there, networks with 9 units and less splits can be developed, as well as networks with 8 and 7 units as shown in Figure 4.6 respectively Figure 4.7.

The results can be compared in Table 4.2.

Table 4.2		Heating	Area	units	splits	Cost
	EMAT	MJ/h	m ²	#	#	'000 \$/y
Barbaro et al.	10K	3780.0	10018.8	9	5	766.56
This study -Threshold						
Target	10K	3780.0	9946.1	7	-	759.14
Network	5.7	3780.0	10401.5	7	1	712.60
This study - Non-threshold						
Target	-	6750.0	5804.2	8	-	686.61
Networks	-	5504.0	7074.03	9	2	656.46
	7.8	5292.0	7390.3	8	1	658.42
	7.8	8400.0	5257.3	7	1	692.38

Figure 4.1

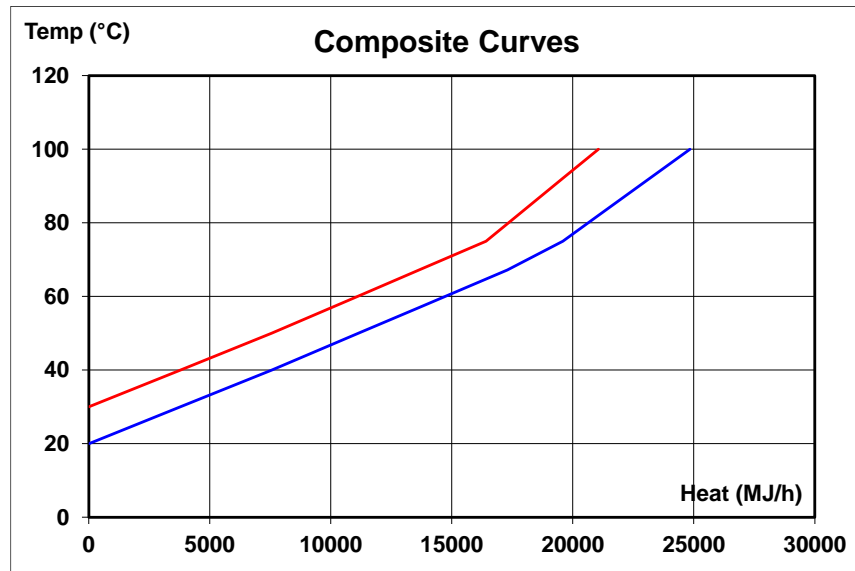
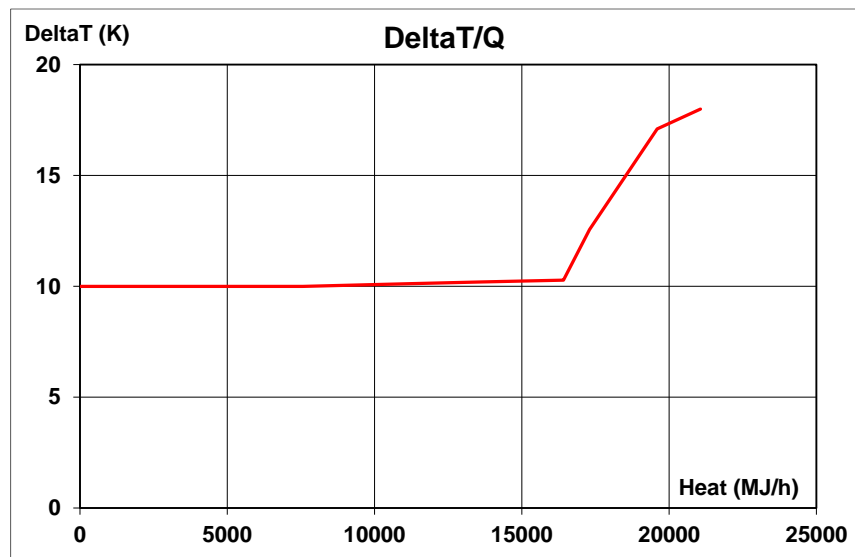


Figure 4.2



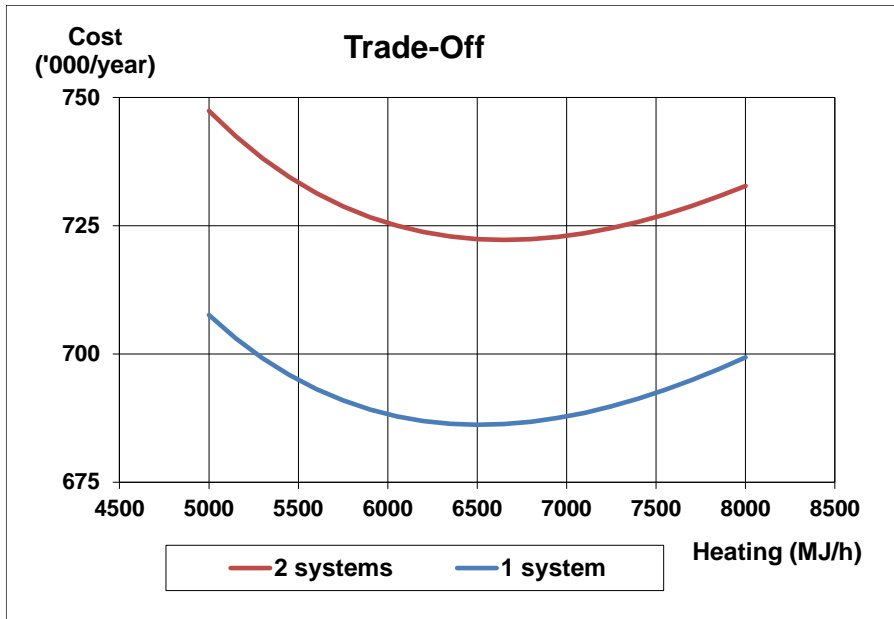


Figure 4.3

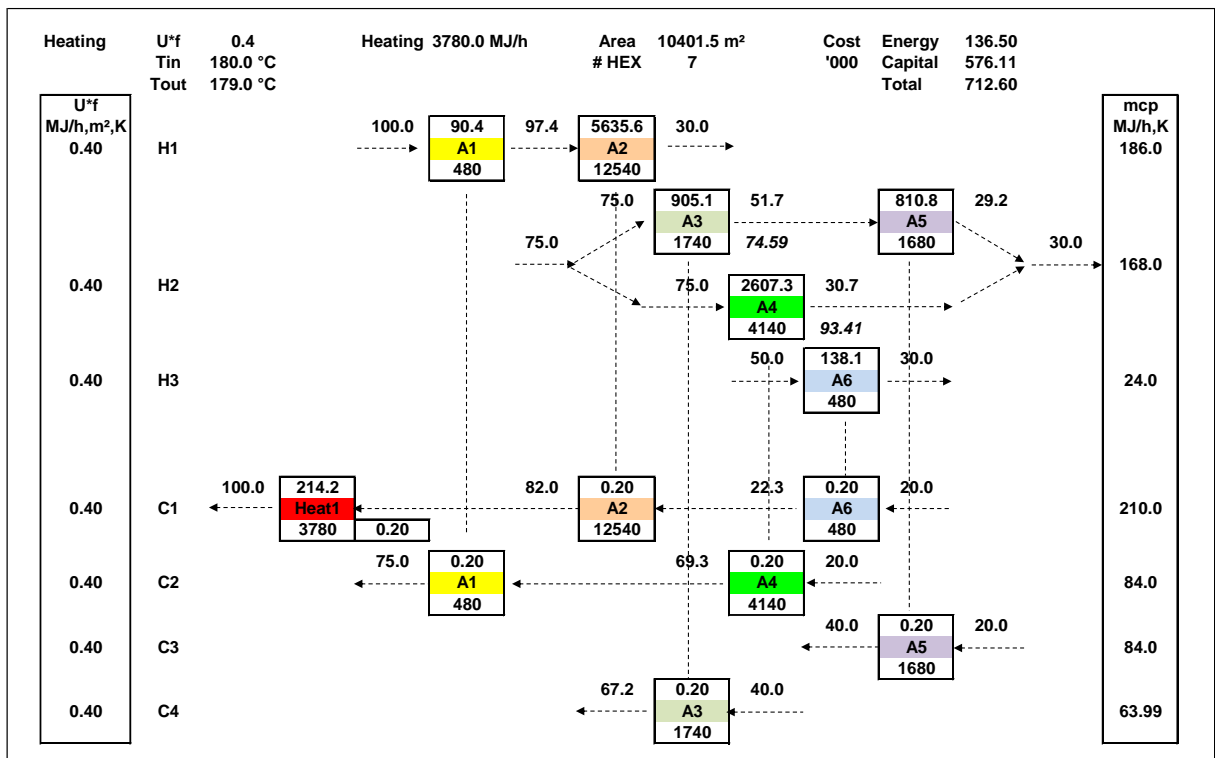


Figure 4.4

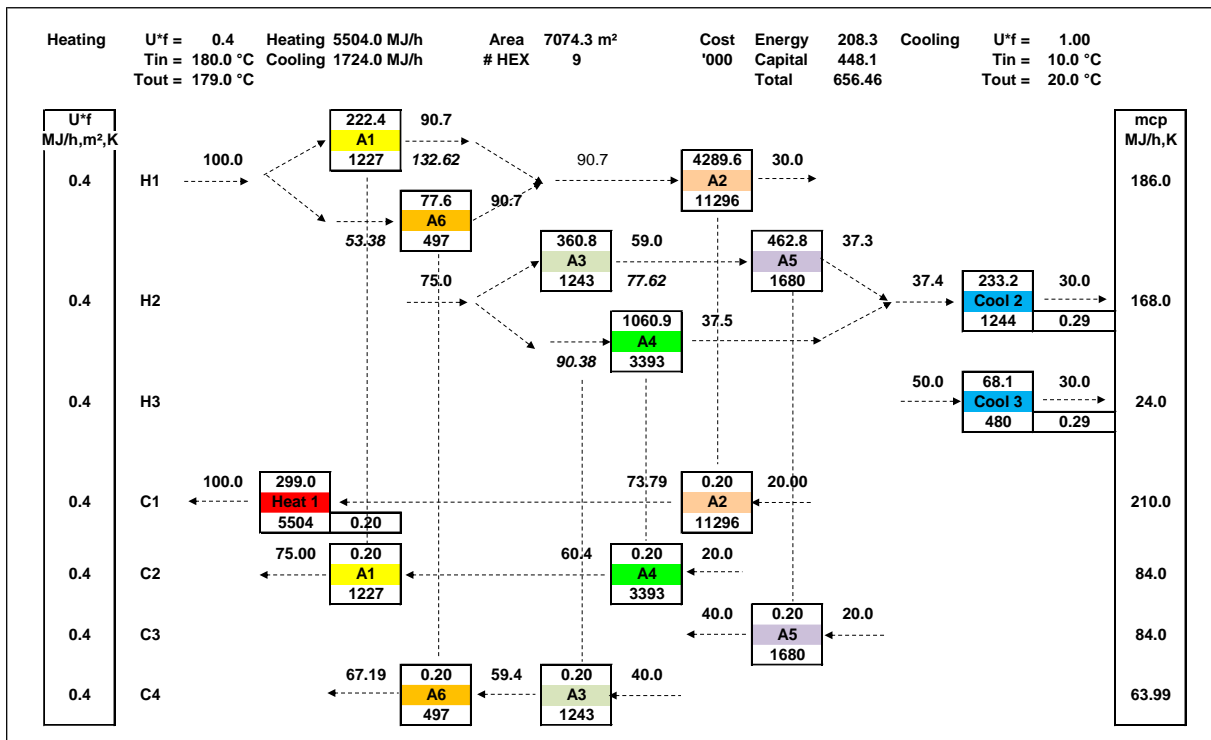


Figure 4.5

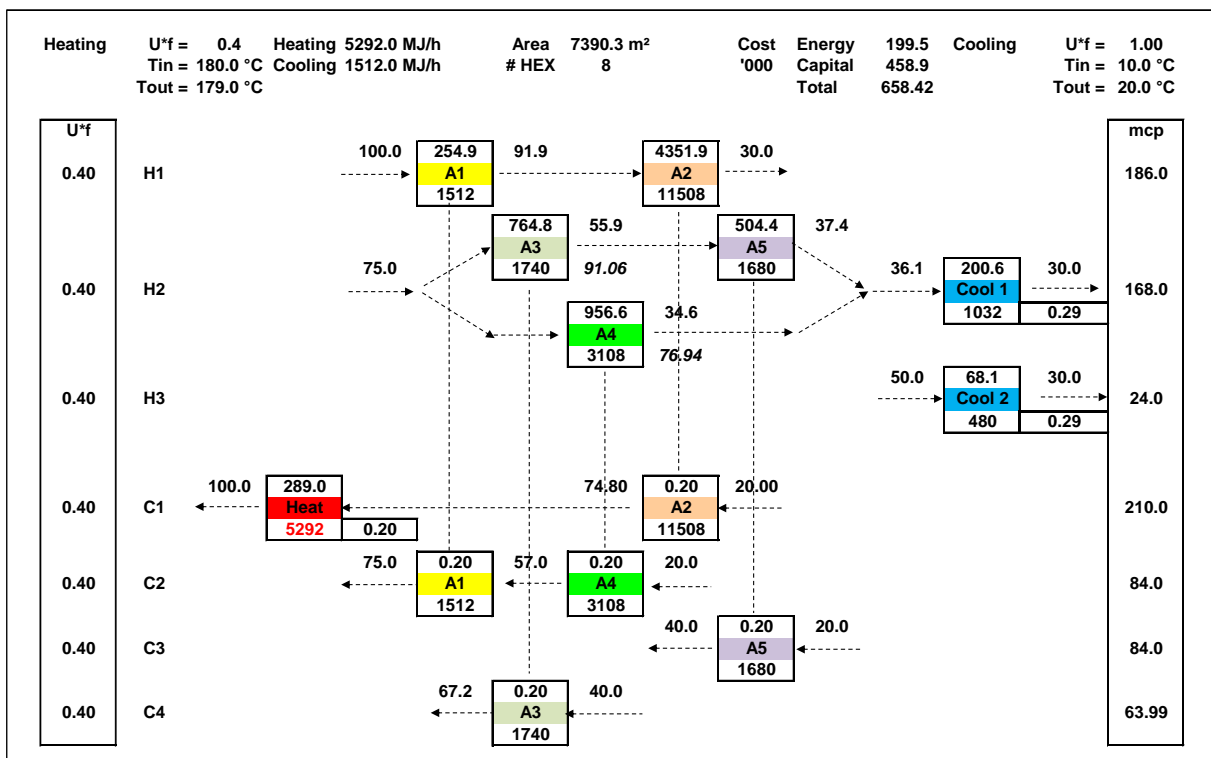


Figure 4.6

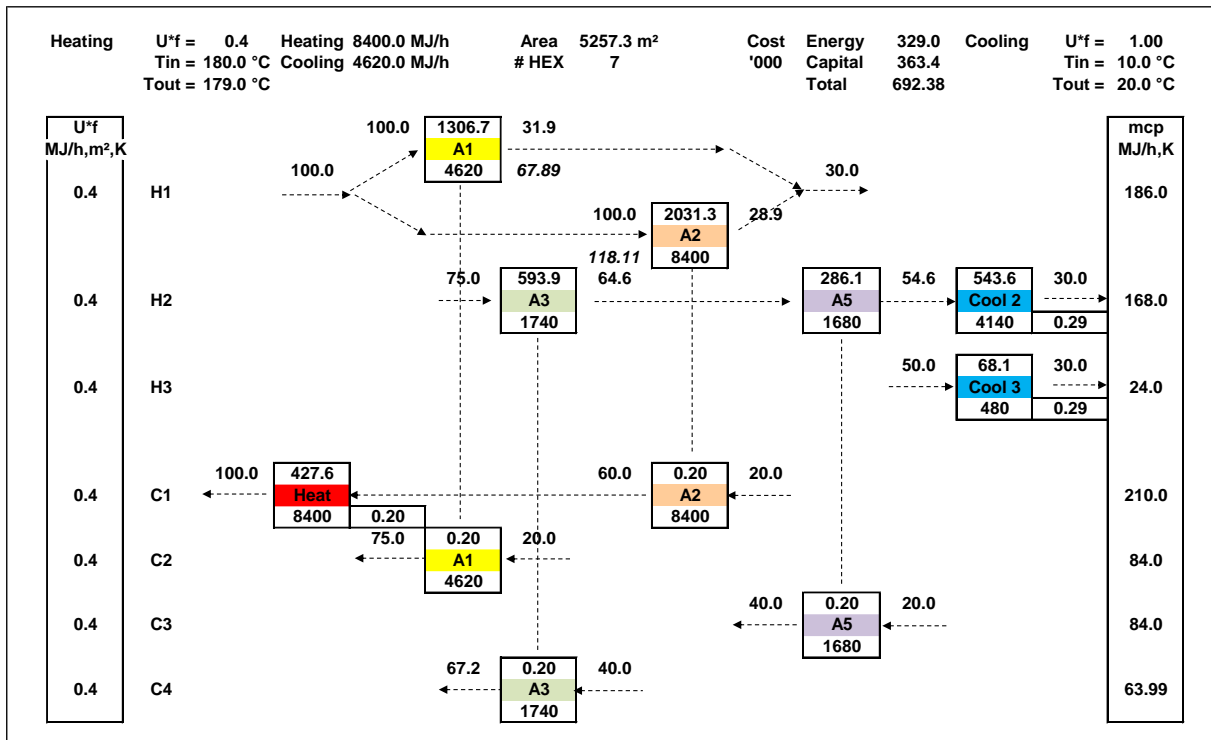


Figure 4.7

5 Examples from Ziyatdinov et al.

The following 4 examples were taken from Ziyatdinov et al. [43].

Composite curves for all examples are shown in the paper [44] and will not be reproduced here unless required. In the paper, a new stream-splitting procedure SSHEN (Split Stream Heat Exchange Net) was applied and compared with the results obtained by using SYNHEAT (a MINLP program for optimizing a staged HEN superstructure) with two optimization algorithms when addressing the problem of heat exchange systems synthesis: the DICOPT code and the global optimization code BARON. The BARON code produced the best results, which will be withheld for comparison.

5.1 The 4-steam problem.

The data set for the first problem is shown in Table 5.1. This problem was also studied by Cerda et al. [40].

Table 5.1

Tsupply	Ttarget	Heat	DT-Shift	U*f	Descript
K	K	kW	K	kW/K,m ²	-
430	380	2000	2.5	1.800	H1
425	424.9	3000	2.5	1.900	H2
410	410.1	4000	2.5	1.700	C1
390	420	900	2.5	1.850	C2
627	626.9	700		2.500	Heating
303	315	800		1.000	Cooling

Cost data

Heating : 100.0 \$/kW,year Cooling : 10.0 \$/kW,year

HEX cost : $380 \times \text{Area}^{0.65}$ \$/year

Table 5.3

	Heating	Area	units	splits	Cost
	kW	m ²	#	#	'000 \$/y
Poncé Ortega et al.	5106.4	3606.2	9	0	686.52
Aguitoni (2 Heaters, non-iso)	5106.4	3593.0	10	1	687.56
Xiao et al. 1°)	5106.4	3590.2	9	0	688.22
Cerda et al. 2°)	5106.4	3606.2	9	0	686.52
SYNHEAT 2°)	5106.4	3482.5	9	0	683.60
This study					
1 Heater	5106.4	3482.5	9	0	683.60
2 Heaters	5106.4	3483.2	10	0	683.83

1°) Network with DTMin of 1.67 K, adjusted for 5 K

2°) Cost reviewed by the author

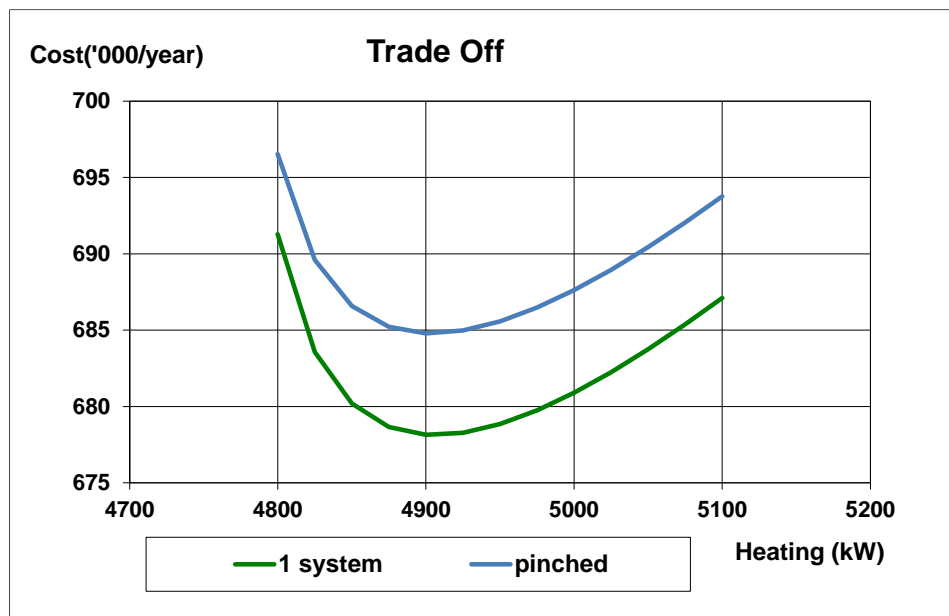


Figure 5.4

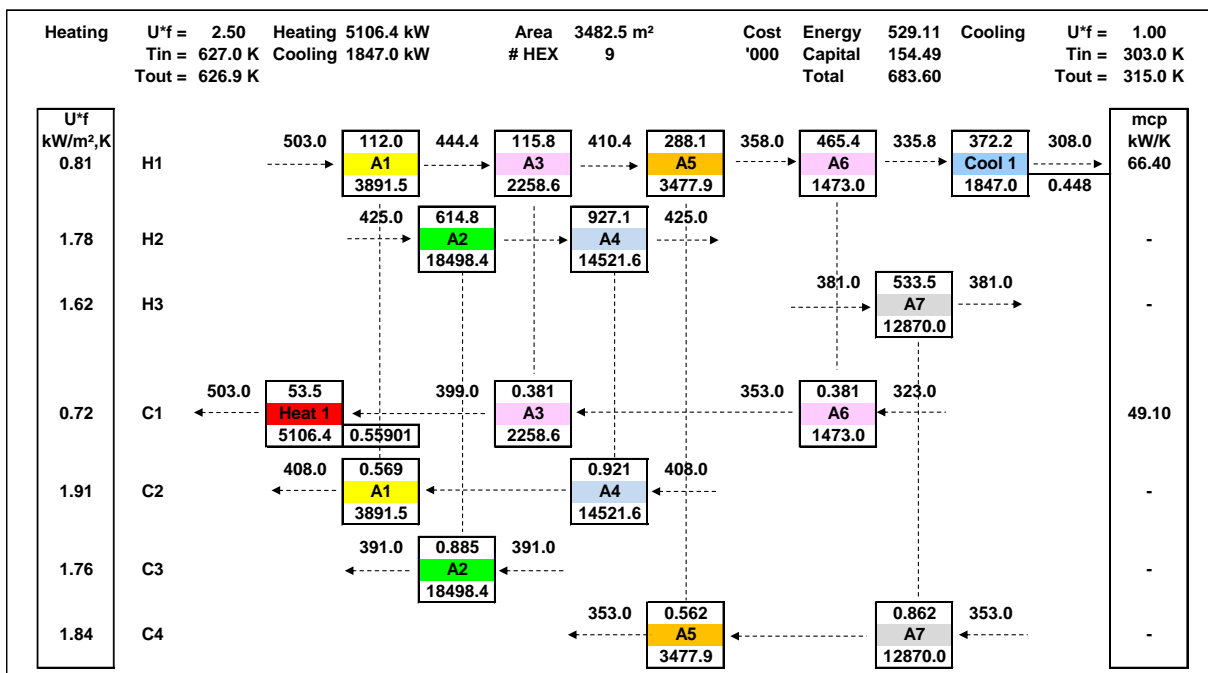


Figure 5.5

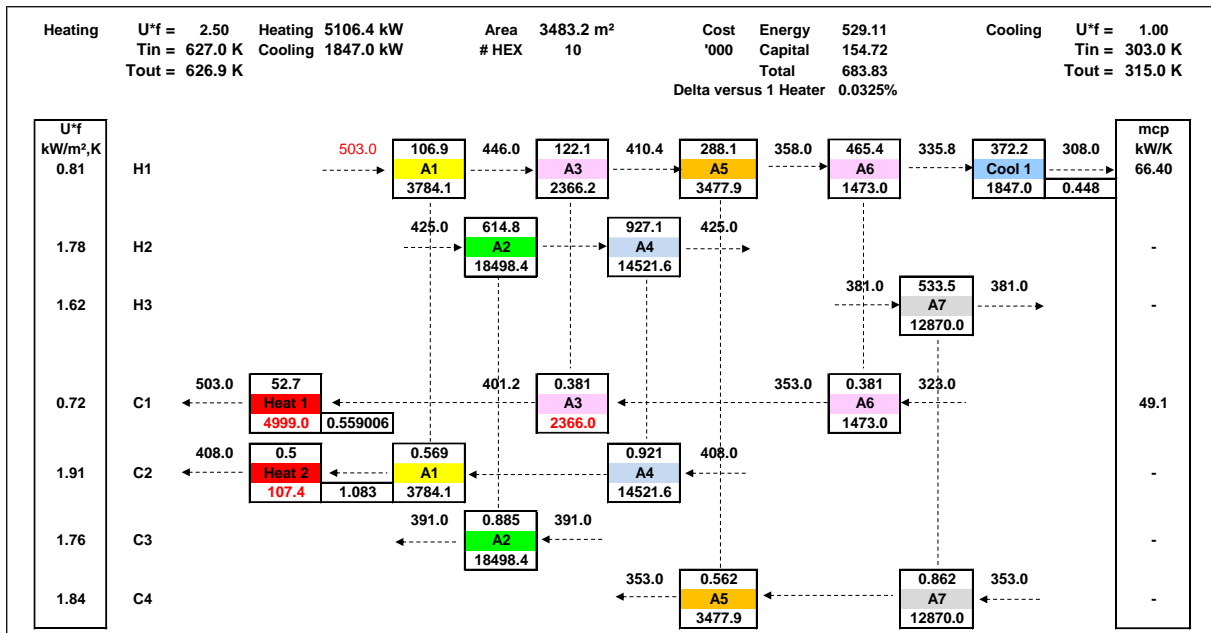


Figure 5.6

5.3 The 7-streams problem (4H3C).

The dataset for this problem has 4 hot streams and 3 cold streams and is shown in Table 5.3 for a DTMin of 5 K. This problem was originally from Ponce-Ortega et al. [44] and has also been studied by Cerda et al. [40].

Composite curves are shown in Figure 5.7. Heating requirements of 1068.7 kW are constant up to a DTMin of 15 K. The Grand Composite is shown in Figure 5.8.

The network obtained with SYNHEAT (Baron) is shown in Figure 5.9. It has a cost of 156.33 k\$/year. The network applying pinch design rules is shown in Figure 5.10. It has a cost of 155.97 k\$/year. The structure is identical with the network developed by Ponce-Ortega et al. [44] and Cerdá et al. [40].

The shape of the Grand Composite would incite the study of incorporation of a heat pump, recovering heat from hot stream H1 and pumping it up for use on cold stream C1. The resulting network is shown in Figure 5.11. The heating requirement would be reduced from 1068.7 kW to 76.2 kW, the cooling requirement from 1900.0 kW to 982.1 kW.

The following additional data and assumptions were used for the evaluation:

- Compressor capacity: 90 kW, load 89.4 kW
- Heat pump compressor efficiency: 75%
- Drive efficiency: 90%
- Subcooling of condensate from heat pump: 50 kW (ca. 5% of condensed heat).

The resulting heat pump COP would be 12.2. Financial assumptions are:

- Power cost: 4 x cost of hot utility
- Investment cost heat pump (90 kW-motor, VSD and compressor): 90 k\$, annuity 25%.

The cost of the network with a heat pump is 112.41 k\$/year. Results can be compared in Table 5.4.

Table 5.3

Tsupply	Ttarget	Heat	Shift	U*f	Description
K	K	kW	K	kW/m ² ,K	-
340.0	339.9	1900.0	2.5	1.52	H1
390.0	389.9	1493.1	2.5	1.63	H2
420.0	419.9	2594.4	2.5	1.75	H3
475.0	474.9	1999.1	2.5	1.58	H4
350.0	350.1	992.5	2.5	1.81	C1
375.0	375.1	1801.2	2.5	1.72	C2
400.0	400.1	4361.6	2.5	1.64	C3
627.0	626.9	1068.7		2.50	Heating
303.0	315.0	1900.0		1.00	Cooling

Cost data

Heating : 100.0 \$/kW,year Cooling : 10.0 \$/kW,year

HEX cost : $380 \times \text{Area}^{0.65}$ \$/year

Table 5.4

	Heating	Area	units	splits	Cost
	kW	m ²	#	#	'000 \$/y
Ponce-Ortega	1068.7	319.3	7	-	155.97
Ziyatdinov (SYNHEAT)	1068.7	356.8	7	-	156.33
Cerdá et al.	1068.7	319.3	7	-	155.97
This study					
Conventional	1068.7	319.3	7	-	155.97
With heat pump	76.2	469.1	7	1	112.41

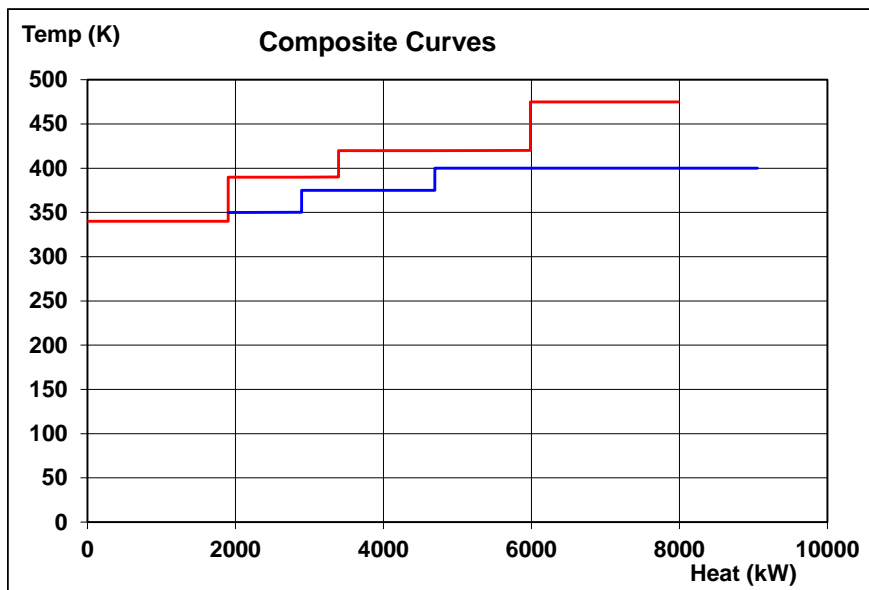


Figure 5.7

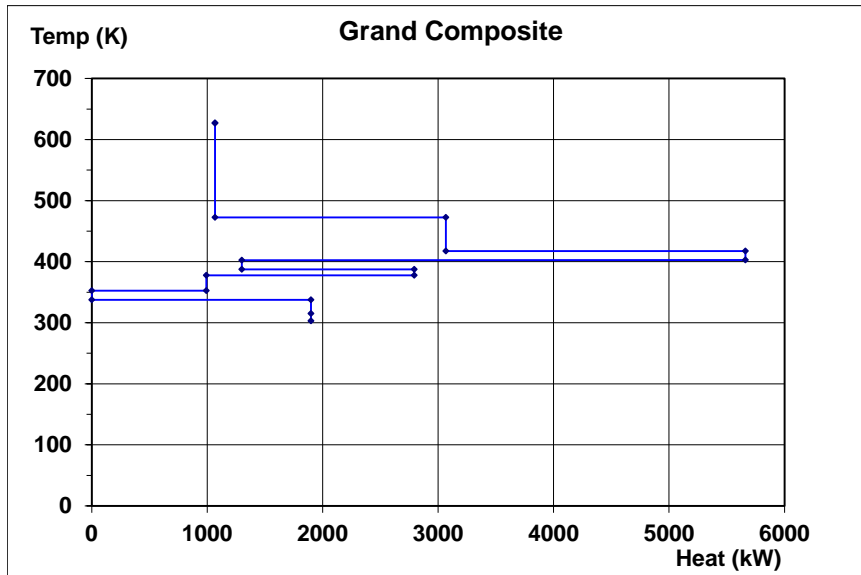


Figure 5.8

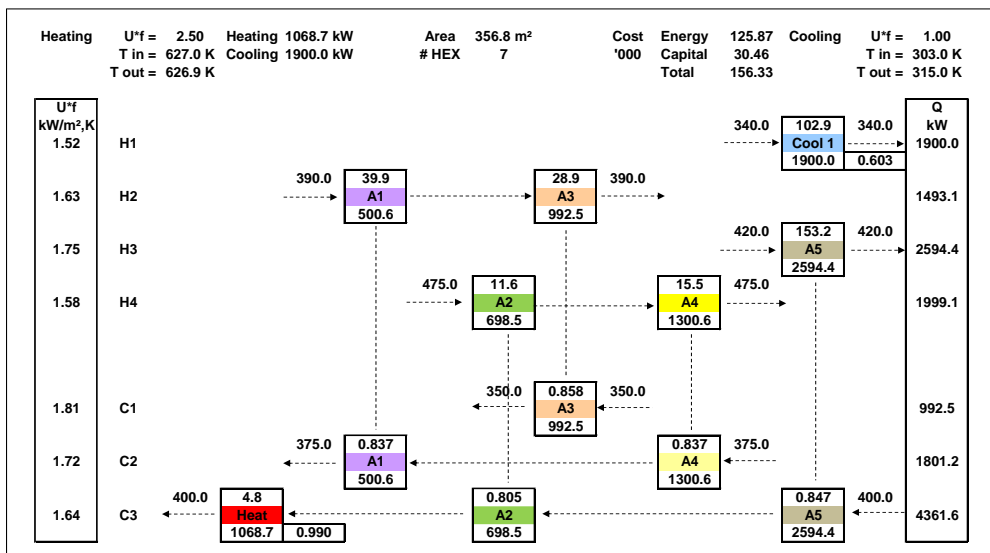


Figure 5.9

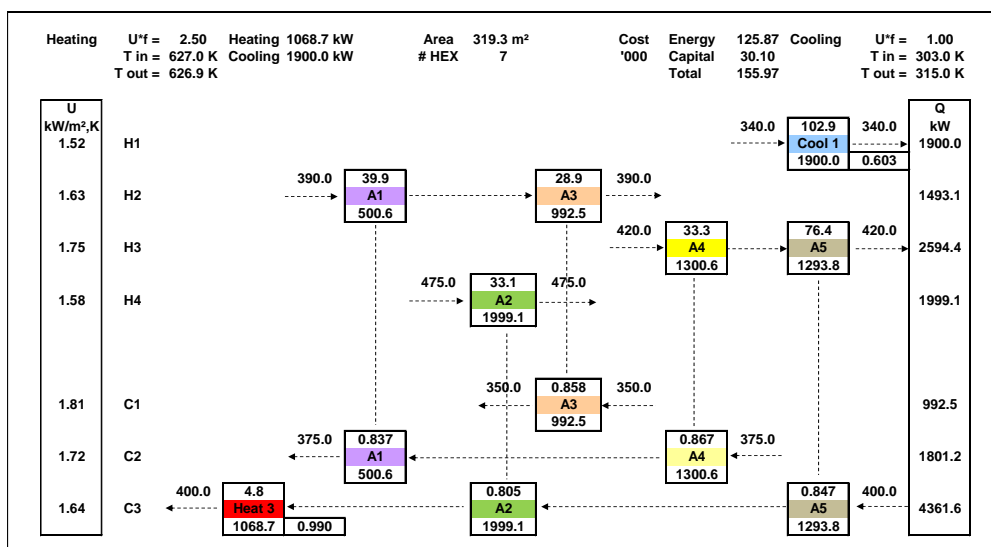


Figure 5.10

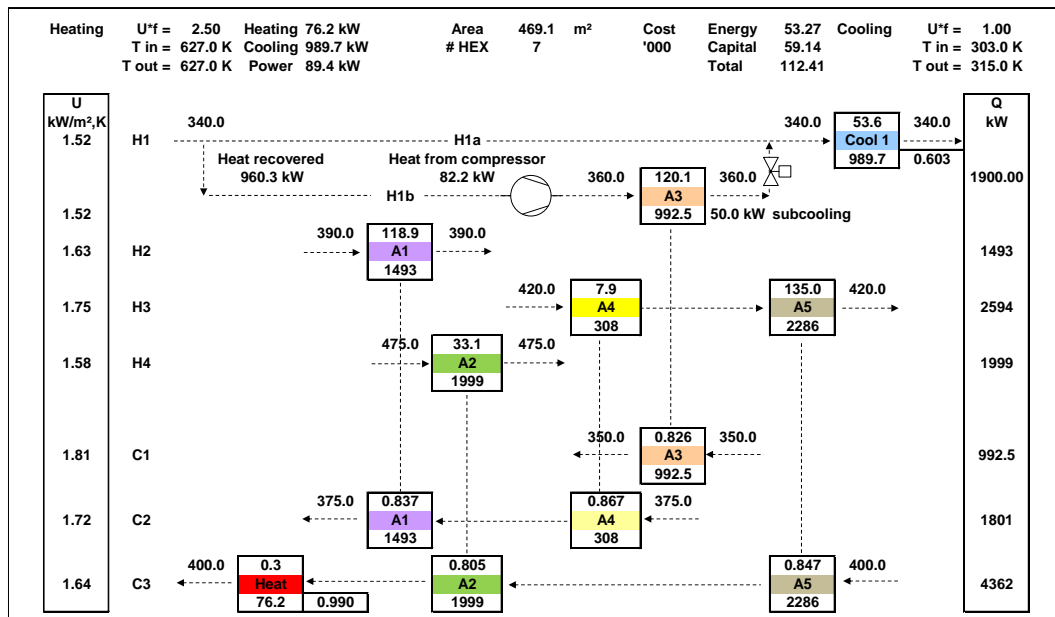


Figure 5.11

5.4 The 8-streams problem (4H4C).

The dataset for the fourth problem has 4 hot streams and 4 cold streams and is shown in Table 5.5 for a DTMin of 10 K. Trade-off between energy and capital would drive the system into a threshold problem with a DTMin of 4.625 K and no heating as shown in Figure 5.12.

First, the analysis was made with an EMAT of 10 K. The pinch is caused by hot stream H1. The cost target is between 435.04 k\$/year for a network with 9 units (1 system) and 447.07 k\$/year for a network with 12 units (pinched network). The network obtained by SYNHEAT is shown in Figure 5.13. It has 12 units, 4 splits, an area of 3384.1 m² and has a cost of 435.96 \$/year. A network developed by applying pinch design rules with the tick-off procedure is shown in Figure 5.14. It has 12 units, 2 splits, an area of 3270.5 m² and has a marginally lower cost of 433.89 k\$/year. A network with 1 split is shown in Figure 5.15; it has a cost of 434.34 k\$/year.

Then, the analysis was made for the threshold problem, assuming an EMAT of 4K. A network with 12 units is shown in Figure 5.16, one with 11 units in Figure 5.17. Their cost is marginally lower than that of the network from Cerda et al. All networks were designed using the tick-off procedure. Only networks with iso-thermal splits were considered. The results can be compared in Table 5.6.

Table 5.5

Tsupply	Ttarget	Heat	Shift	U*f	Description
K	K	kW	K	kW/m ² ,K	-
420.0	360.0	3000	5.0	1.00	H1
470.0	375.0	19000	5.0	2.50	H2
485.0	390.0	14250	5.0	2.00	H3
500.0	435.0	6500	5.0	2.00	H4
340.0	380.0	2400	5.0	1.00	C1
365.0	430.0	7800	5.0	1.00	C2
395.0	450.0	5500	5.0	1.00	C3
410.0	465.0	22000	5.0	1.00	C4
620.0	619.9	2150		5.00	Heating
300.0	315.0	7200		1.00	Cooling

Cost data

Heating : 85.0 \$/kW,year Cooling : 15.0 \$/kW,year

HEX cost : $380 \times \text{Area}^{0.65}$ \$/year

Table 5.6	Heating	Area	units	splits	Cost
EMAT 10K	kW	m ²	#	#	'000 \$/y
Ziyatdinov (SYNHEAT) 1°)	2150	3384.1	12	4	435.96
Cerda et al. 1°)	2150	3436.5	12	1	438.20
This study					
Pinch design procedure - tick-off	2150	3270.5	12	2	433.89
	2150	3275.2	12	1	434.34
EMAT 4K					
Cerda et al.	0	5359.7	11	1	274.41
This study					
Pinch design procedure - tick-off	0	5566.3	12	1	273.39
	0.0	5254.5	11	1	274.00

1°) Revised by the author

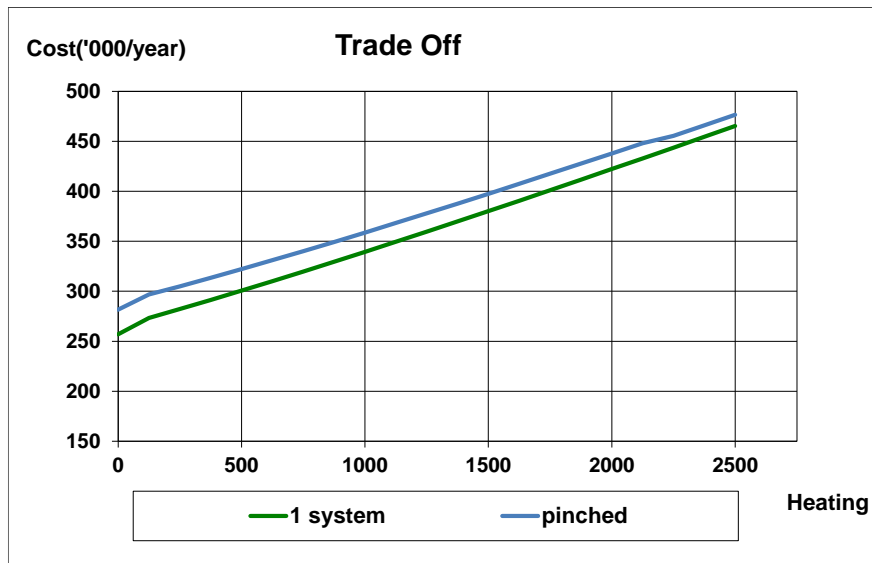


Figure 5.12

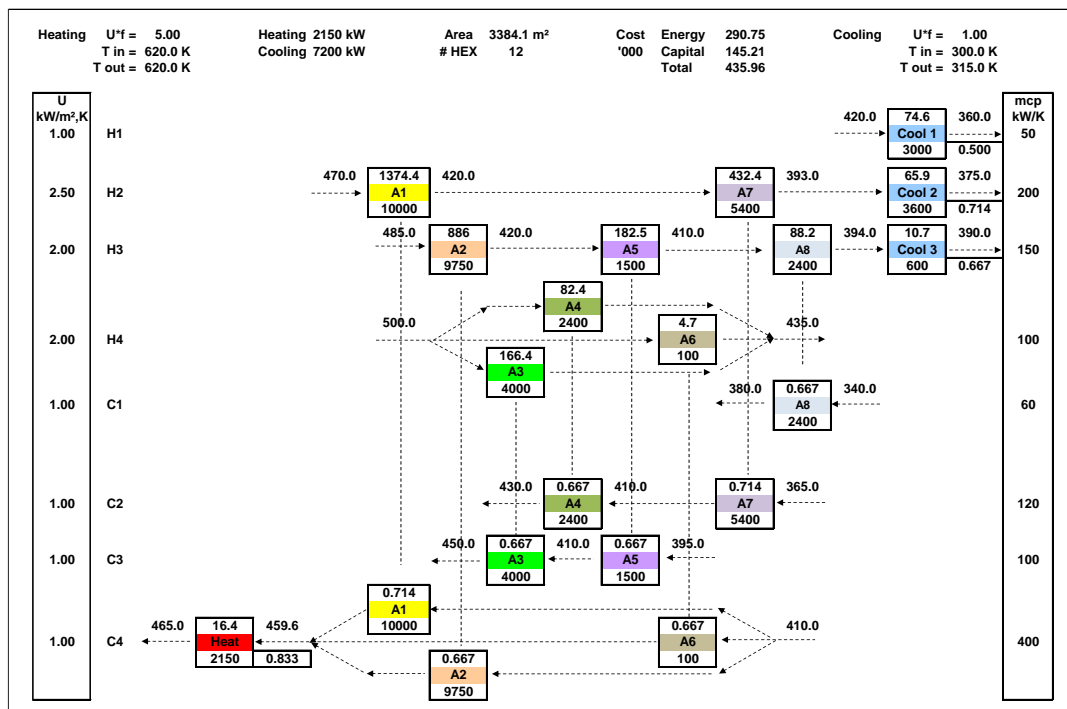


Figure 5.13

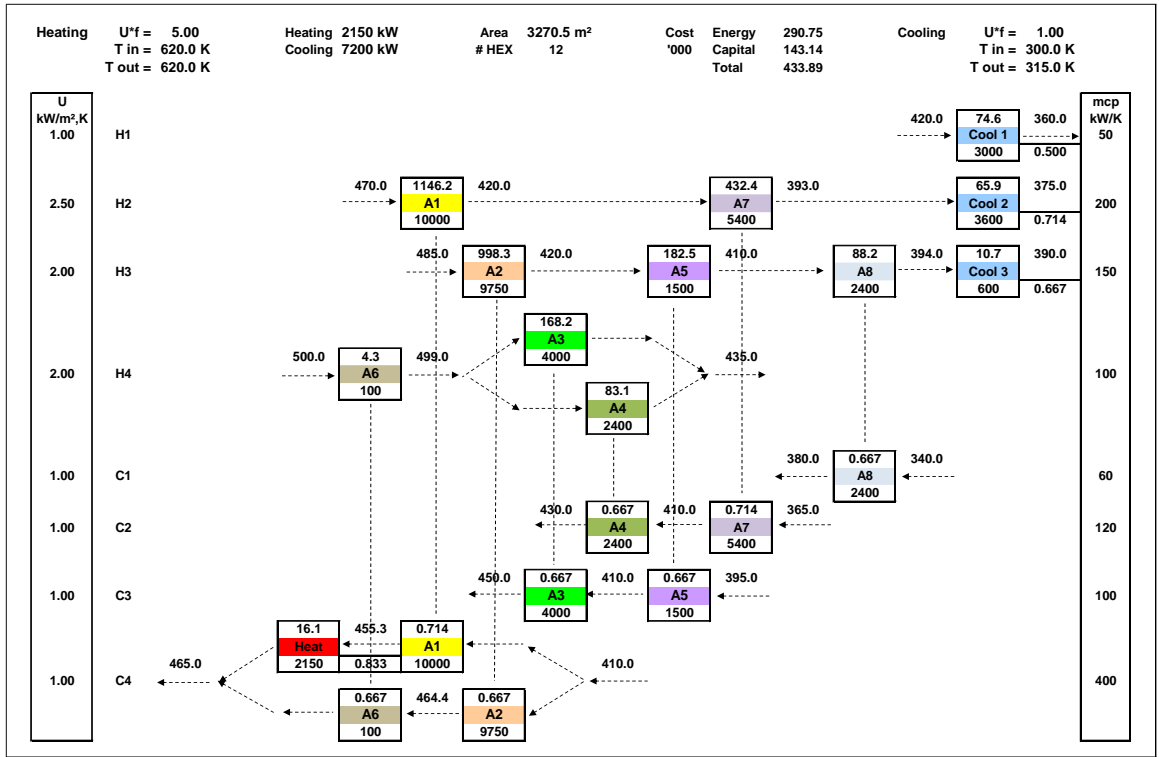


Figure 5.14

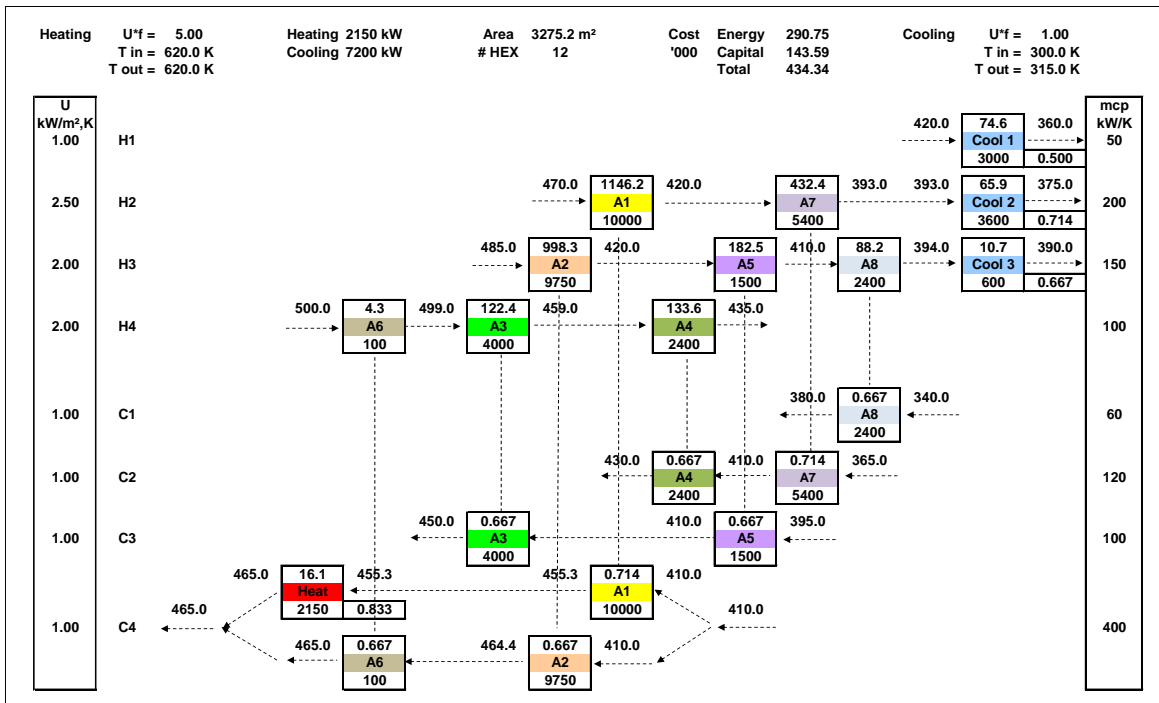


Figure 5.15

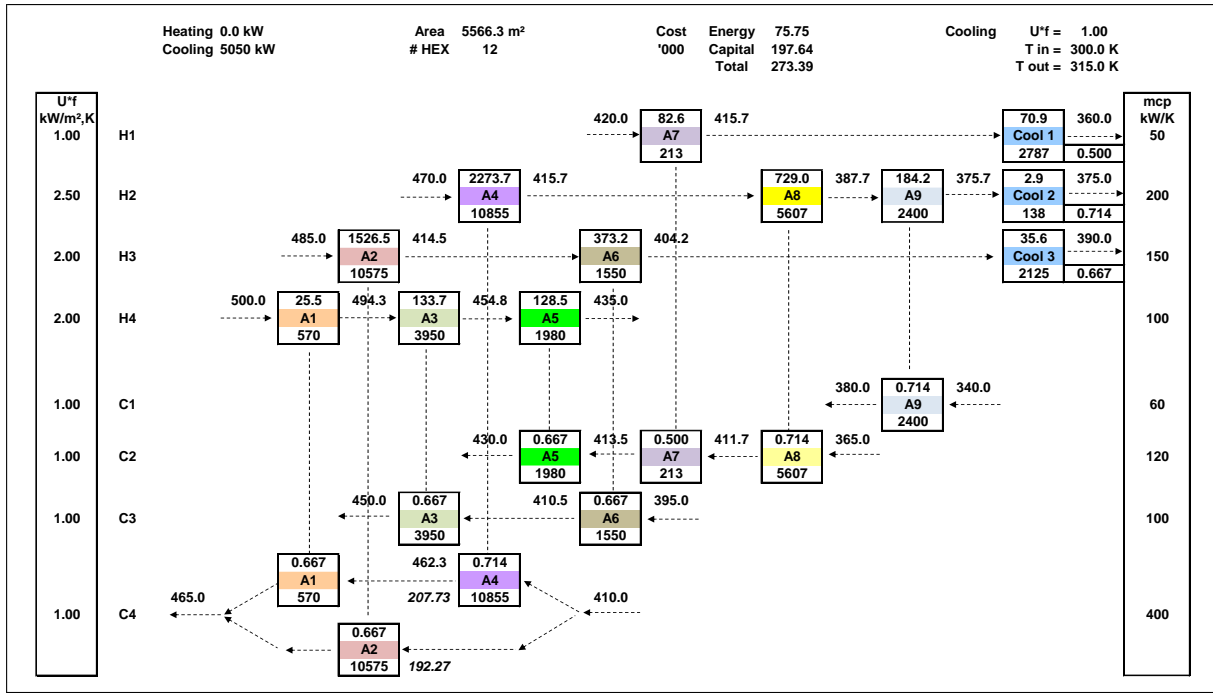


Figure 5.16

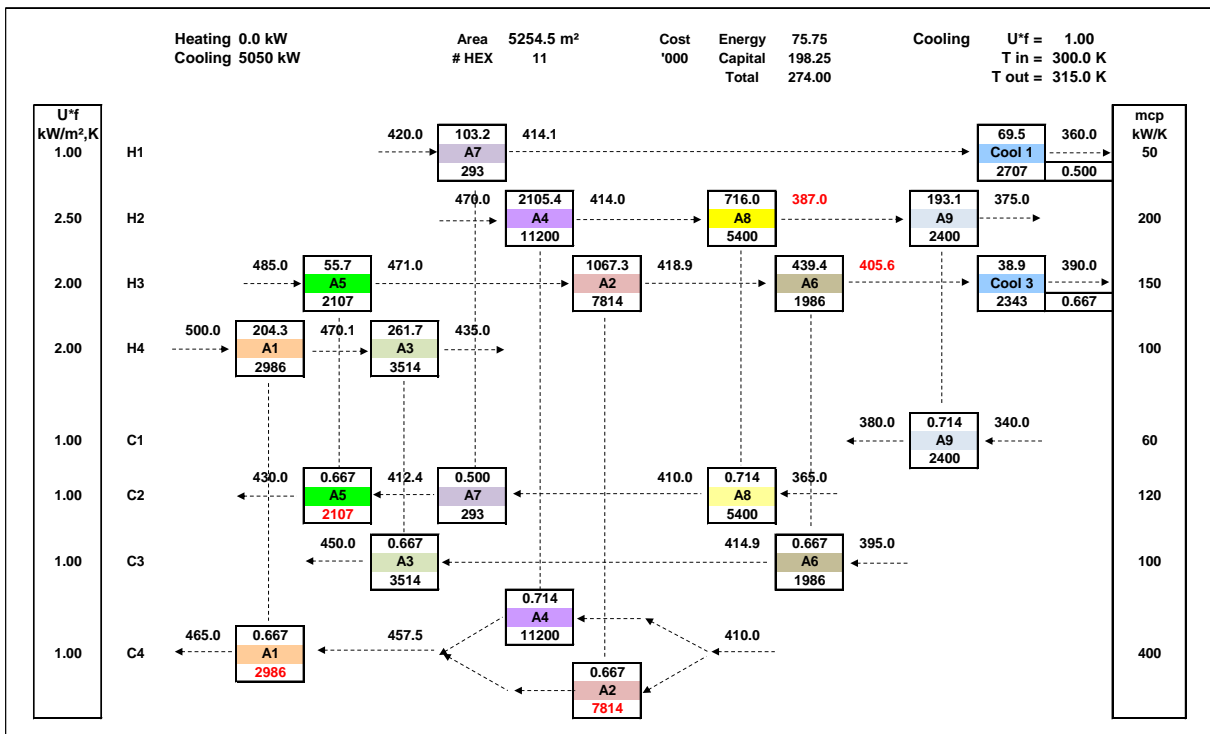


Figure 5.17

6 Comparison of methods: a (3H3C) problem.

A specific problem in synthesising heat exchanger networks is the handling of different heat transfer coefficients. In the Pinch Technology method, this can be taken care of in the analysis stage by allocation of stream-specific DTMin contributions. Several authors have proposed shifting procedures using formula relating said contributions to the stream specific heat transfer coefficients. It has been demonstrated, however, that a simple relation does not exist (see [47]).

The Pinch Technology based Supertarget procedure was combined with the Area Targeting Model (ATM) using shifting procedures mentioned above, leading to Hypertargets [48]. This development has already been compared with Crisscross Optimisation prior to design in [49]. The study of Example 1 in [48] with 3 hot streams and 3 cold streams has been further elaborated, accounting for Ft-factors, linked to Shell-and-Tube heat exchangers.

Stream data and cost parameters are given in Table 6.1.

Table 6.1

Tsupply	Ttarget	Heat	Shift ATM	Shift Crisscross	U*f	Description	mcp
°C	°C	kW	K	K	kW/m ² ,K	-	kW/K
150	60	1800	18.67	17	0.05	H1	20
90	60	2400	6.60	0	0.40	H2	80
181	180	1075	4.17	0	1.00	H3	1075
20	125	2625	13.20	11	0.10	C1	25
25	100	2250	5.39	0	0.60	C2	30
10	15	400.0	5.39	0	0.60	C3	80
200	199				4.00	Heating	-
10	15				1.00	Cooling	-

Cost data

Heating: 130 \$/kW,year Cooling: 30 \$/kW,year

HEX Cost (\$): $8600 + 670 \times \text{Area}^{0.83}$

Life time: 10 years Discount rate: 15%

In Table 6.1, also the stream-specific shift values, used in the Area Targeting Model are given as well as the results of the Crisscross Optimisation procedure for the threshold situation. Composite Curves are shown in Figure 6.1, the pinch is caused by hot stream H2. The Trade-off curve is shown in Figure 6.2. The system is fully balanced, no heating nor cooling is required.

The network synthesis was first done assuming counter-current heat exchange as in the referenced paper [48]. The result of the published network was revised for an area, calculated with correct LnMTD. That network is shown in Figure 6.3. In this study, networks were developed with 6 units, shown in Figure 6.4 and with 5 units, shown in Figure 6.5. Then, the synthesis was continued, assuming Shell-and-Tube heat exchangers. The result for the network from Briones et al. is shown in Figure 6.6. The networks developed in this study are shown in Figure 6.7 for a network with 6 units, respectively in Figure 6.8 for a network with 5 units. The results are summarised in Table 6.2.

The procedure with Crisscross Optimisation Prior to Design combined with smart optimisation procedures is clearly superior to the much more complicated procedure of Hypertargets.

Table 6.2	Area	Units	Shells	Cost
	m ²	#	#	'000 \$/y
w/o Ft				
Briones et al. °1)	3319.4	5	-	702.0
This study	1972.3	6	-	486.7
	2106.0	5	-	525.7
°1) revised with area calculation with LnMTD				
with Ft				
Briones et al. °2)	3660.1	5	10	914.2
This study	2132.2	6	10	594.6
	2321.0	5	7	613.1
°2) results from Briones et all. adjusted with Ft correction				

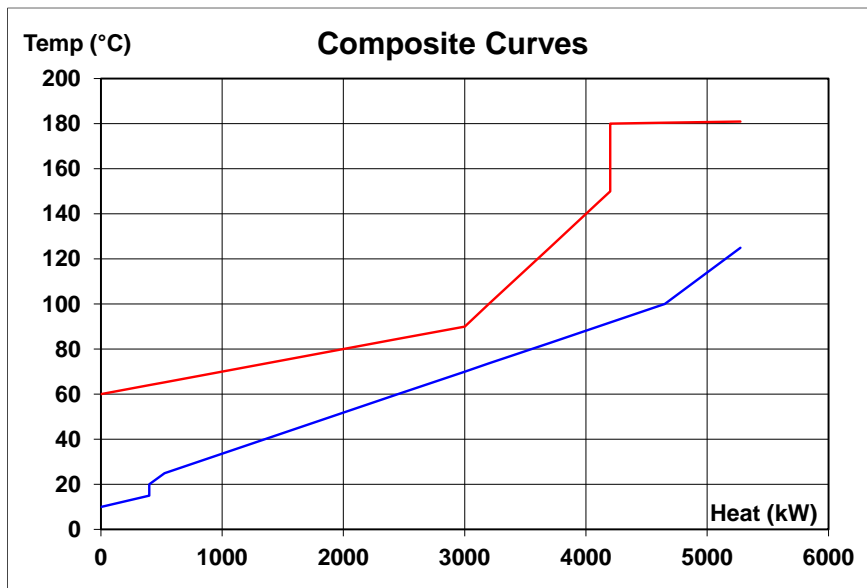


Figure 6.1

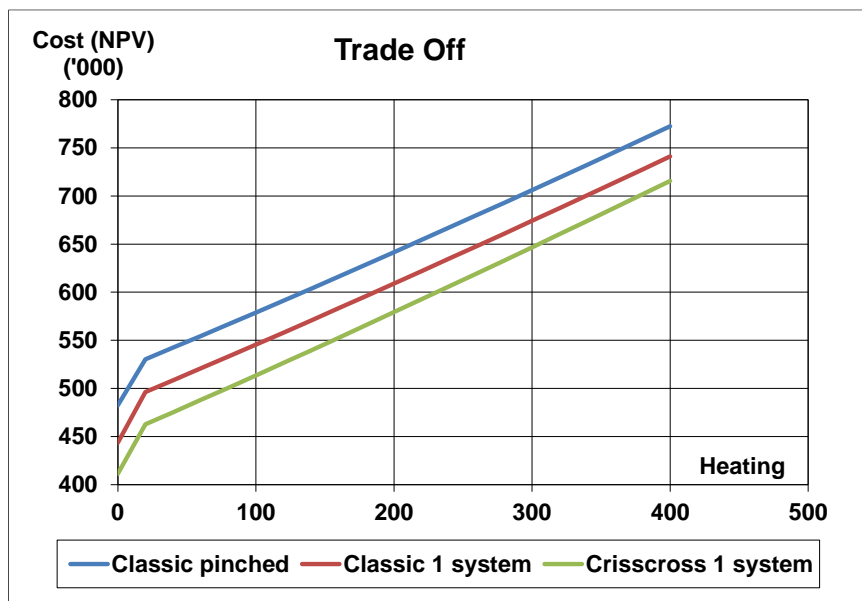
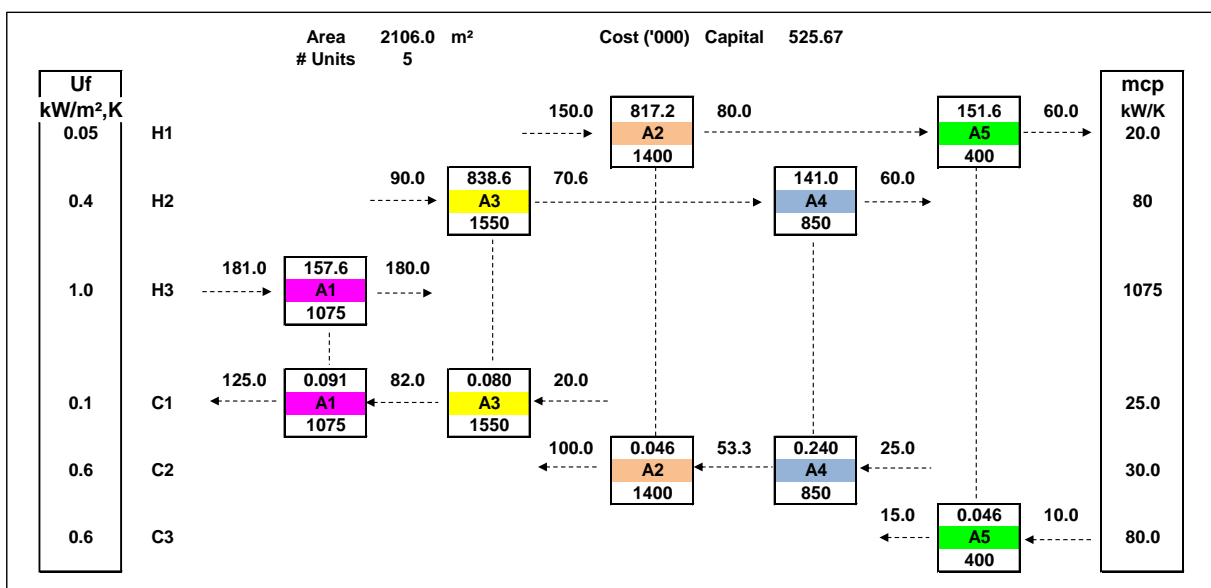
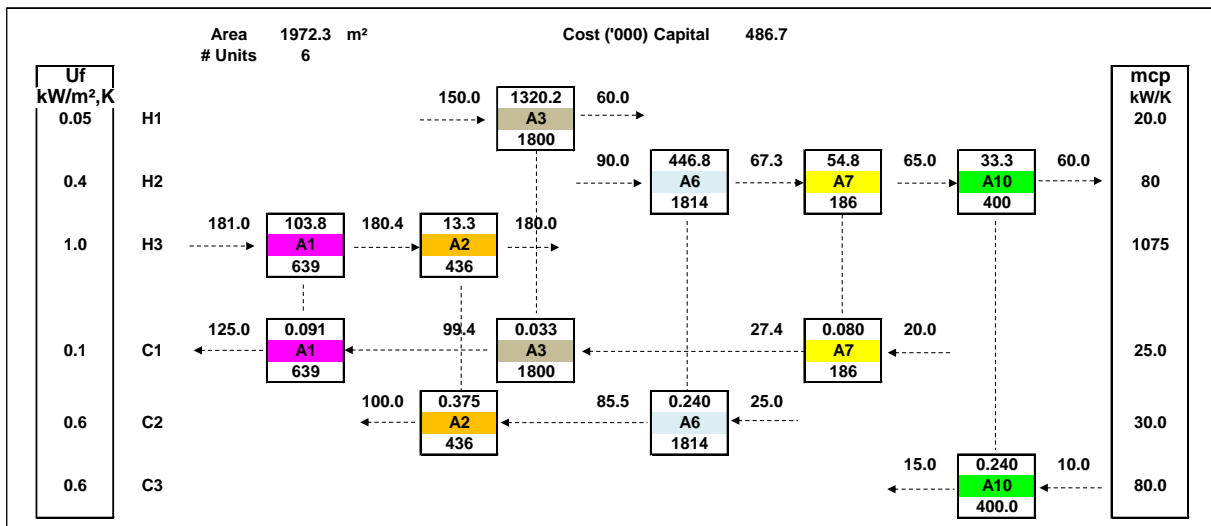
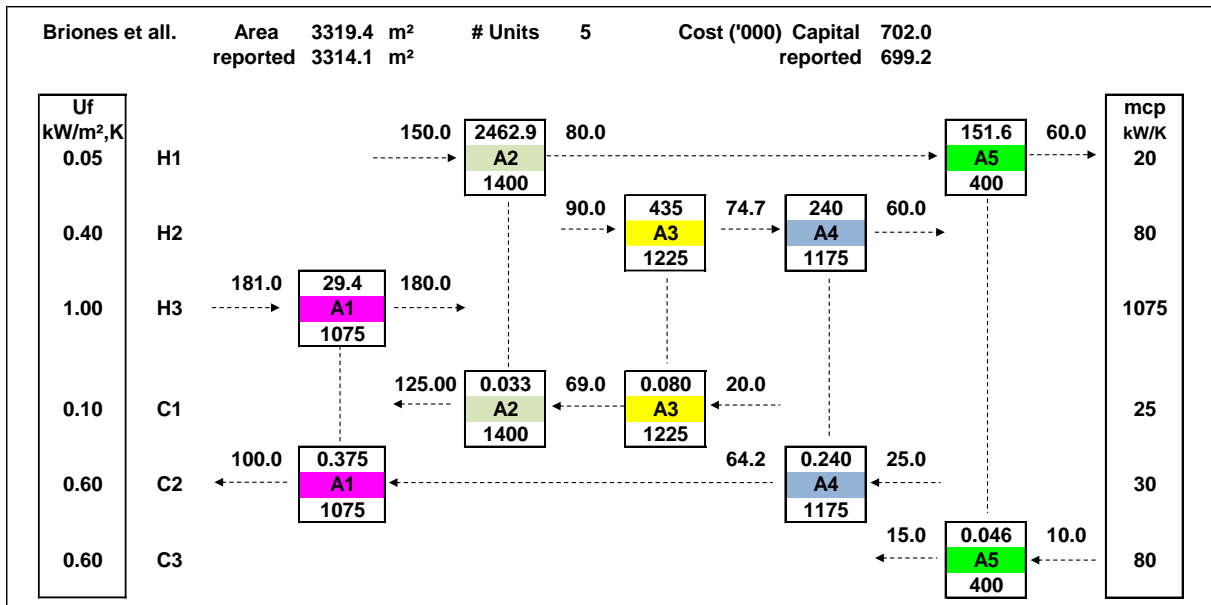


Figure 6.2



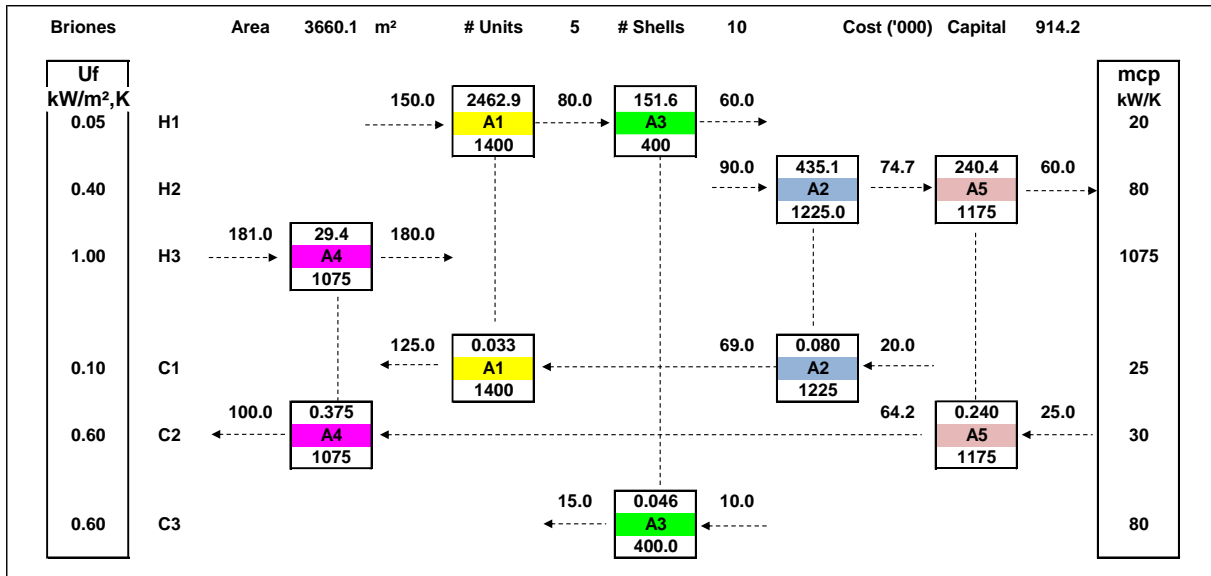


Figure 6.6

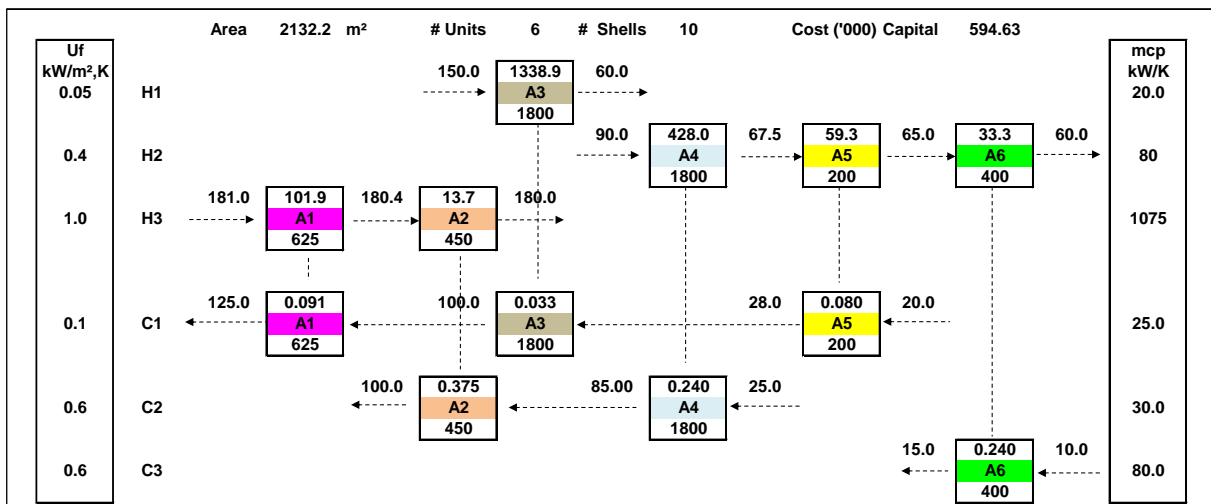


Figure 6.7

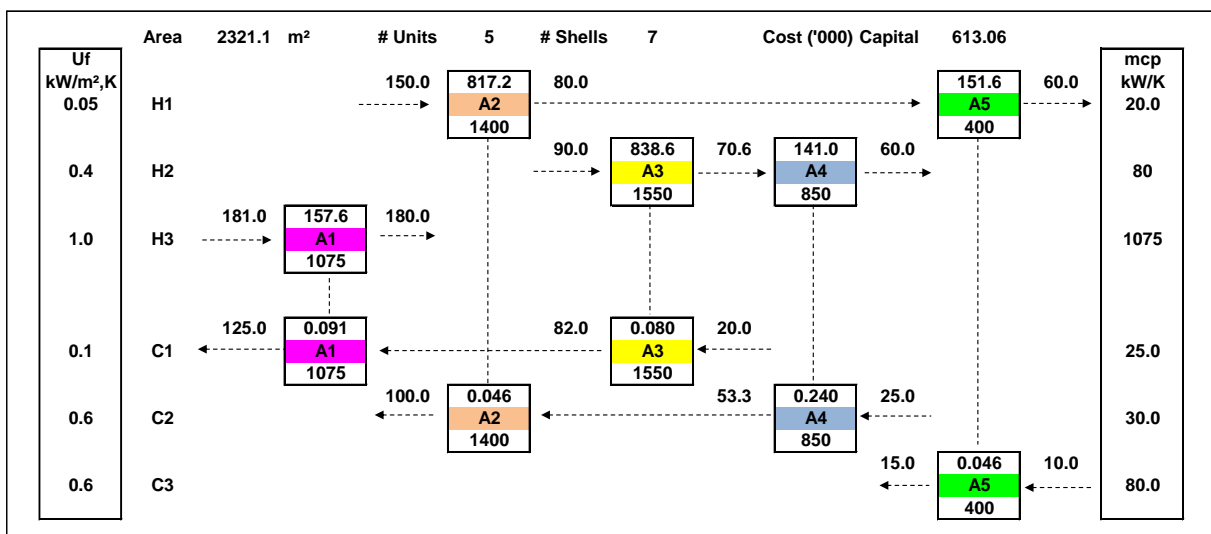


Figure 6.8

7 The 4H3C problem from Ciric & Floudas

This problem with 4 hot streams and 3 cold streams was taken from Ciric et Floudas [50]. It was also studied by Chen et al. [51], by Huang and Karimi [52] and by Cerda et al. [40]. It was studied by Trivedi et al., however, with a different heat load for hot stream H4 and, therefore, not comparable with other studies.

The data set is shown in Table 7.1.

Table 7.1

Tsupply °C	Ttarget °C	Heat kW	Shift K	U*f kW/m ² ,K	Description -
160	110	351.60	7.45	1.60	H1
249	138	936.84	7.45	1.60	H2
227	106	1429.74	7.45	1.60	H3
271	146	875.00	7.45	1.60	H4
96	160	585.22	7.45	1.60	C1
115	217	744.19	7.45	1.60	C2
140	250	1980.00	7.45	1.60	C3
300	300	230.00		1.60	Heating
70	90	513.77		1.60	Cooling

Cost data

Heating : 80.0 \$/kW,year Cooling : 20 \$/kW,year

HEX cost = 1300 x A^{0.6} \$/year

This problem has several specific features.

The Composite Curves are quasi parallel in a large integration band as shown in Figure 7.1. The heating load in Table 7.1 was chosen from the trade-off analysis shown in Figure 7.2 which shows a minimum for a network with one single system and 8 heat exchanger units. Depending upon the choice of the pinch location, either 11, 12 or 13 units would be required. There are multiple pinches in the system as shown in the driving force diagram in Figure 7.3 for a DTMin of 14.27 K: hot stream H3 and cold stream C3 are both causing the pinch, whilst hot stream H1 causes a near pinch with a margin of less than 0.9 kW.

The pinch analysis generates a grid with 13 integration bands; application of LP to that grid generates a network with 39 units. For reducing the number of units in the initial network, the number of integration bands can be reduced to a figure between 13 and 3, indicating a lot of potential initial networks. Using incremental evolution, many initial networks evolve into the near optimum network of Figure 7.4 with a cost of 105.77 k\$/year.

A particular initial network evolved into the final network of Figure 7.5 with a cost of 111.70 k\$/year. Another network with a cost of 105.48 k\$/year is shown in Figure 7.6. Remarkably, moving heat exchanger A2 in the network of Figure 7.5 into the spilt of cold stream C3 results in the network of Figure 7.6. During the evolution process, the network of Figure 7.7 with 12 units was found. The split in the red encircled area was developed into a smart split as shown in Figure 7.8. Further incremental evolution led to the optimum network of Figure 7.9 with a cost of 105.42 k\$/year. Remarkably, again, moving the Heater in the network of Figure 7.6 into the spilt of cold stream C3 would result in the network of Figure 7.9.

An alternative approach is based on heuristics.

For achieving the minimum number of units in a single independent system, each exchanger must tick off either a process stream or a utility requirement. The following queries are useful:

- Check whether the smallest (or a small) stream can be ticked off with a partner with minimum violation of pinch design rules.
- Check whether matches are possible between streams with comparable mcp's in parallel sections of the composite curves.
- Check whether matches are possible between streams that cover the same integration span.
- Check whether matches are possible between streams crossing the pinch with minimum violation of pinch design rules.

Analysis of the grid and the location of the pinch leads to the following possible matches:

- H4 on a branch of C3 (comparable integration span).
- H1 on Cooling (H1 is below or mainly below the pinch).
- Since the cooling requirement would not be satisfied with the cooler on hot stream H1, remaining cooling requirement shall be put on hot stream H3.

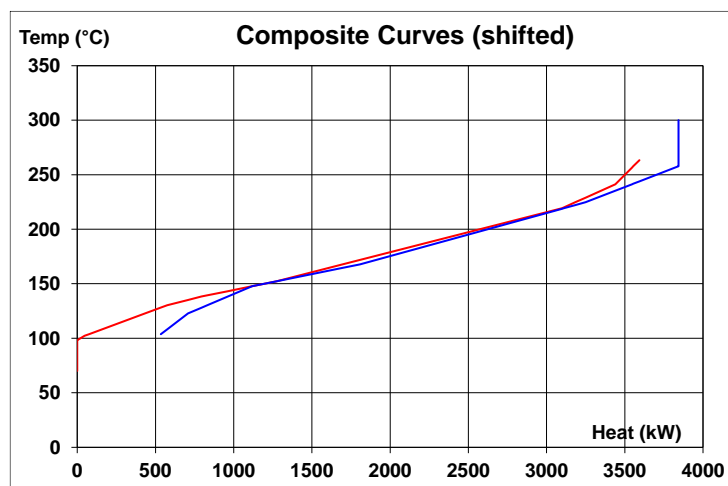
Accepting the first match suffices to synthesize the optimum network using LP. Accepting the 3 matches enables synthesis of the optimum network by hand.

The optimum network has also been developed by Huang et al. [52], with much more complicated procedures, however. Some studies have used a supply temperature of 115°C for cold stream C2, others have used 116°C. All calculations have been adjusted for a temperature of 116°C. Other small differences in the results might be caused by rounding errors.

The results are summarized in Table 7.2.

	Heating kW	Area m ²	units #	splits #	Cost '000 \$/y
Ciric et al.	175.4	280.8	12	2	114.32
Chen et al.	249.7	266.8	8	2	105.79
Huang et al. °)	211.8	274.4	8	1	105.42
°) revised by the author					
This research	303.8	244.3	8	1	111.70
	247.9	268.4	8	2	105.77
	213.8	272.5	8	1	105.48
	211.9	274.3	8	1	105.42

Figure 7.1



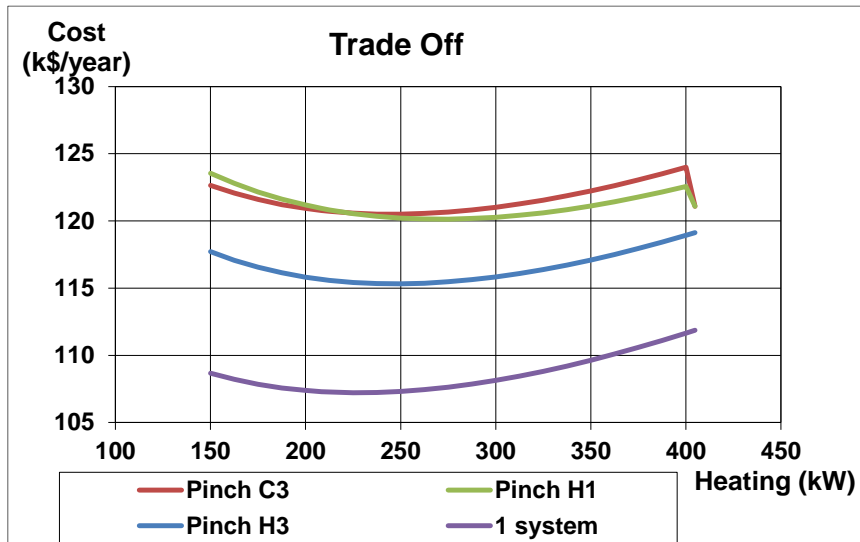


Figure 7.2

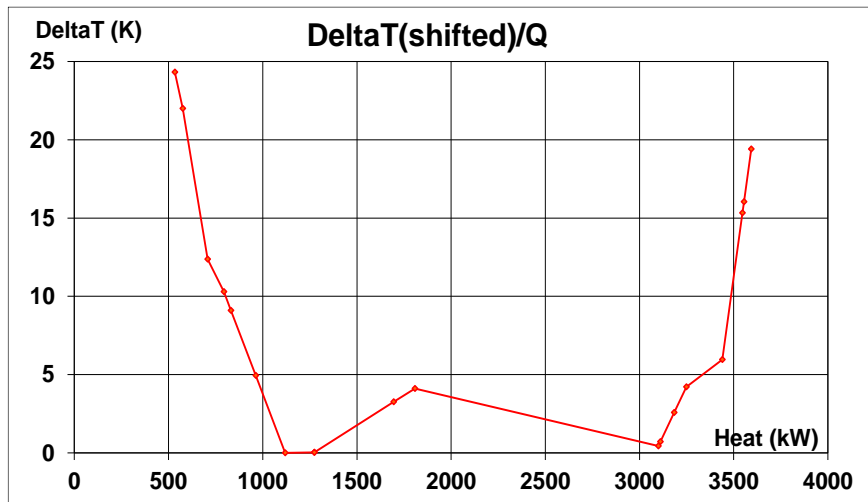


Figure 7.3

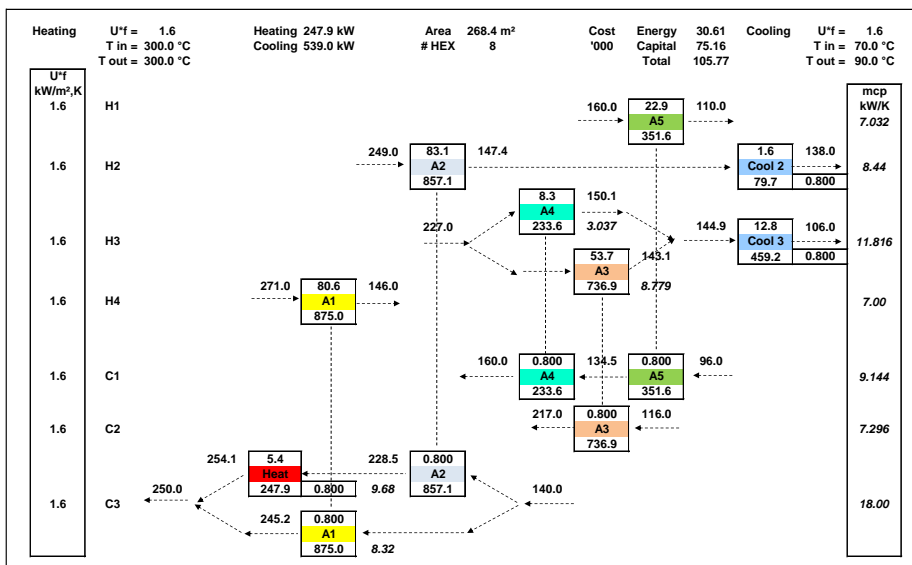


Figure 7.4

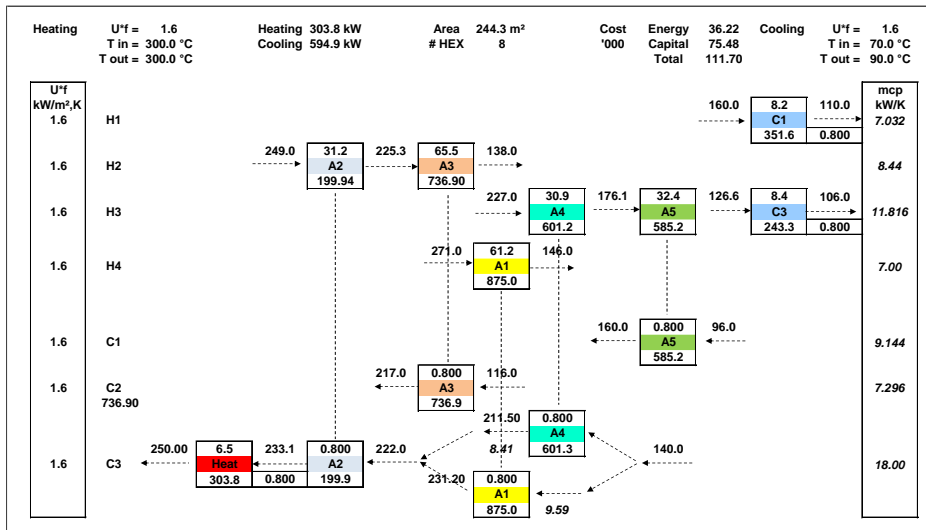


Figure 7.5

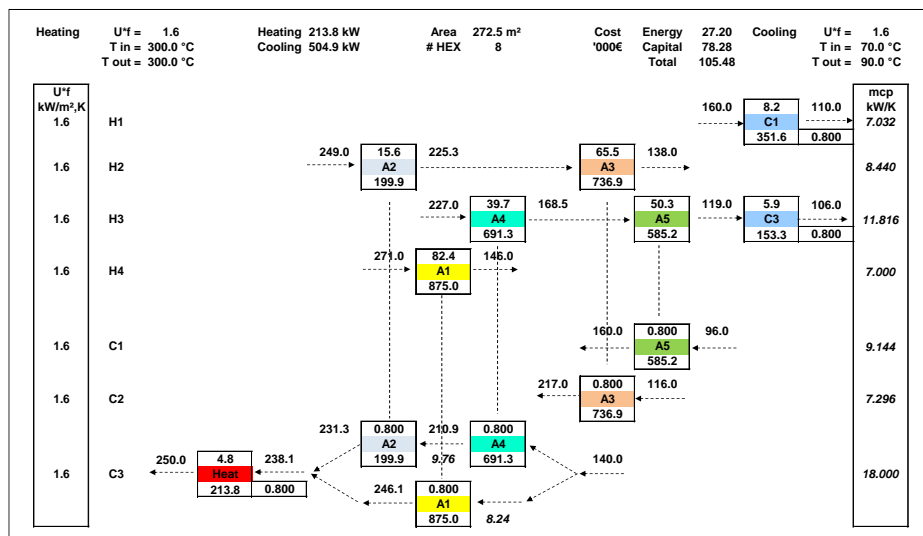


Figure 7.6

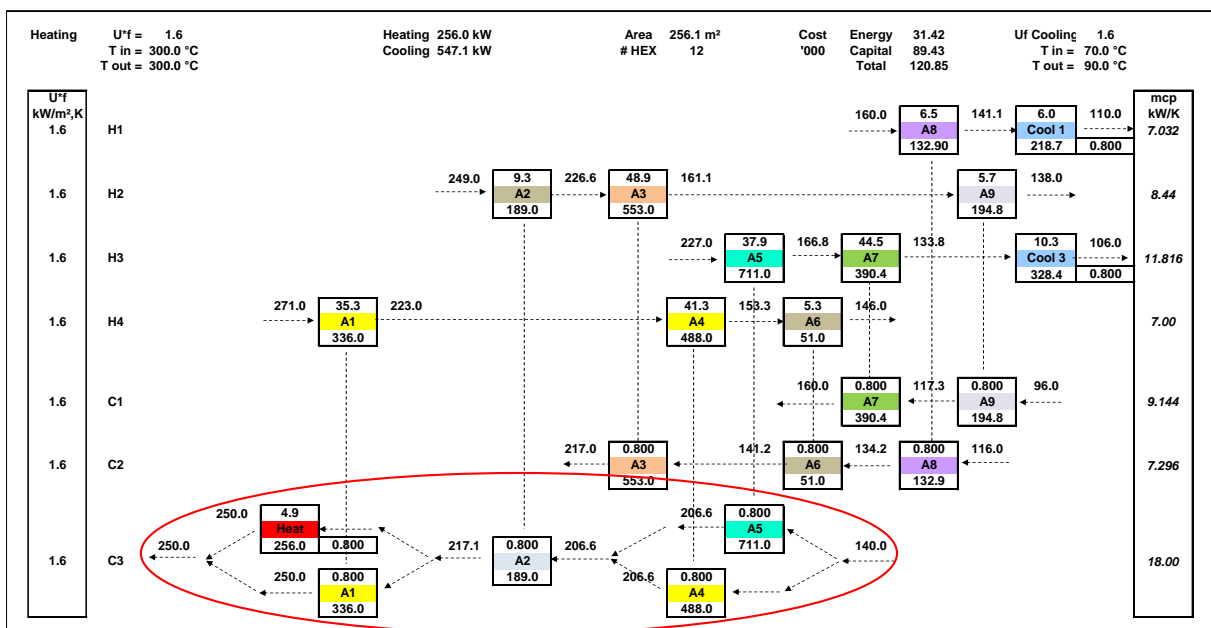


Figure 7.7

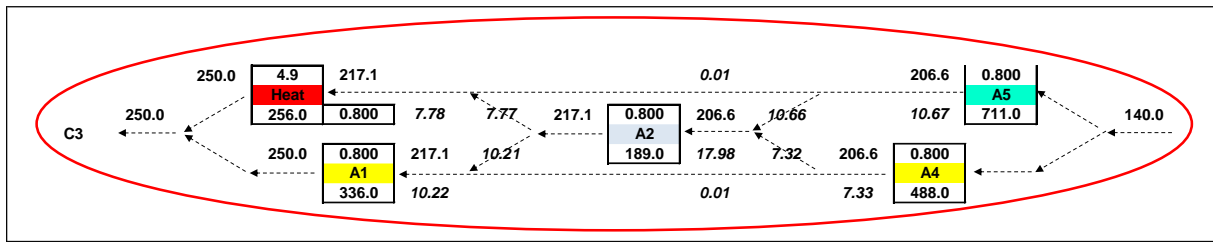


Figure 7.8

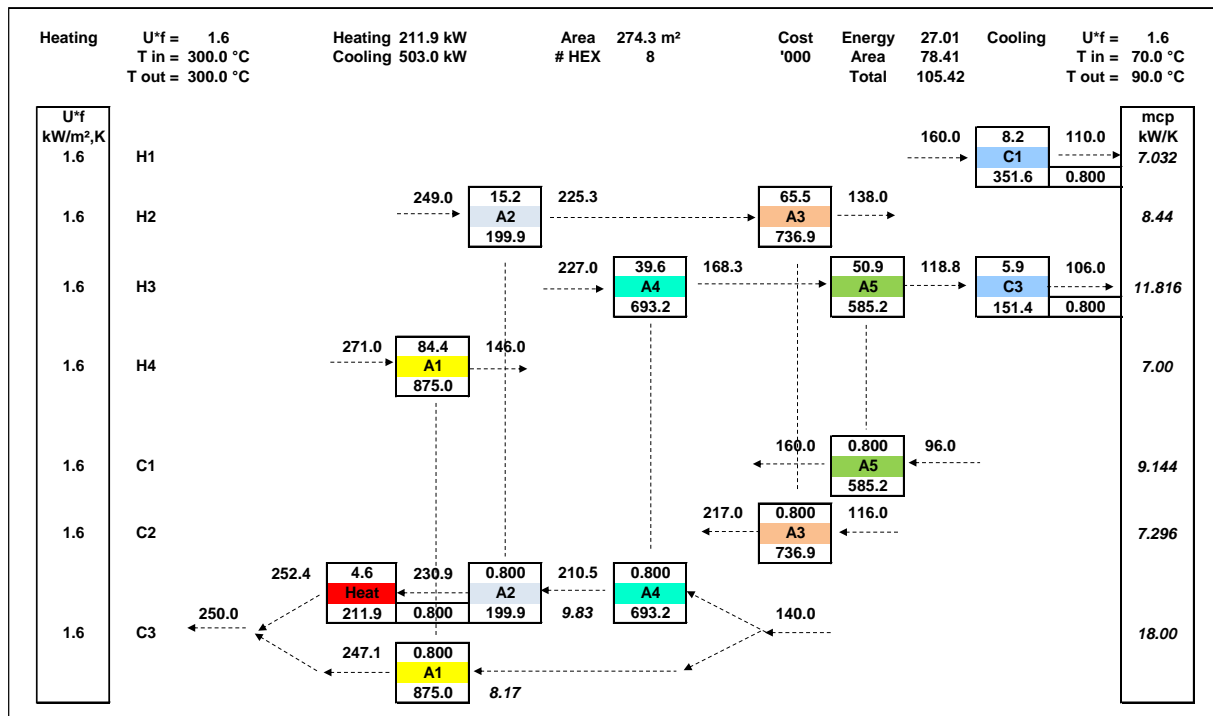


Figure 7.9

8 The 9SP Aromatics Plant from Linnhoff and Ahmad

The 9SP Aromatics Plant problem was first presented by Linnhoff and Ahmad in 1990 [53]. It was the first application of the pinch analysis methodology developed by Linnhoff and its success led to worldwide dissemination. Further studies have been published by Zhu et al. [54], Lewin [55], [114], Gcaba [56], Briones and Kokossis [48], Chakraborty and Ghosh [57], Pattekar [58], Liporace et al. [59], Pettersson [60], Bergamini et al. [61], Yerramsetty and Murty [62], Dipama et al. [63], Avila-Díaz et al. [64], Luo et al. [65], Toffolo [66], Laukkanen and Fogelholm [67], Bogataj and Kravanja [68], Azeez et al. [69], Usman [70], Hussein et al. [71], Huo et al. [72], [96], Ghasvand et al. [73], Peng and Cui [74], Núñez-Serna and Zamora [75], Pavão et al. [21], [76], [77], [78], , Chen et al. [79], Xiao et al. [80], Xu et al. [82], Rathjens and Fieg [83] and Caballero et al.[10]. It has also been studied by this author for networks with splits [84] and for networks without splits [85].

The data are given in Table 8.1, together with shift contributions obtained by crisscross optimisation prior to design.

Table 8.1

Tsupply °C	Ttarget °C	Heat MW	DT-shift K	U*f kW/K,m ²	Descript. -	mcp kW/K
327	40	28700	0	0.50	H1	100
220	160	9600	1	0.40	H2	160
220	60	9600	12	0.14	H3	60
160	45	46000	4	0.30	H4	400
100	300	20000	4	0.35	C1	100
35	164	9030	0	0.70	C2	70
85	138	18550	2	0.50	C3	350
60	170	6600	12	0.14	C4	60
140	300	32000	0	0.60	C5	200
330	250	24000	0	0.50	Heating	
15	30	31720	0	0.50	Cooling	

Cost data

Heating : 60.0 \$/kW,year Cooling : 6.0 \$/kW,year

HEX Cost : 2000 + 60 x Area \$/year

Composite curves are shown in Figure 8.1; hot stream H4 is causing the pinch. The trade-off curve is shown in Figure 8.2 for a pinched system; there is a minimum for a heating load of 24075 kW.

Details of the synthesis procedure have been explained in [85].

The original grid resulting from the analysis shows 15 integration bands which can be reduced to a minimum of 5 (Table 8.2). Using the grid diagram of Table 8.2, an initial network can be generated by LP targeting minimum surface area. That network can be optimised for less units and minimum cost, leading to a number of optimum solutions. Starting with a higher number of bands can sometimes lead to better solutions. An overview of the best solutions for networks with splits is shown in Table 8.3 for networks with 18 units down to 10. As can be seen in Table 8.3, the cost of the best networks is very close to the results of the analysis; the difference is marginal. These networks from Table 8.3 are shown in the Figures 8.3 through 8.11.

A study had also been done on networks without splits [86]. The results, updated with the latest research, are also shown in Table 8.3. These networks are shown in Figures 8.12 through 8.19.

An extensive list of the results in published networks can be found in Table 8.4. The network published by Pettersson [60] with a cost of 2904.95 k\$/year was developed with isothermal splits. The cost can be reduced by incremental evolution to 2896.77 k\$/year and further down to 2892.93 k\$/year when using non-isothermal splits. This was confirmed by Rathjens and Fieg in [83], using complex mathematical tools, however. The study of Avila-Diaz [64] reports a network with a cost of 2904.39 k\$/year. Incremental evolution combined with non-isothermal splits would bring the cost of that network down to 2892.42 k\$/year. Many published networks had to be corrected after detailed reviews. For studies that reported more solutions, only the best network was withheld.

During the search, 12 networks were developed with a cost below 2892.42 k\$/year, being the cost of the best network published by Avila-Diaz after further optimisation. More than 50 networks were developed with a cost below 2900 k\$/year whilst all published networks have a cost above that threshold.

Table 8.2

Process 9SP Aromatcs												
	area (m ²)	#HEX	Area	Cost								
Heatit	17486	15	1254.05									
Designi	17650	23	1281.47									
Stream	supply	Ttarget	Heat	Shift	U*f	mcp	Bands					
-	°C	°C	kW	K	cW/m ² ,h	kW/K	1	2	3	4	5	
Heating	330	250	24075	0	0.50	300.9	330.0	250.0				
H1	327	40	28700	0	0.50	100.0	327.0	219.0	161.5	121.0	98.3	40.0
H2	220	160	9600	1	0.40	160.0		220.0	160.0			
H3	220	60	9600	12	0.14	60.0		220.0	180.3	133.0	110.3	60.0
H4	160	45	46000	4	0.30	400.0			160.0	125.0	102.3	45.0
C1	100	300	20000	4	0.35	100.0	300.0	181.1	136.0	100.0		
C2	35	164	9030	0	0.70	70.0		164.0	140.0	104.0	35.0	
C3	85	138	18550	2	0.50	350.0			138.0	102.0	85.0	
C4	60	170	6600	12	0.14	60.0		170.0	128.0	92.0	60.0	
C5	140	300	32000	0	0.60	200.0	300.0	185.1	140.0			
Cooling	15	30	31795	0	0.50	2119.67					30.0	15.0

Table 8.3

	Heating	Area	# units	# splits	Energy	Capital	Total	EMAT
	MW	m ²	-	-	'000/y	'000/y	'000/y	K
Analysis	24.075	17486	15	-	1635.27	1254.05	2889.32	-
LP-network	24.075	17292	23	-	1635.27	1281.47	2916.74	10.6
Design	24.270	17233	18	9	1648.15	1242.30	2890.44	16.5
Networks with splits	24.280	17234	17	8	1648.80	1240.38	2889.18	16.6
	24.328	17202	16	7	1651.97	1236.14	2888.11	16.6
	24.365	17250	15	5	1654.38	1237.47	2891.85	14.2
	23.930	17702	14	2	1625.70	1267.12	2892.82	14.1
	24.093	17571	13	2	1636.46	1255.95	2892.41	13.8
	24.159	17642	12	3	1640.81	1258.90	2899.72	14.7
	24.126	17843	11	1	1638.60	1271.03	2909.64	8.8
	25.721	16919	10	1	1743.91	1204.35	2948.26	10.4
Design	24.46	17218	16	0	1660.55	1237.17	2897.72	12.2
Networks without splits	24.02	17628	15	0	1631.84	1263.93	2895.77	11.9
	24.21	17483	14	0	1643.85	1251.78	2895.63	11.5
	24.17	17644	13	0	1641.74	1261.08	2902.82	12.4
	24.30	17703	12	0	1650.25	1263.22	2913.47	8.6
	26.15	16160	11	0	1772.29	1153.19	2925.47	11.8
	25.75	16904	10	0	1745.49	1203.27	2948.76	10.8

Table 8.4

	Heating	Area	# units	# splits	Energy	Capital	Total	EMAT
Published networks	MW	m ²	-	-	'000/y	'000/y	'000/y	K
Linnhoff & Ahmad (1990)	25.310	17270	13	0	1716.78	1234.89	2951.67	10.1
Zhu et al. (1995)	26.830	15475	10	0	1817.10	1103.34	2920.34	7.3
Gcaba (1998) °)	37.185	10420	11	0	2500.53	751.42	3251.95	4.7
Lewin (1998)	25.690	16875	11	0	1741.86	1203.26	2945.12	10.0
Pattekar (1999) °)	26.357	17740	14	0	1785.87	1269.80	3055.67	7.9
Briones et al. (1999)	25.364	17483	11	0	1720.34	1245.79	2966.14	6.7
Chakraborty et al. (1999)	25.684	17202	10	0	1741.46	1224.11	2965.50	9.9
Liporace et al. (2001)	25.620	17189	11	1	1737.24	1225.24	2962.48	16.1
Pettersson (2005)	24.266	17473	17	7	1647.88	1257.08	2904.95	14.1
<i>Pettersson optimised</i>	<i>24.154</i>	<i>17406</i>	<i>17</i>	<i>7</i>	<i>1640.48</i>	<i>1252.44</i>	<i>2892.93</i>	<i>16.0</i>
Bergamini et al. (2007)	23.600	18588	15	0	1603.92	1331.18	2935.10	12.4
Dipama et al. (2008)	27.810	16440	16	0	1881.78	1182.84	3064.62	26.0
Avila-Diaz et al. (2008) °)	23.773	18019	13	2	1615.34	1287.36	2902.70	9.9
<i>Avila-Diaz optimised</i>	<i>24.076</i>	<i>17587</i>	<i>13</i>	<i>2</i>	<i>1635.34</i>	<i>1257.09</i>	<i>2892.42</i>	<i>13.9</i>
Yerramsetty et al. (2008)	25.883	16791	15	0	1754.58	1205.40	2959.98	12.0
Fieg et al. (2009)	23.615	18420	14	3	1604.88	1317.42	2922.30	11.3
Toffolo (2009)	23.678	18300	15	4	1609.07	1311.03	2920.10	13.3
Gupta and Ghosh (2010)	20.376	24388	12	0	1391.14	1731.13	3122.27	7.4
Azeez (2012) °)	23.903	17989	17	6	1623.90	1293.25	2917.15	16.9
Bogataj & Kravanja (2012)	23.630	18816	14	0	1605.90	1345.14	2951.04	10.4
Huo revised (2012)	24.220	17773	13	2	1644.84	1270.12	2914.96	12.0
Hussein et al. (2013) revised	23.080	21071	15	0	1569.60	1504.95	3074.55	17.8
Usman et al. (2013) °)	22.400	20423	11	0	1451.62	1524.72	2976.34	16.2
Ghiasvand et al. (2014) °)	25.010	17182	16	0	1696.98	1234.76	2931.74	10.8
Peng and Cui (2015)	24.495	17745	15	0	1662.99	1272.12	2935.11	15.2
Núñez-Serna et al. (2016)	23.411	18695	15	0	1591.47	1338.64	2930.11	11.8
Pavão et al. (2018)	24.758	17166	14	2	1680.34	1229.59	2909.93	11.2
Rathjens and Fieg (2019)	24.237	17698	12	4	1645.93	1262.84	2908.77	12.4
Caballero et al. (2021)	23.865	17709	15	5	1621.43	1283.22	2904.65	15.1

°) revised

Figure 8.1

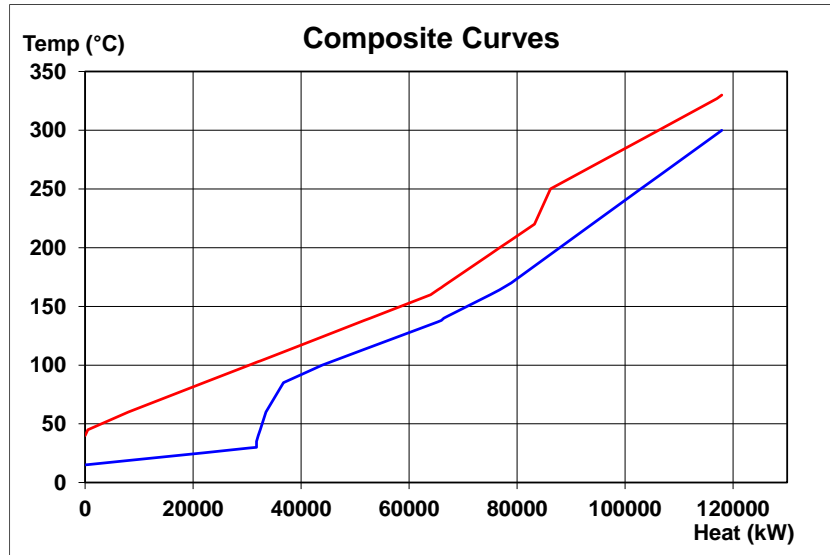


Figure 8.2

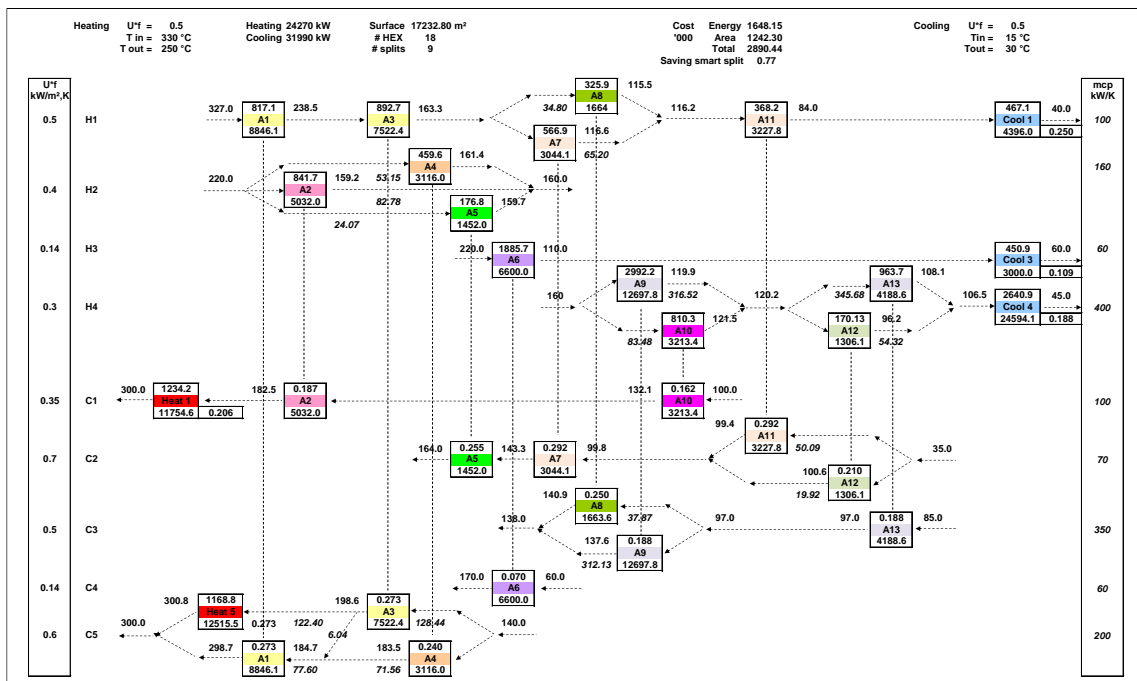
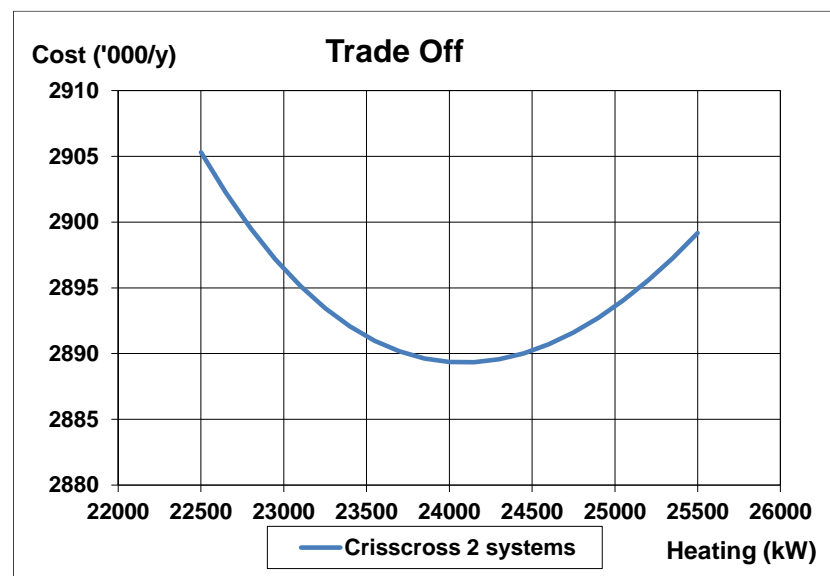


Figure 8.3

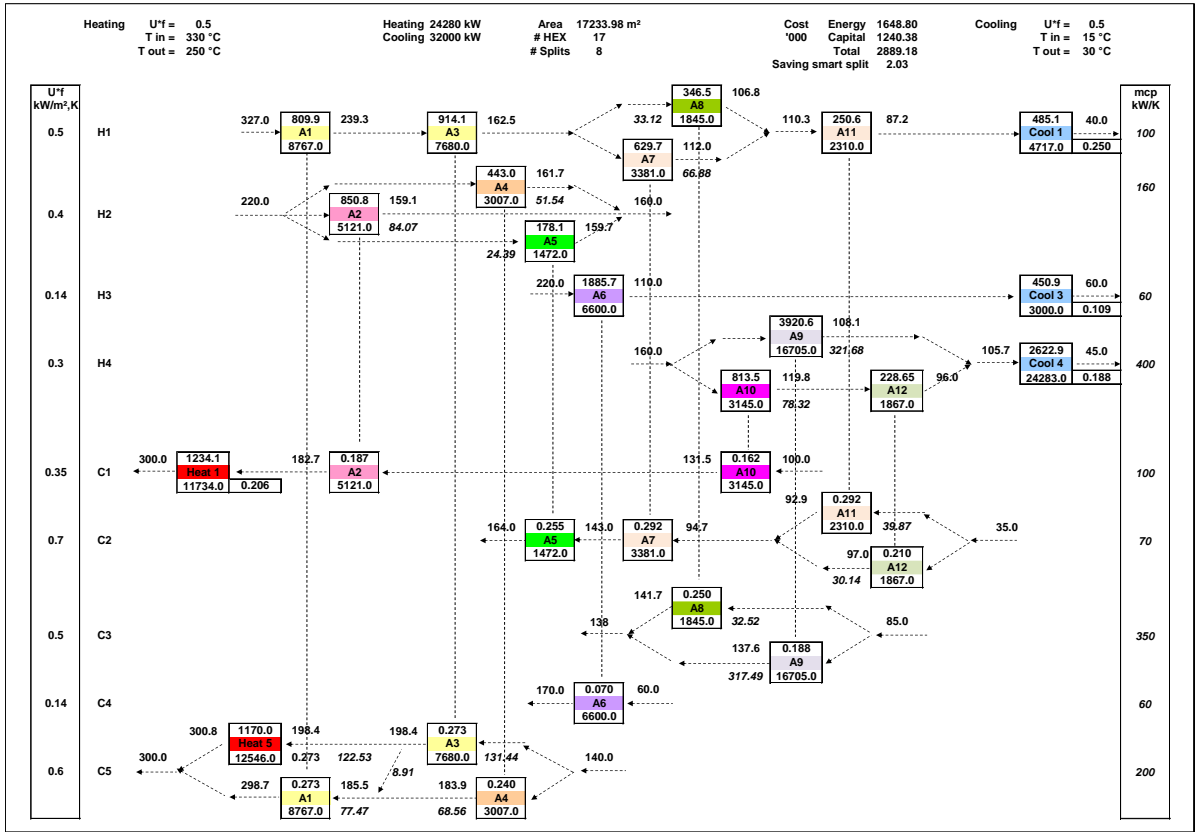


Figure 8.4

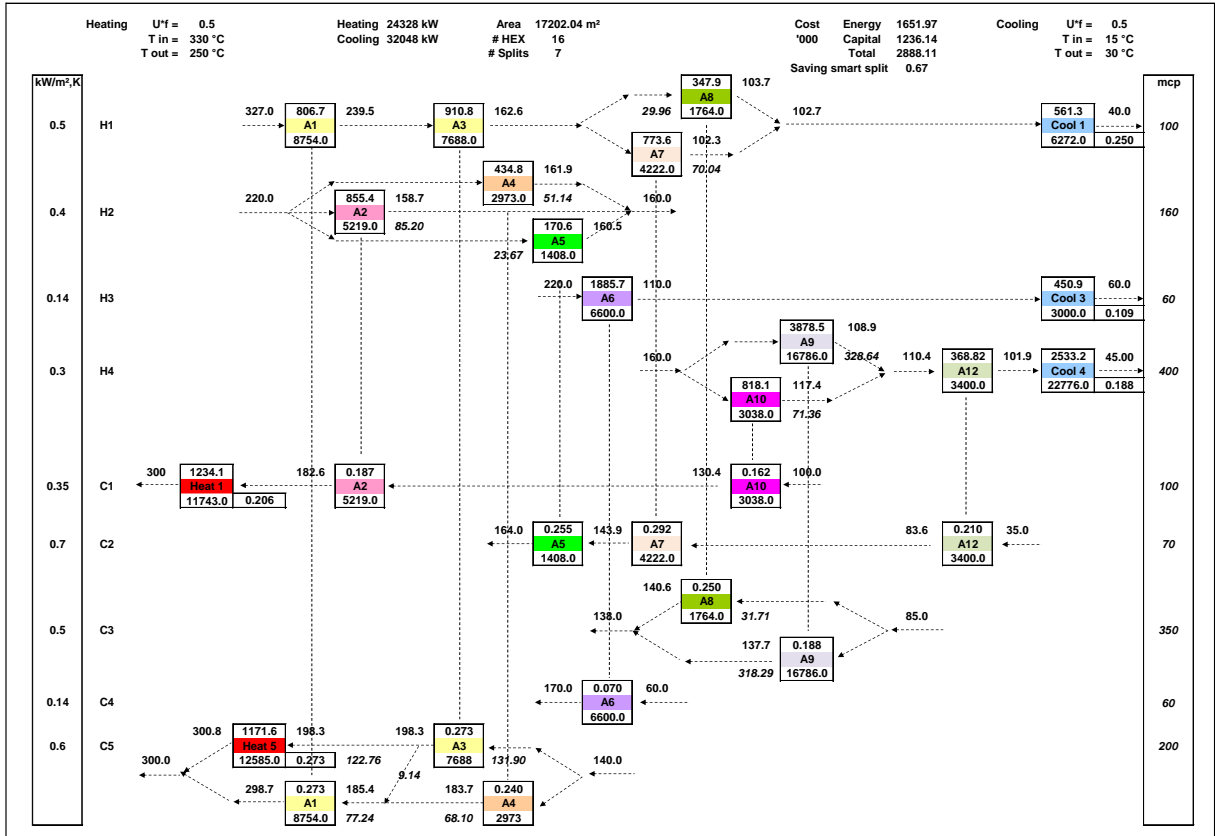


Figure 8.5

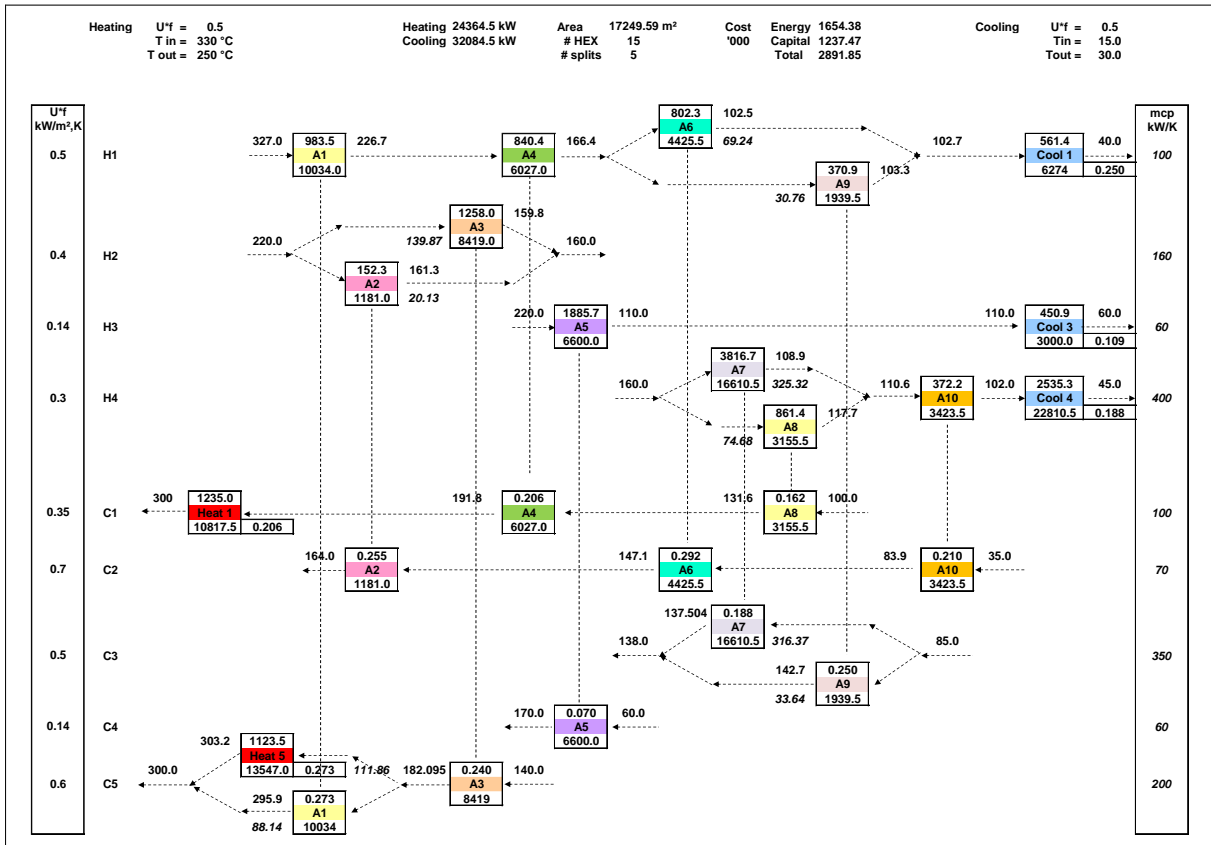


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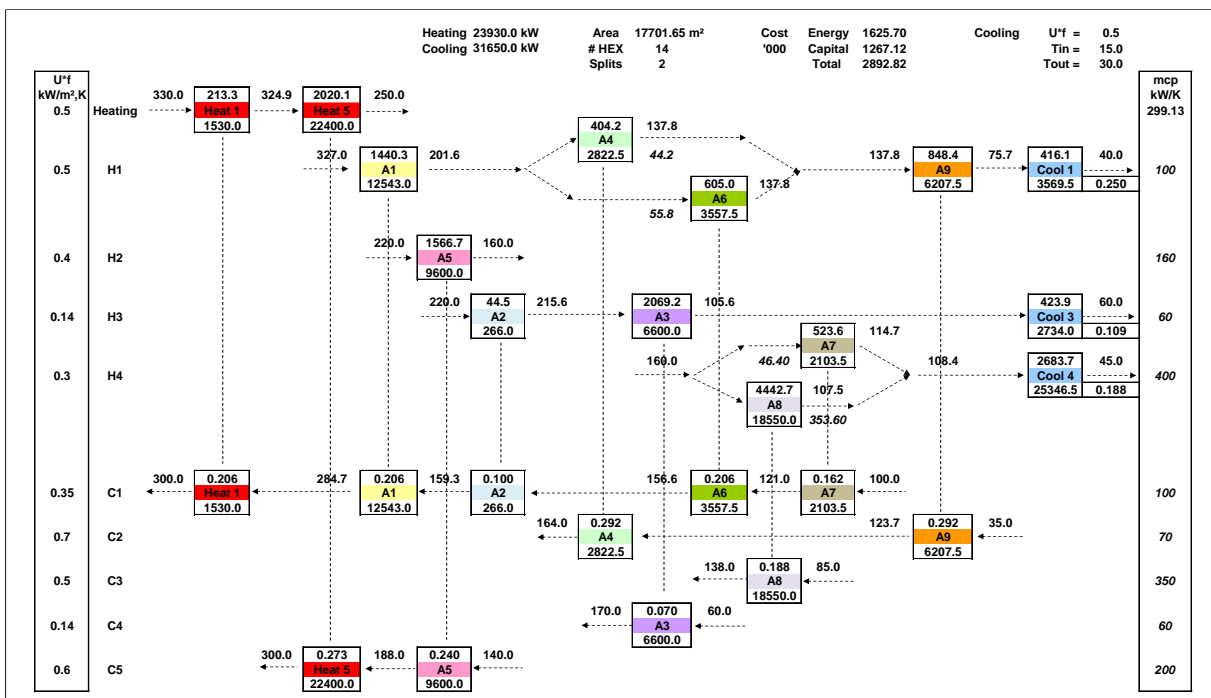


Figure 8.7

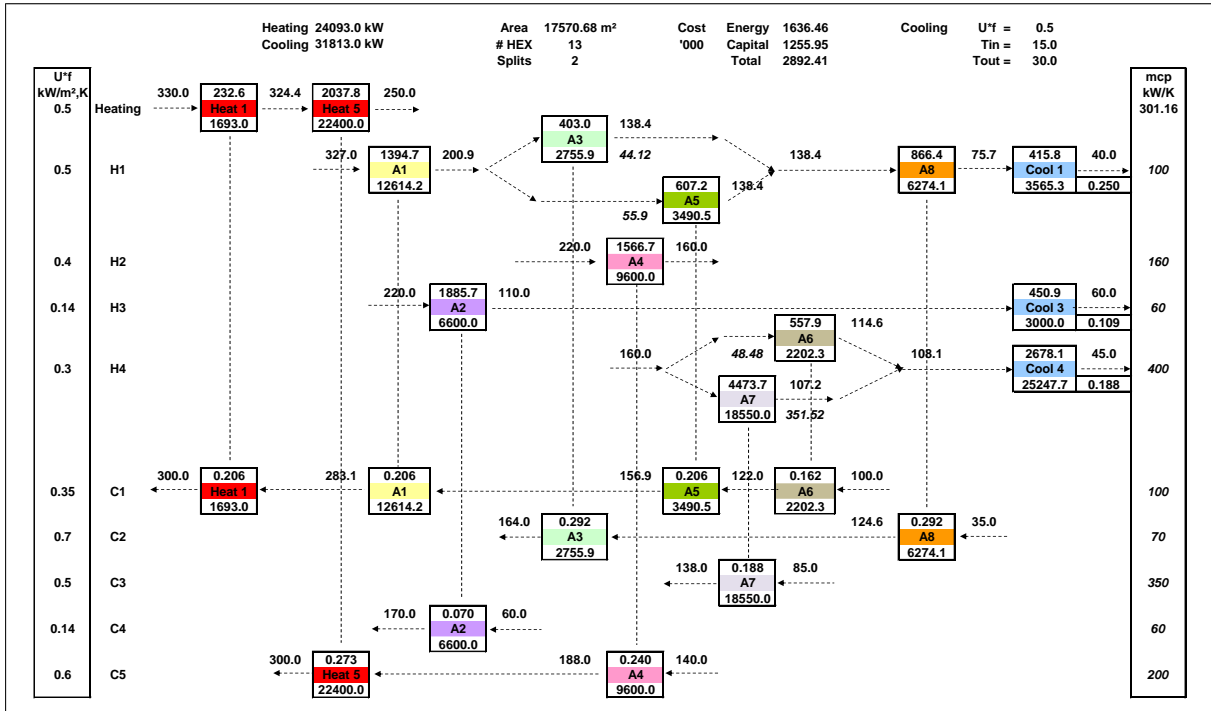


Figure 8.8

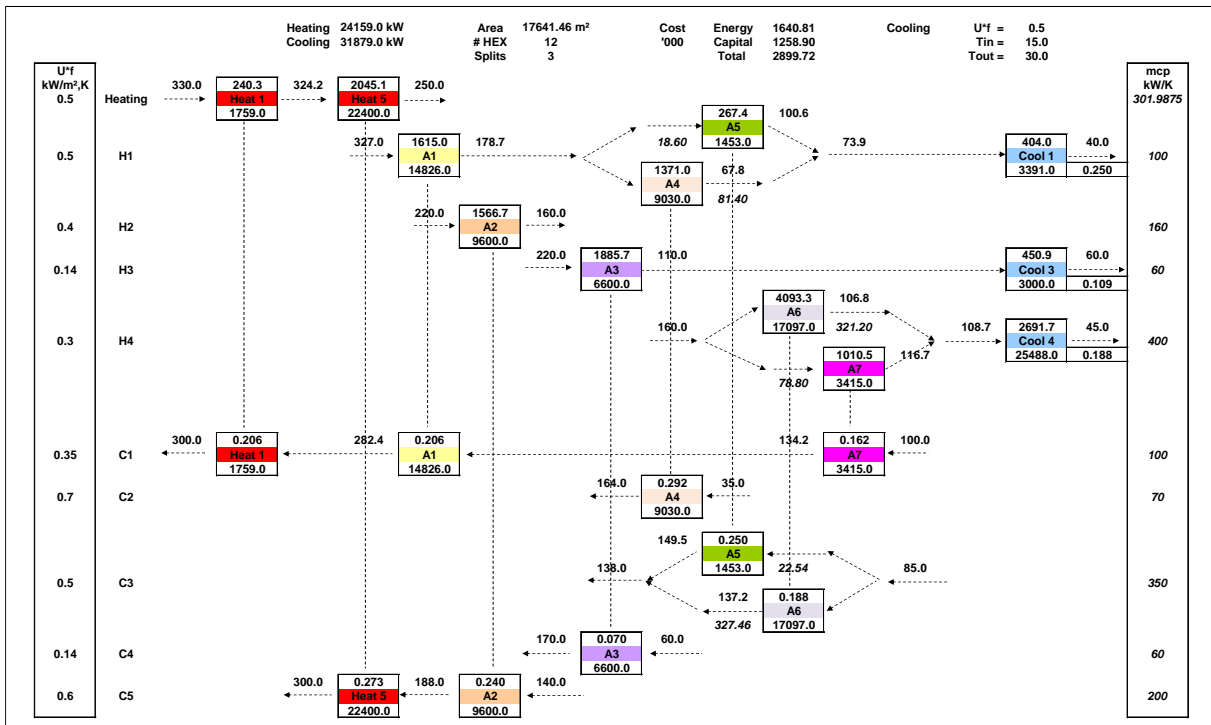


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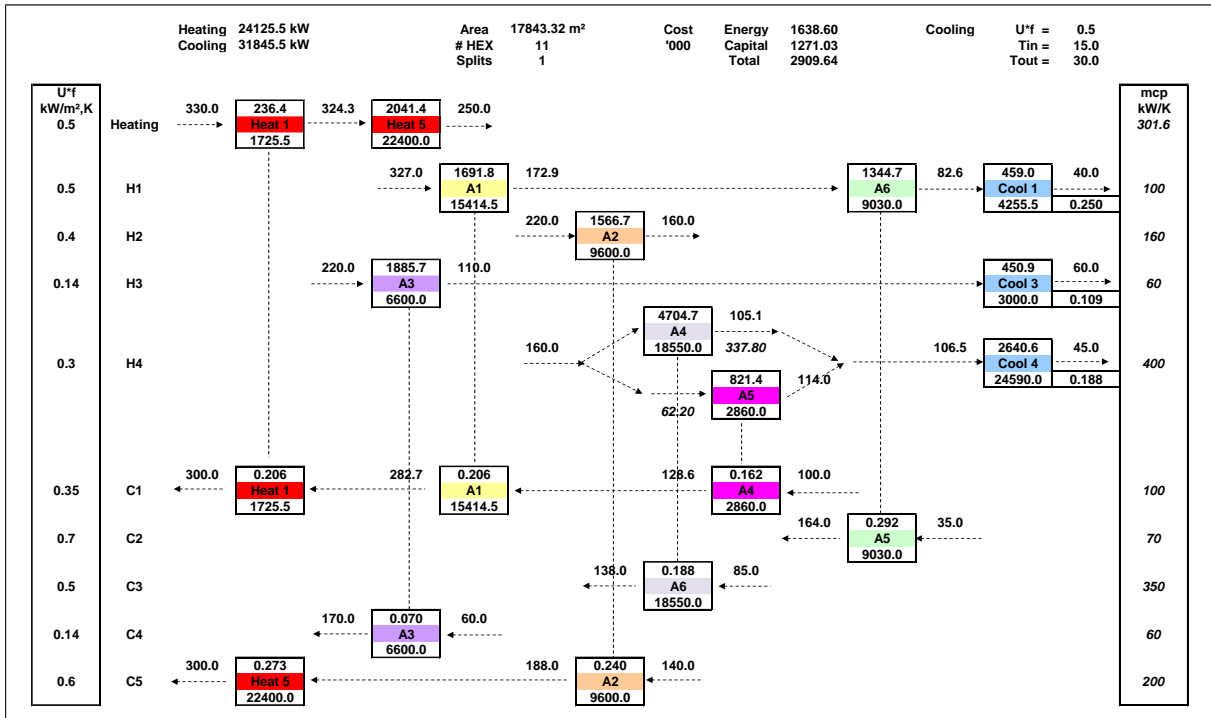


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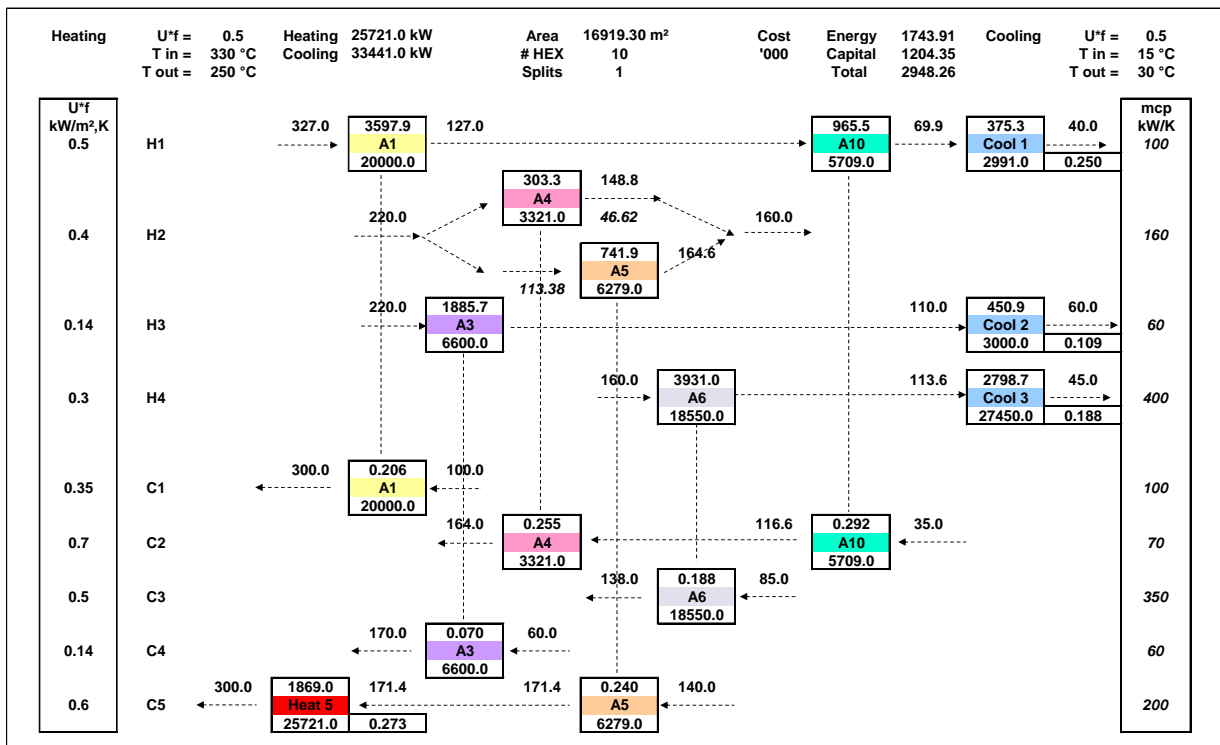


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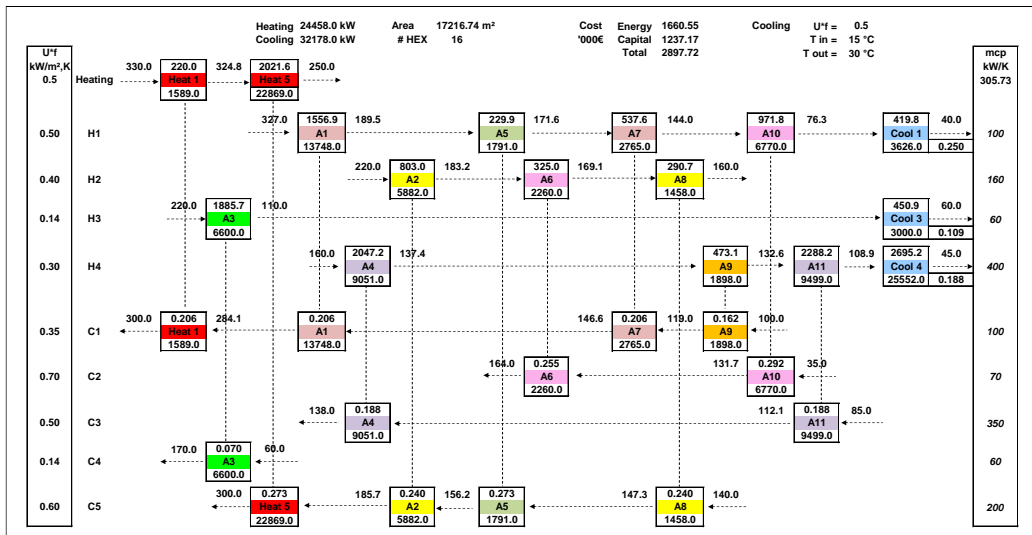


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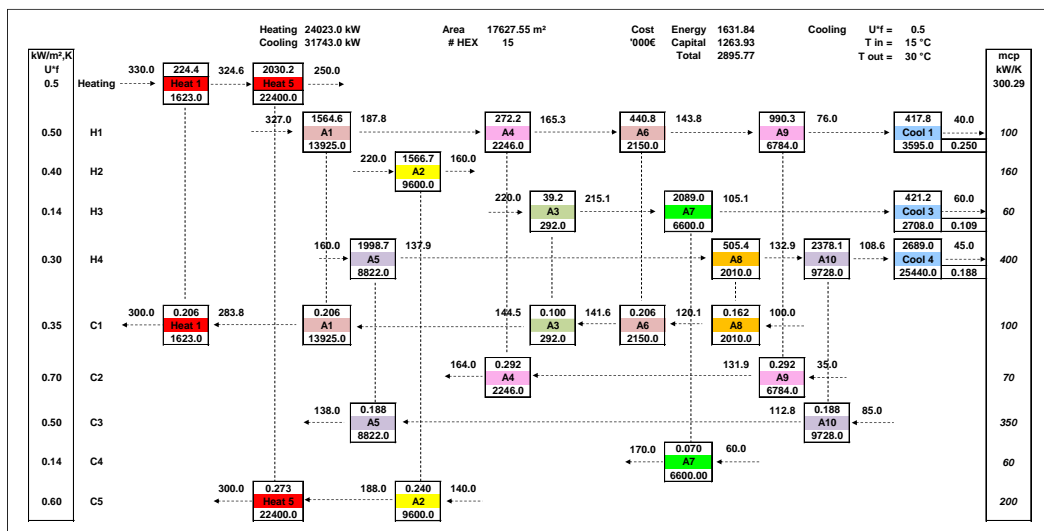


Figure 8.13

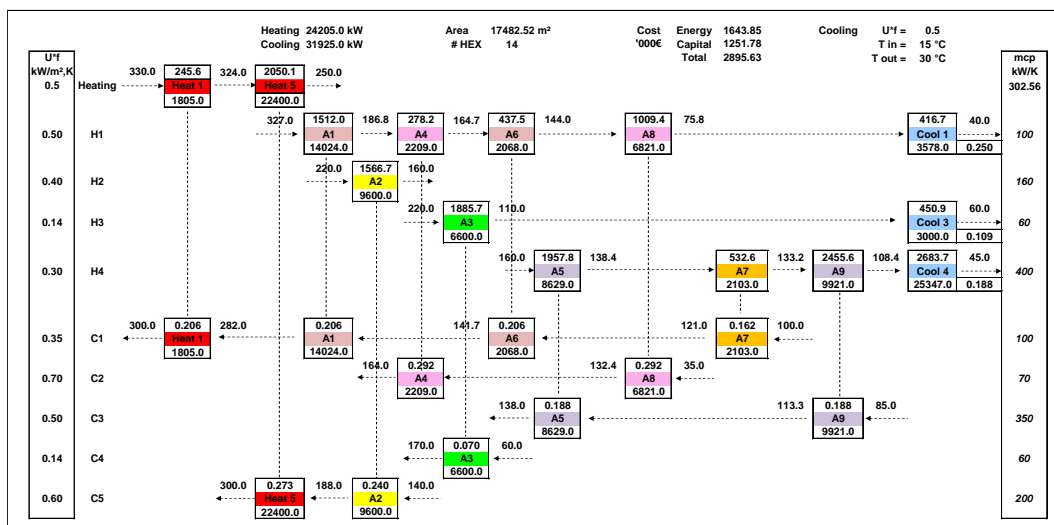


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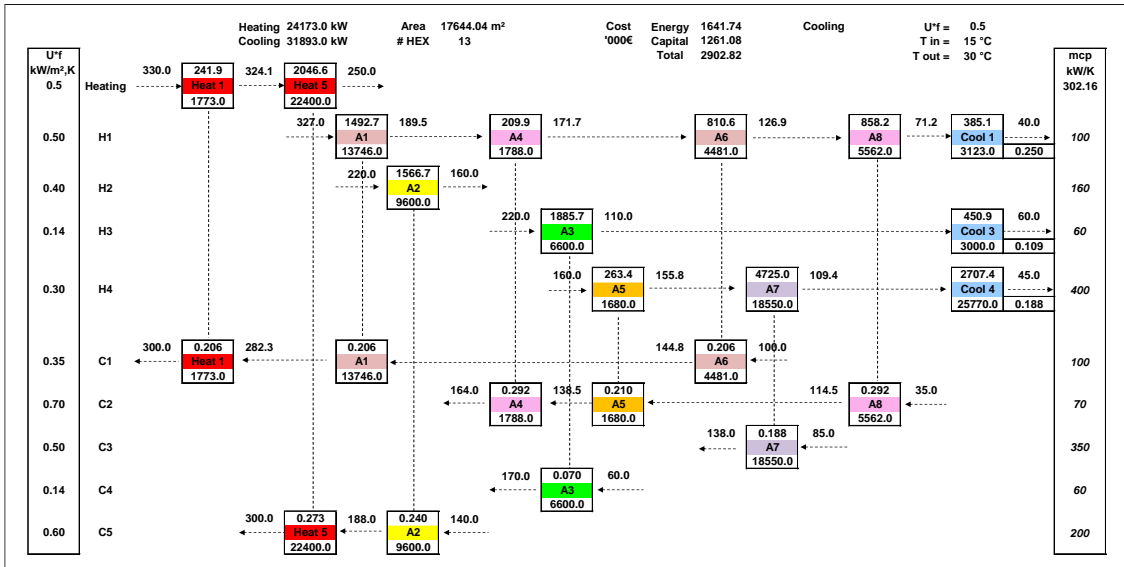


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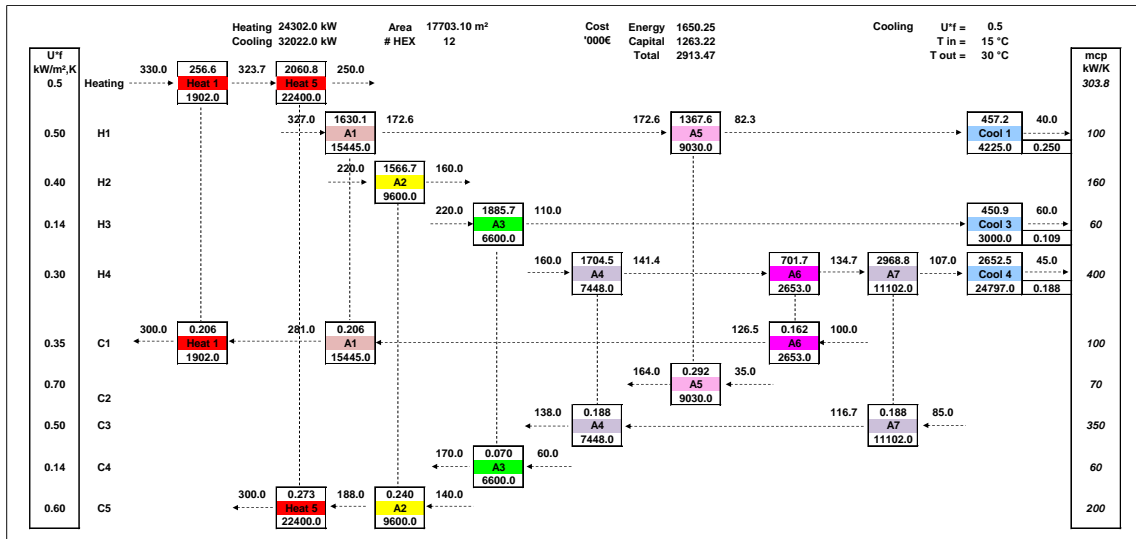


Figure 8.16

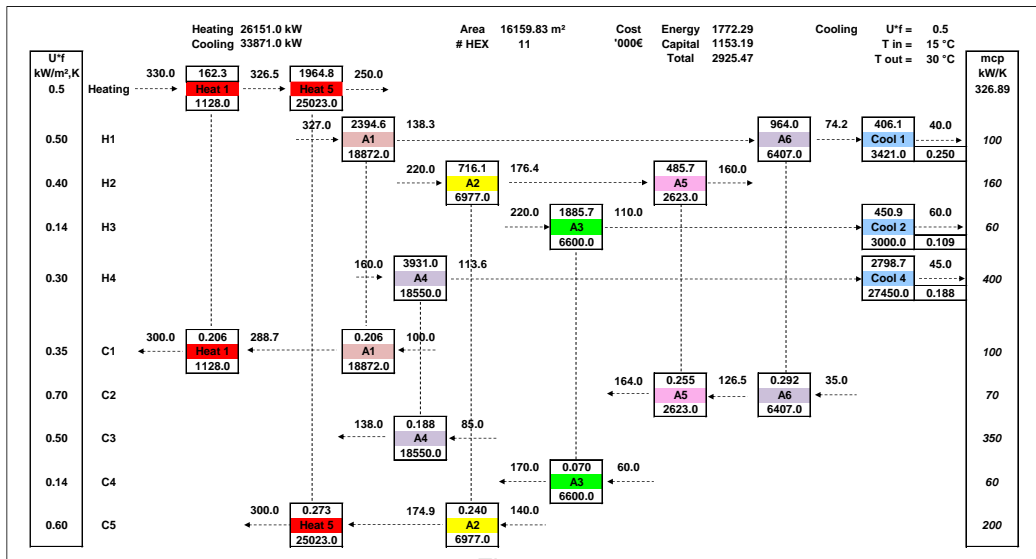


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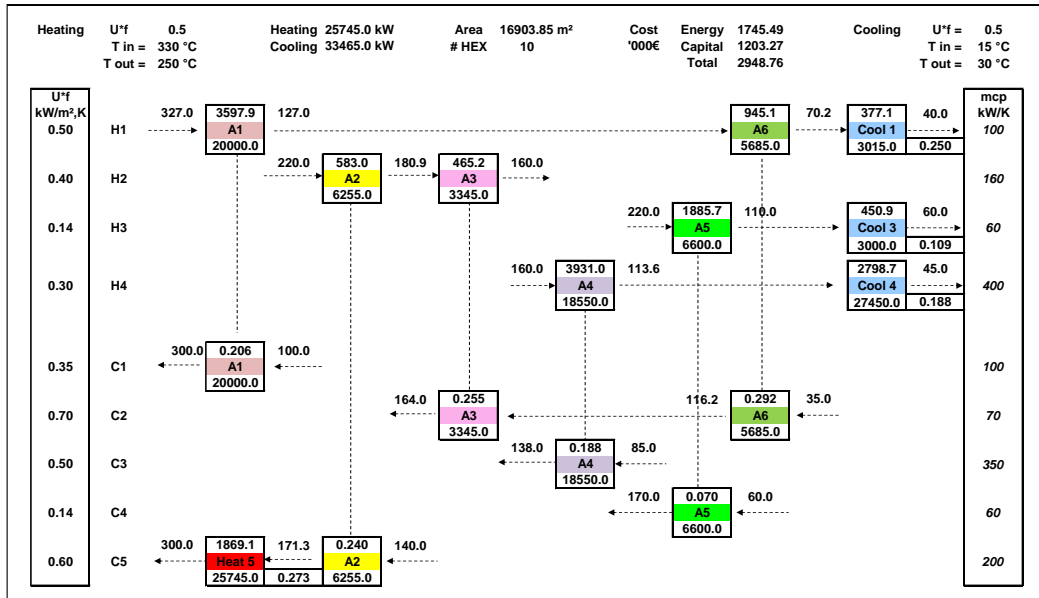


Figure 8.18

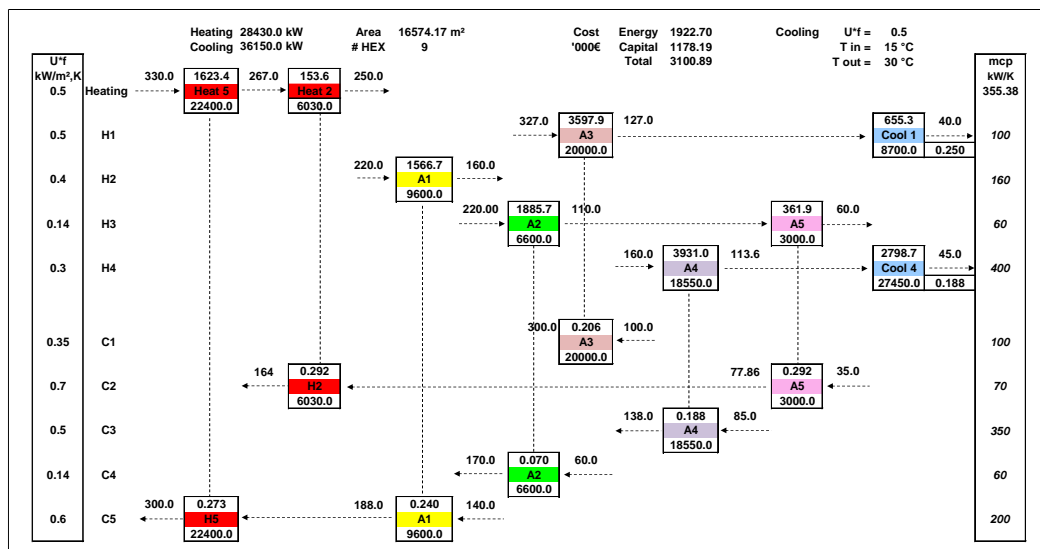


Figure 8.19

9 The 10 streams problem from Ahmad (6H4C)

This 10 streams example was first presented by Ahmad in 1985 [12]. It was also studied by Ravagnani et al. [88], [95], Yerramsetty & Murty [89], Khorasany & Fesanghary [90], Bao et al. [92], Gorji-Bandpy et al. [94], Huo et al. [966], Laukkanen et al. [67], He & Cui [91], Peng & Cui [74], Myankoooh & Shafiei [93], Dina et al. [81], Zhang et al. [114], Huang et al. [86], Huang & Karimi [87], Chen et al. [79], Pavão et al. [77], Rathjens & Fieg [83], Caballero et al. [10] and Xu et al. [34]. It comprises 6 hot streams and 4 cold streams, 1 hot utility and 1 cold utility. The data set is shown in Table 9.1.

Table 9.1

T _{supply} °C	T _{target} °C	Heat kW	U*f kW/K,m ²	mcp kW/K	Descript. -
85	45	6252	0.05	156.3	H1
120	40	4000	0.05	50.0	H2
125	35	2151	0.05	23.9	H3
56	46	12500	0.05	1250.0	H4
90	86	6000	0.05	1500.0	H5
225	75	7500	0.05	50.0	H6
40	55	7000	0.05	466.667	C1
55	65	6000	0.05	600.0	C2
65	165	18000	0.05	180.0	C3
10	170	13008	0.05	81.3	C4
200	198		0.05		Heating
15	20		0.05		Cooling

Cost data

Heating : 100.0 \$/kW,year

Cooling : 15.0 \$/kW,year

HEX cost : 8000 + 60 x Area (m²) \$/year

It is appropriate to mention the important differences between the original data used by Ahmad and those in later studies: in the latter, hot stream H5 can be combined with cold stream C2, creating an independent system, in the former hot stream H5 has a load of 7500 kW which excludes such system. In the former, cold stream C3 has a load of 19500 kW, in the latter that load is only 18000 kW; in the former, utility temperatures should be estimated and best estimates differ from the data used in the latter. Consequently, no valid comparison can be made between the results of the two data sets. Most of the studies, however, have used the data as reported by Khorasany et al. [90] that also include fix costs and which are given in Table 9.1. In results of networks without fix costs, such costs have been added to make results comparable. In many studies, an approximate heat capacity flow rate of 466.7 kW/K was used for cold stream C1; this was adjusted to a value of 466.667 to generate a more precise heat load of 7000 kW for that stream.

Composite curves are shown in Figure 9.1. For an overall ΔT of 16 K and lower, the pinch is caused by hot stream H4; for a ΔT of 17 K and up to 32.3 K, the pinch is caused by cold stream C1; for a ΔT above 32.5 K, the pinch is caused by hot stream H5. The trade-off in Figure 9.2 shows a minimum for a heating load of around 20300 kW; for that heating, the pinch would be caused by cold stream C1. Target costs for that heating are between 5690.5 and 5698.5 k\$/year.

A series of networks was developed using a simplified grid, applying LP to obtain initial networks and further cost reduction by incremental evolution and stream swaps. Another series was developed using pinch design rules, combined with heuristics such as ticking of the biggest and/or smallest heat loads with one heat exchanger unit. This led to the networks with coolers on hot streams H1 and H4 and a single heat exchanger unit on hot stream H3.

A summary of the results is shown in Table 9.2, together with published results from literature. Networks with more than 20 units were not competitive because of too high fix cost and were not with-

held. The optimum network has a cost of 5701.71 k\$/year, which is within 0.2% of the target. For the best networks, using splits is advantageous as can be seen in Figure 9.3. The best networks with 19 units down to 9 are shown in Figures 9.4 through 9.14. During the search, 40 networks were developed within a cost range of 0.2% from the optimum.

Table 9.2

	Heating	Area	# HEX	# Splits	Energy	Capital	Total
Published networks	kW	m ²	-	-	'000 \$/y	'000 \$/y	'000 \$/y
Yerramsetty & Murty (2008) °°)	20745.4	56085	13	0	2301.65	3469.08	5770.73
Khorasany & Fesanghany (2009) °°)	19605.5	58100	12	0	2170.56	3582.00	5752.56
Laukkanen and Fogelholm (2011) °°)	20405.7	56367	14	2	2262.58	3494.00	5756.59
Gorji-Bandpy et al. (2011) °°)	19549.1	58196	15	0	2164.07	3611.70	5775.76
Huo et al. (2012) °°)	20007.5	57093	13	0	2216.79	3529.60	5746.39
He & Cui (2013) °°)	20267.4	56043	18	0	2246.67	3506.56	5753.23
Huang et al. (2014) °)	20207.0	56778	11	1	2239.73	3494.65	5734.39
Peng & Cui (2015) °°)	20038.0	56654	15	0	2220.29	3519.26	5739.56
Myankooh & Shafiei (2015) °°)	19992.3	57118	15	0	2215.04	3547.08	5762.11
Zhang et al. (2017) °)	20276.0	56001	19	0	2237.96	3512.06	5750.02
Bao (2018) °)	20323.7	56084	14	2	2253.15	3477.03	5730.19
Rathjens (2019) °)	20420.3	55883	12	2	2264.26	3448.97	5713.23
Caballero (2021) °)	20461.9	55616	13	3	2269.04	3440.97	5710.01
Xu et al. (2021) °)	20423.2	55597	13	2	2264.59	3439.84	5704.43

°) fine tuned or revised °°) revised with fix costs

This research	20382	55395	19	2	2259.86	3475.7	5735.56
	20422	55325	18	3	2264.45	3463.53	5727.98
	20442	55311	17	2	2266.75	3454.64	5721.39
	20462	55331	16	2	2269.06	3447.83	5716.89
	20485	55324	15	2	2271.70	3439.44	5711.14
	20496	55378	14	2	2269.86	3434.67	5704.53
	20447	55506	13	2	2267.33	3434.38	5701.71
	20339	55972	12	2	2254.91	3454.33	5709.24
	20335	56299	11	2	2254.45	3465.96	5720.41
	20003	57431	10	1	2216.27	3525.86	5742.13
	20256	64077	9	0	2245.37	3916.60	6161.97

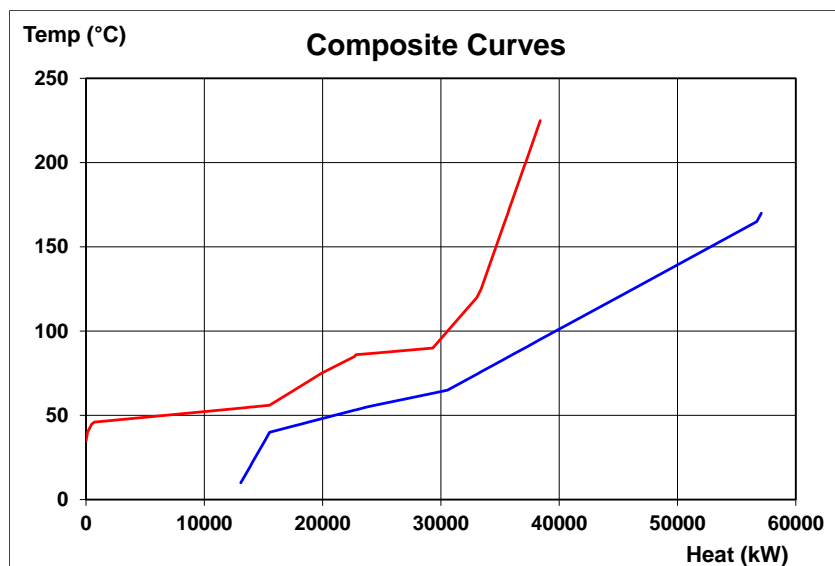


Figure 9.1

Figure 9.2

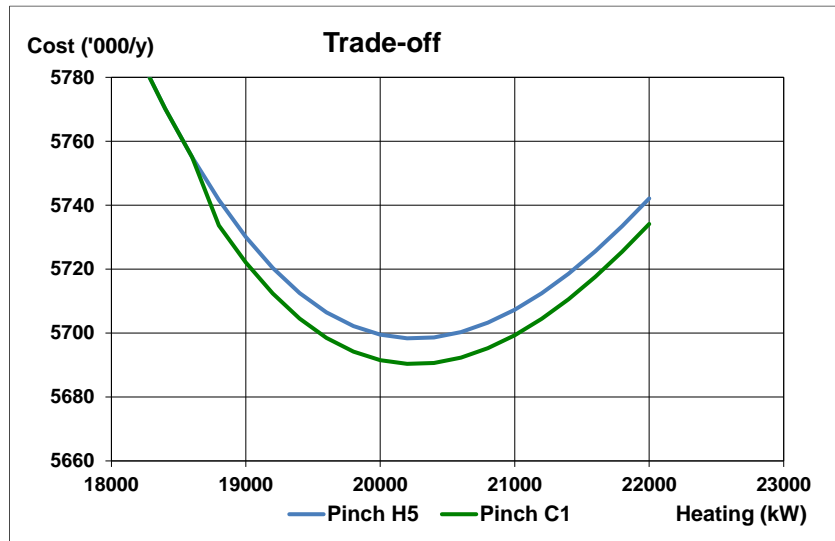


Figure 9.3

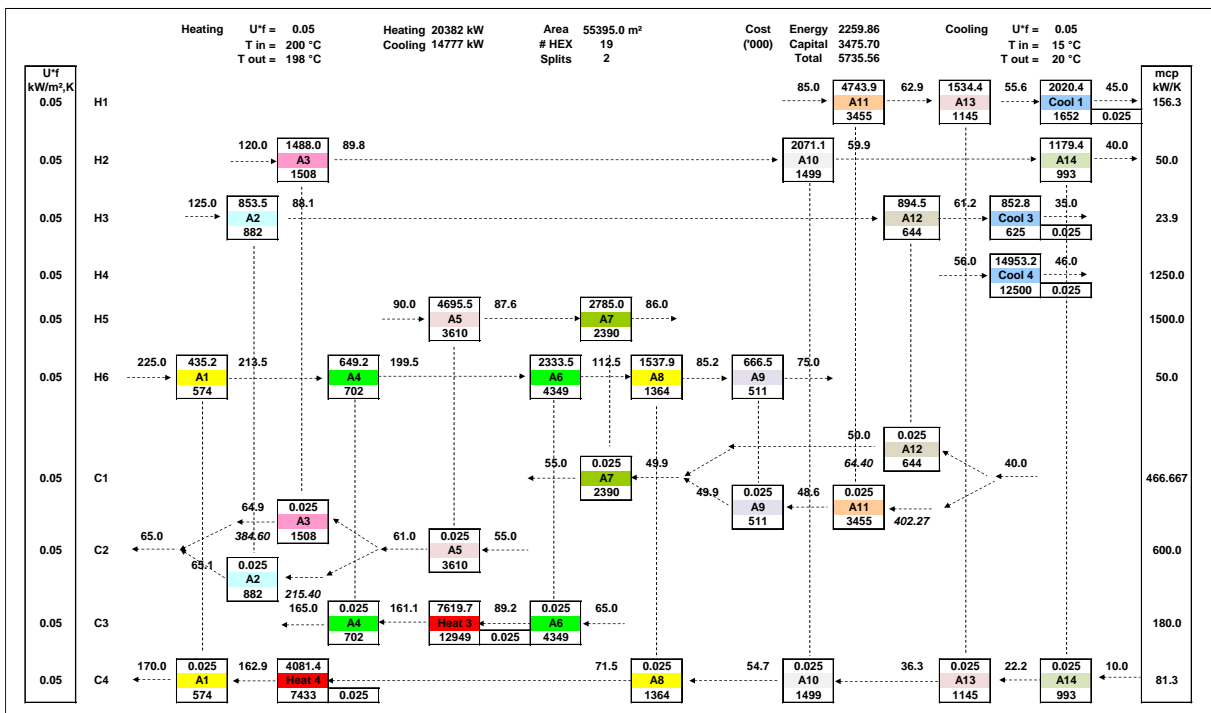
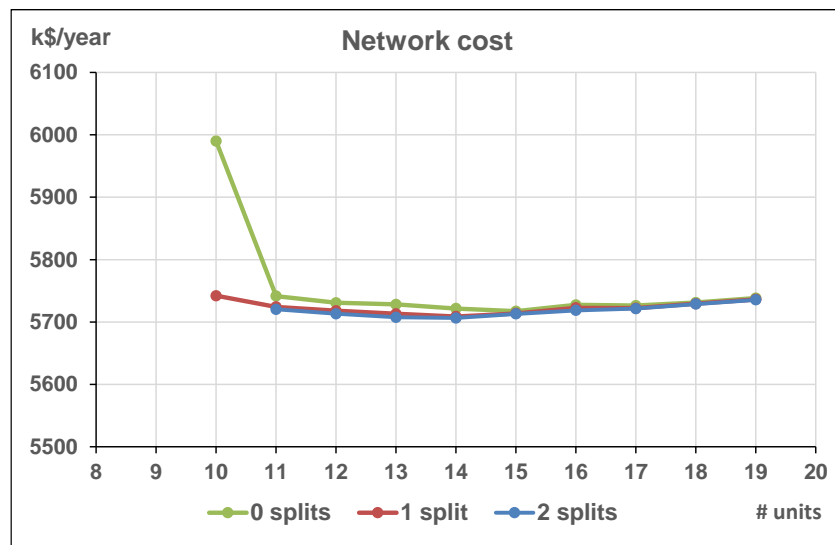


Figure 9.4

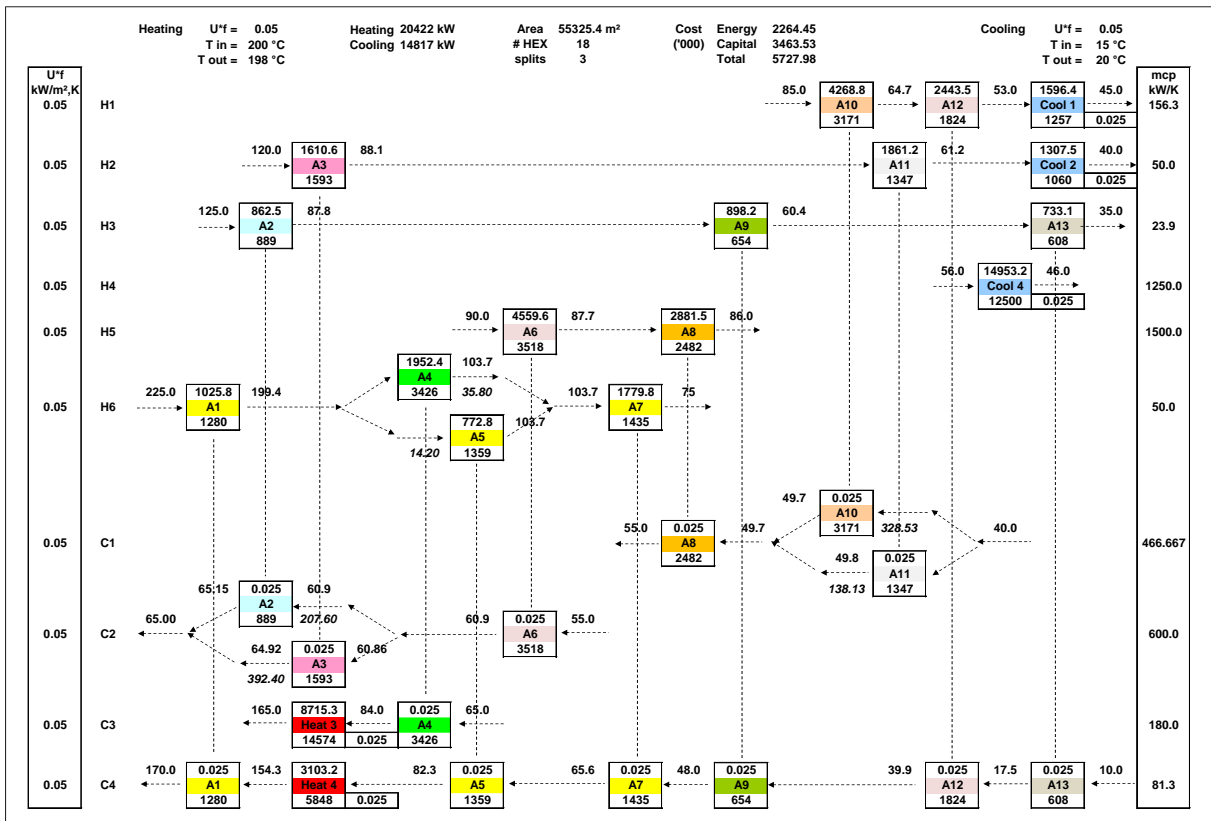


Figure 9.5

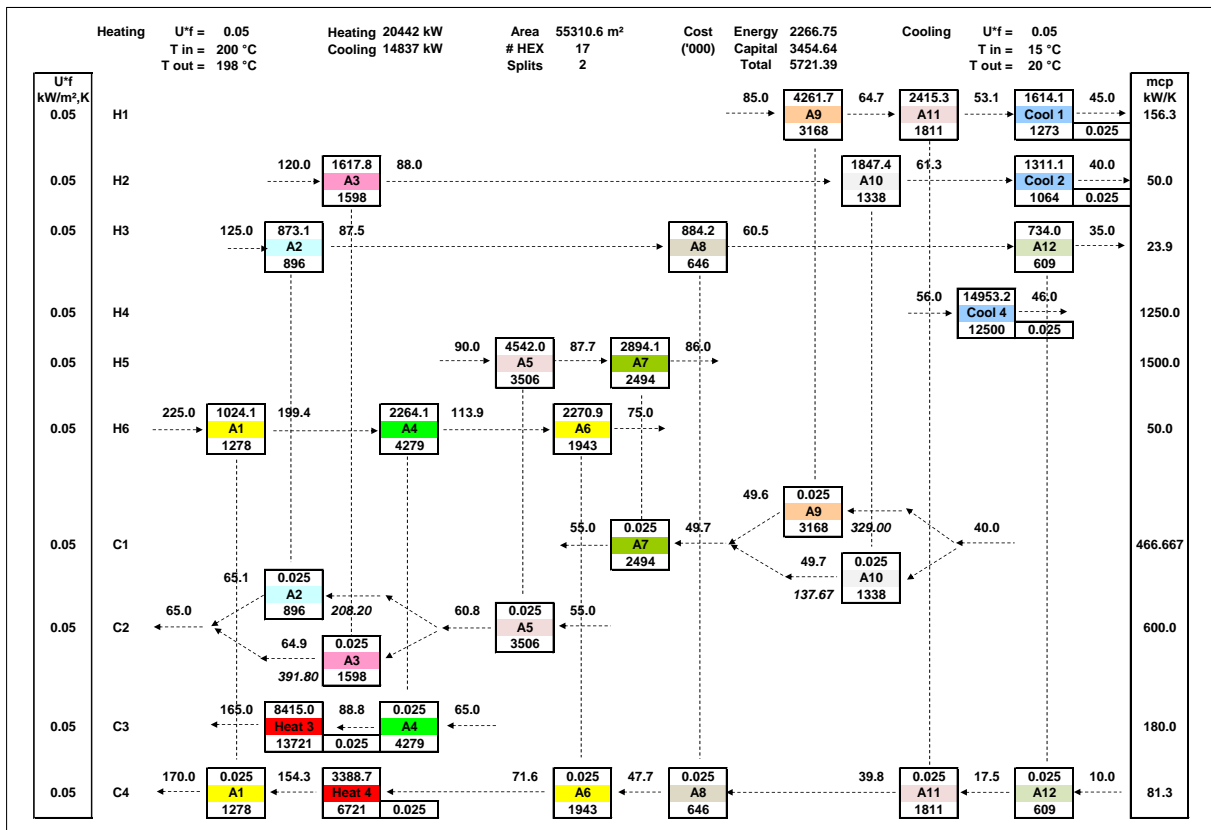


Figure 9.6

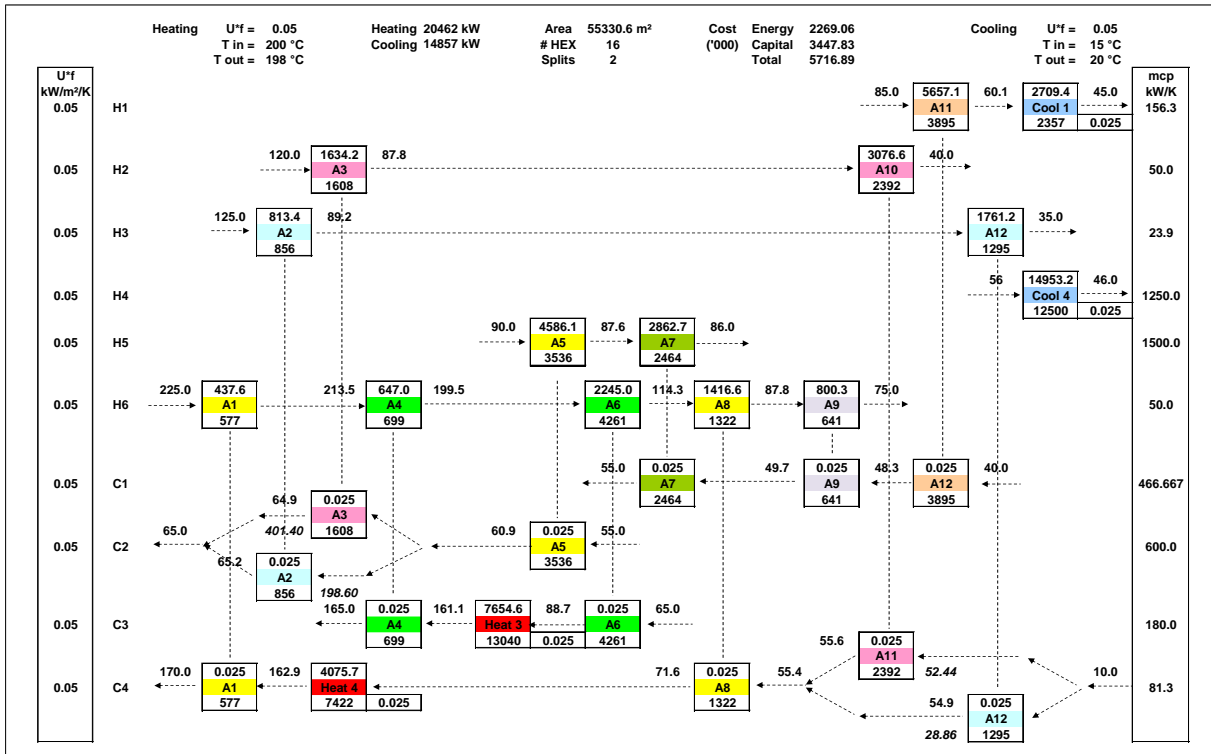


Figure 9.7

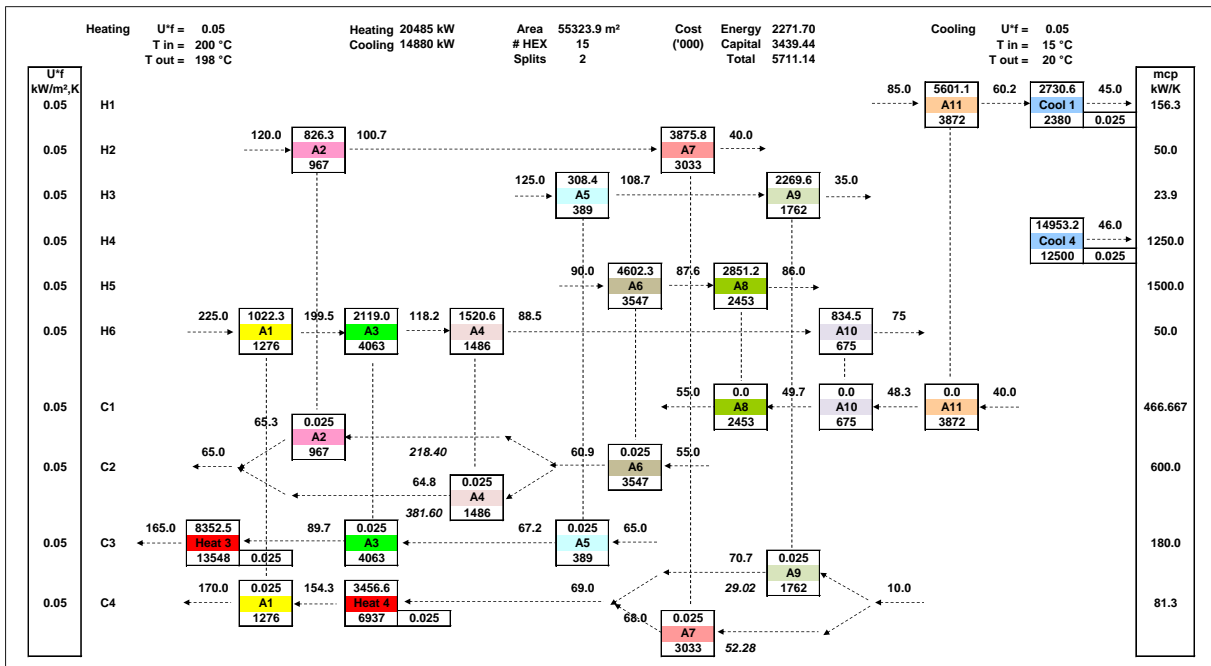


Figure 9.8

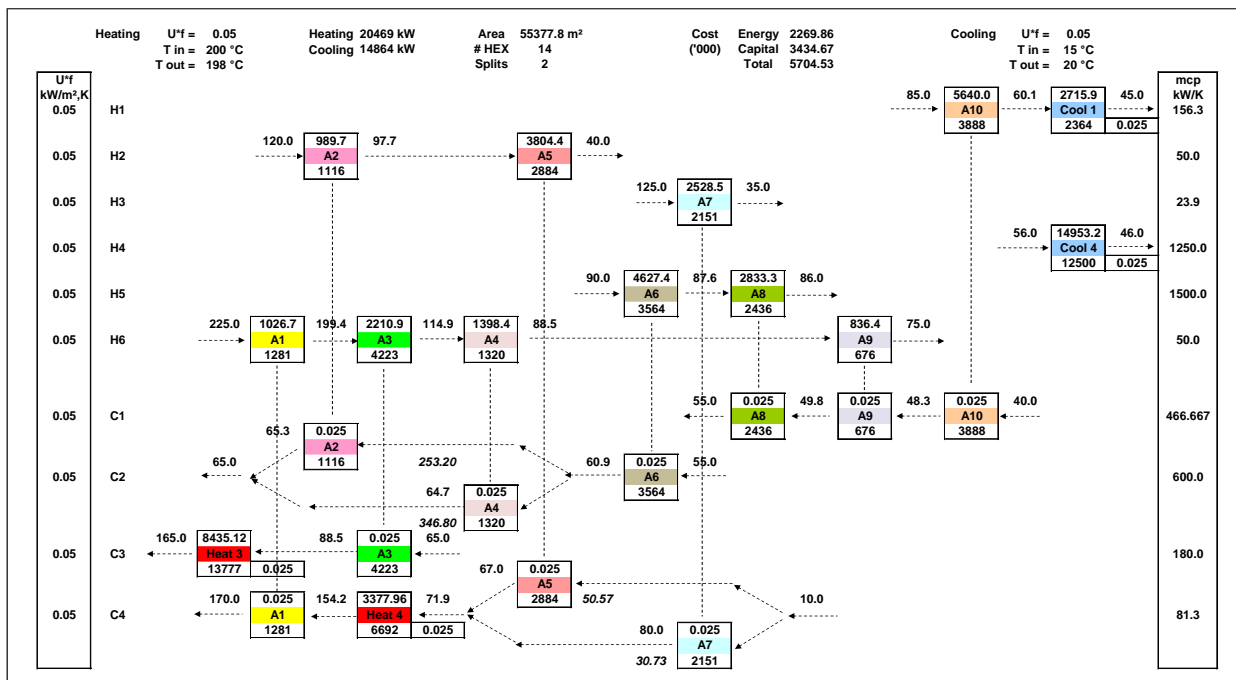


Figure 9.9

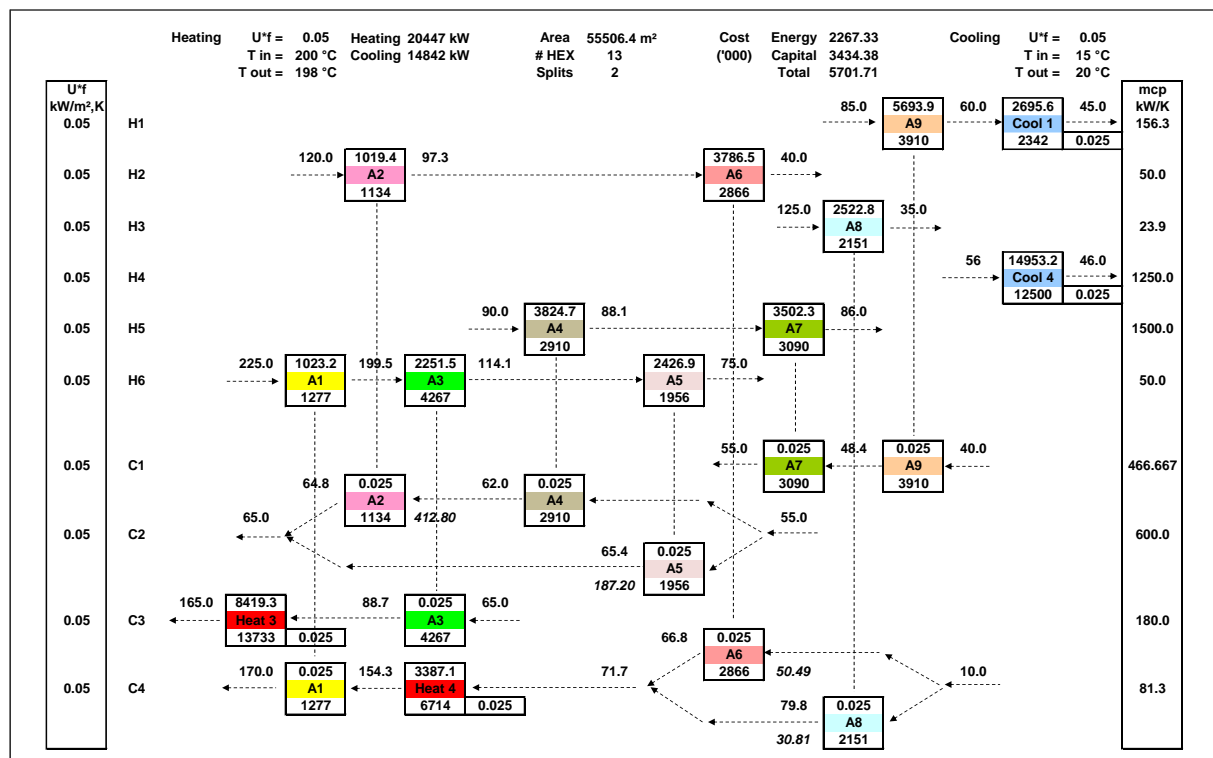


Figure 9.10

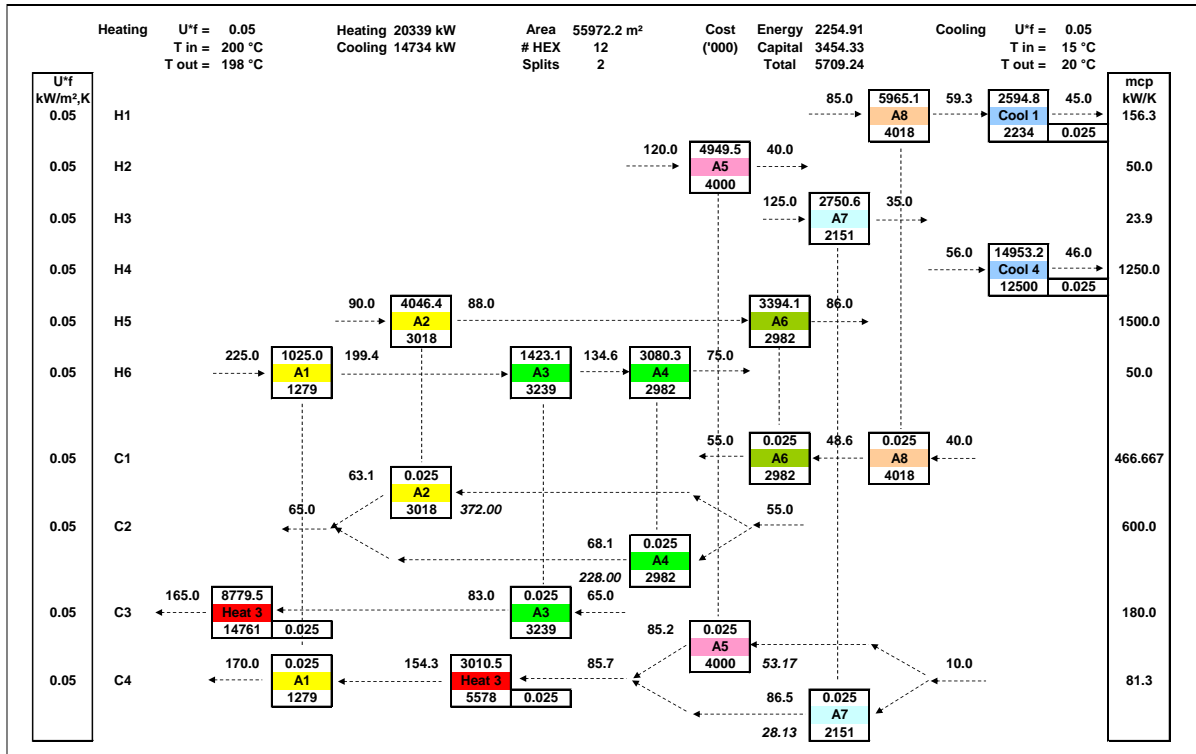


Figure 9.11

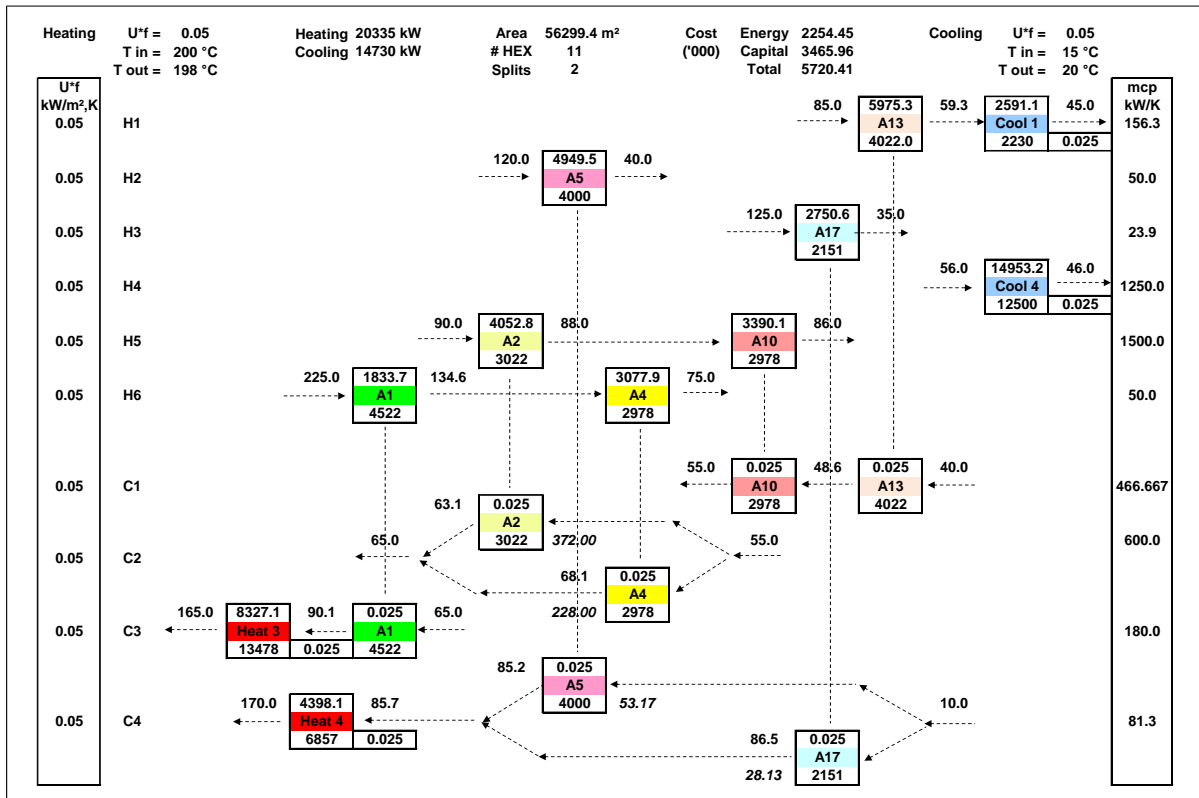


Figure 9.12

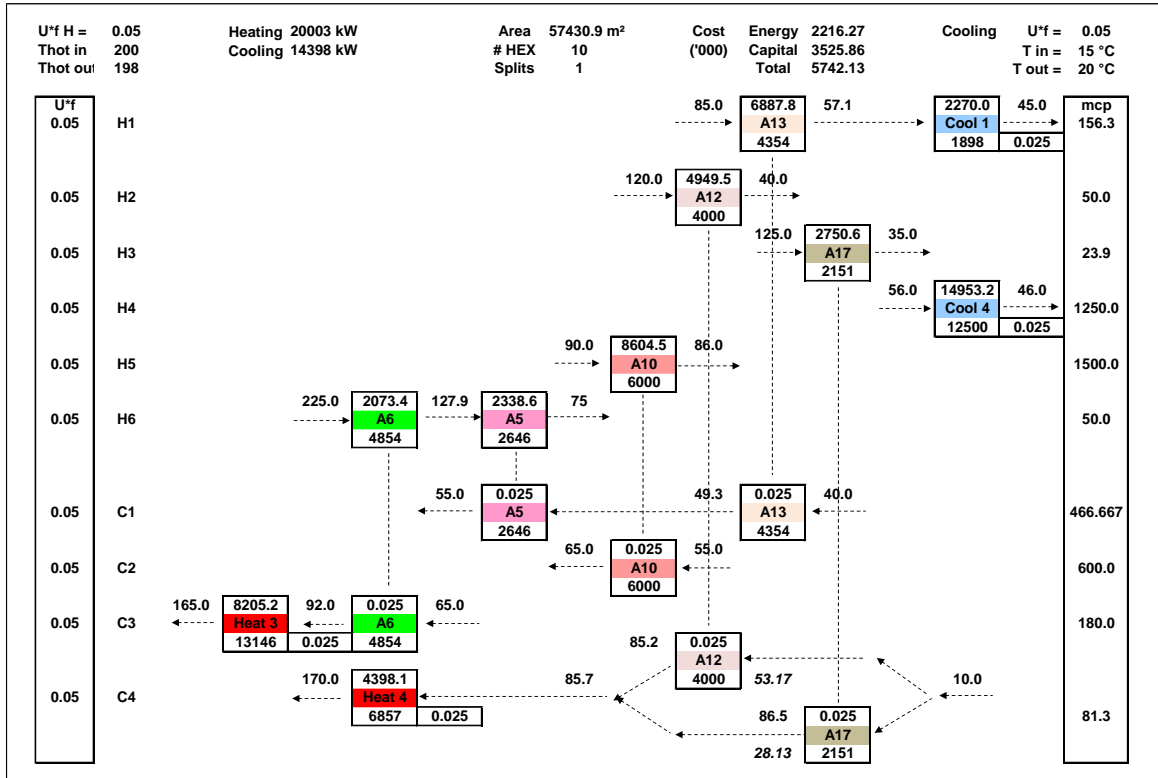


Figure 9.13

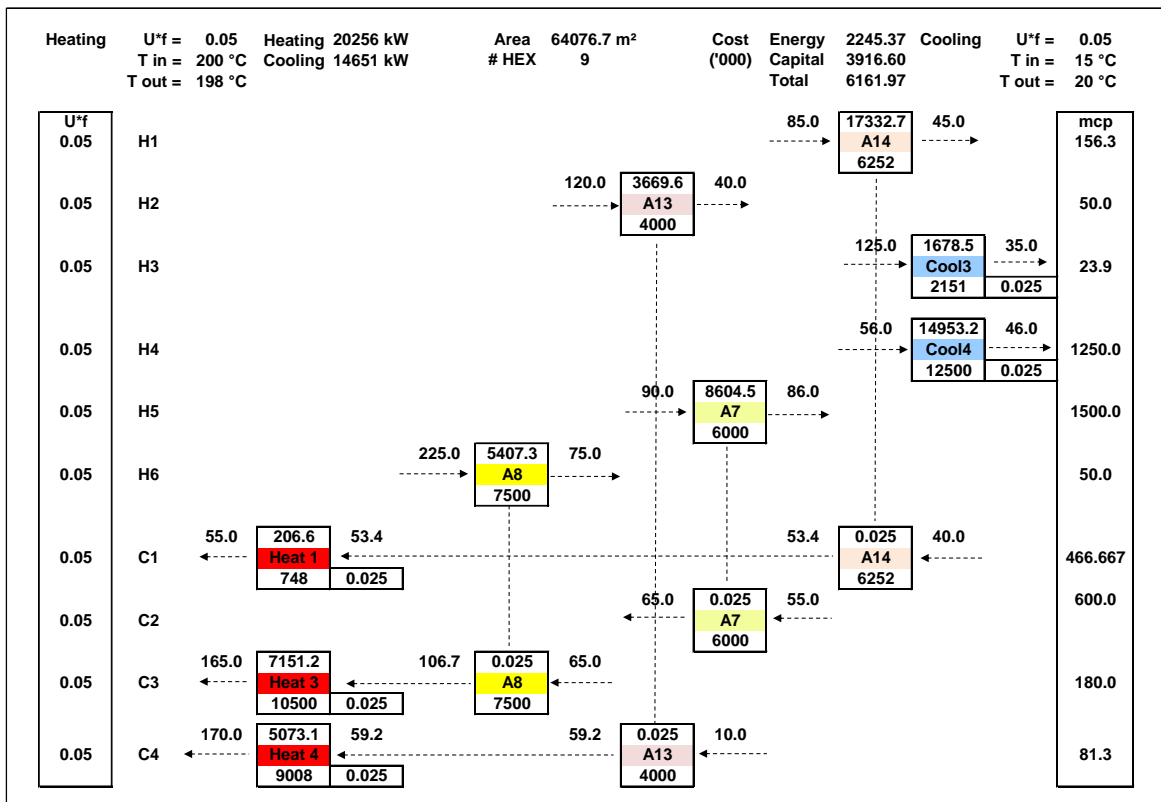


Figure 9.14

10 The 11 streams problem from Castillo – The Nitric Acid plant

The study of this Nitric Acid plant was initiated by E.Castillo [97]. It was also studied by Silva et al. [98], Stegner et al, [99], Pavão et al. [38], [77], Wang et al. [101], Xiao and Cui [102], Aguitoni et al. [7], Rathjens and Fieg [83] and Chenglin Chang et al. [9].

The data set is shown in Table 10.1. The original data set, unfortunately, contained an error in the preheating of the boiler feed water (BFW) for steam production (cold stream C4): only the BFW for the steam internally used in the plant was preheated, the preheating of the BFW for the export steam was not considered. This error has been corrected in Table 10.1.

Table 10.1

Tsupply	Ttarget	Heat	Shift	U*f	Description	mcp	Flow
°C	°C	kW	K	kW/K,m ²	-	kW/K	t/h
840	40	3991.52	5	1.5	H1 Reactor exit	4.9894	14.232
76	45	145.20	5	1.5	H2 Feed back from bleacher	4.6840	1.965
50	40	7.72	5	1.5	H3 Water to absorber	0.7720	0.664
180	77	62.799	5	1.5	H4 Condensate from steam	0.6097	0.520
180	179	292.70	5	0.8	H5 Steam for NH ₃ evaporation	292.7	0.520
90	45	137.97	5	1.5	H6 Water to absorber	3.066	2.600
24	25	329.80	5	0.8	C1 NH ₃ evaporation	329.8	0.920
25	70	24.22	5	1.5	C2 NH ₃ superheating	0.5383	0.920
35	122	324.25	5	1.5	C3 Off-gas reheat	3.727	12.336
90	180	493.21	5	1.5	C4 BFW preheat	5.4801	4.607
180	181	2581.10	5	0.8	C5 Steam	2581.1	4.607
20	40	885.34	5	0.8	CW Cooling	44.267	38.063

Cost data

Heating: 110 \$/kW,y Cooling: 15 \$/kW,y

HEX Cost : 9094 + 485 x Area \$/year

Many networks (with the original data set) have been proposed and many more are possible with a cost range between 139.232 k\$/year (the network from Aguitoni in [7], revised) and 141.00 k\$/year.

The published networks are interesting, but only from scientific/mathematical point of view since the link with the real process has been lost. Hot streams H4 and H5 and cold streams C4 and C5 were treated as process streams, whilst these are utilities. In terms of pinch analysis, the condensate return temperature of 77°C is a soft temperature; many networks mention a very small heat exchanger of 0.33 kW which easily can be eliminated by lowering the return temperature of the condensate from 77°C to 76.46°C. In many networks, (part of) the energy in H5 (steam) and H4 (condensate) is cooled away with cooling water, which, from energy point of view, makes no sense. No credit is taken for the exported steam.

A reference network with correct BFW preheating is shown in Figure 10.1; an optimized configuration is shown in Figure 10.2. A pinch analysis indicates that, for this threshold problem, a utility pinch is caused by the BFW preheating. A maximum of 5.354 t/h of steam can be generated which is 30% more than the existing 4.094 t/h.

A network developed with the tick-off procedure for a DTMin of 10K is shown in Figure 10.3. A heater is installed as startup heater and should not use steam during normal operation. The area (investment

cost) can be reduced by accepting a split of hot stream H1 entering the pinch, leading to more vertical heat exchange and lower area, giving the network shown in Figure 10.4. Investment costs can further be reduced by merging heat exchanger units A2 and A4, obtaining an economic optimum whilst accepting a small pinch violation of 40.40 kW, as shown in Figure 10.5. The results are summarized in Table 10.2.

	Steam export		Energy	Capital	Revenue
	t/h	kW	k\$/year	k\$/year	k\$/year
Reference network ^{o)}	4.094	2288.4	-238.44	120.45	117.99
Optimised configuration	4.607	2581.1	-275.97	110.3	165.67
Networks based on pinch design					
Tick-off	5.354	2999.7	-329.50	130.65	198.85
Vertical transfer	5.354	2999.7	-329.50	129.28	200.22
Economic optimum	5.294	2965.78	-325.16	117.38	207.78

^{o)} Basis network Stegner et al. [99] without split - BFW preheat corrected

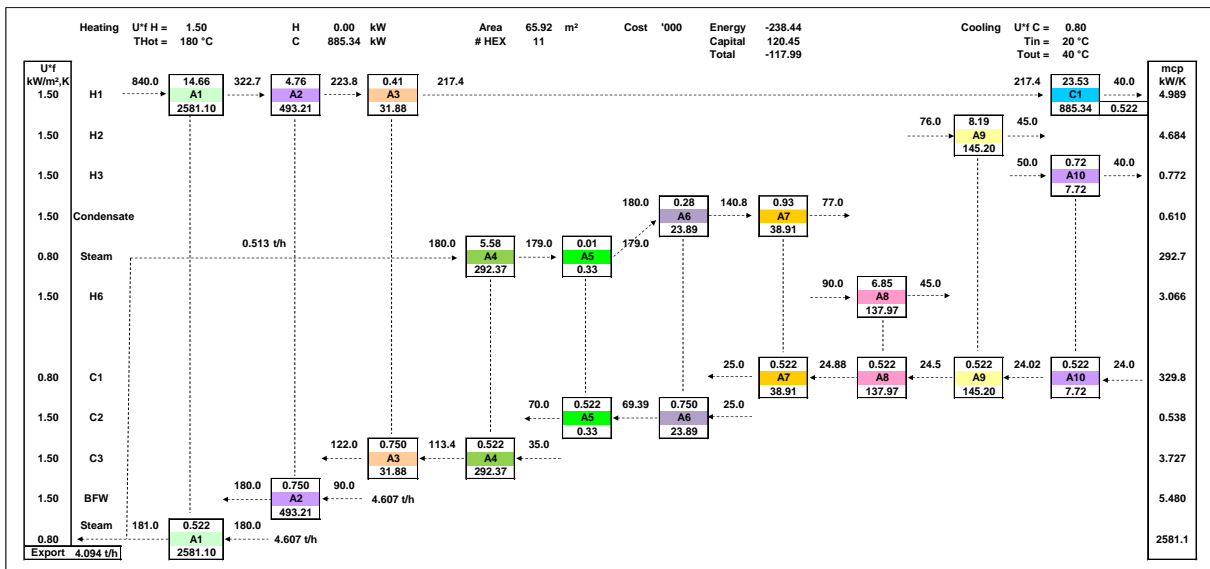


Figure 10.1

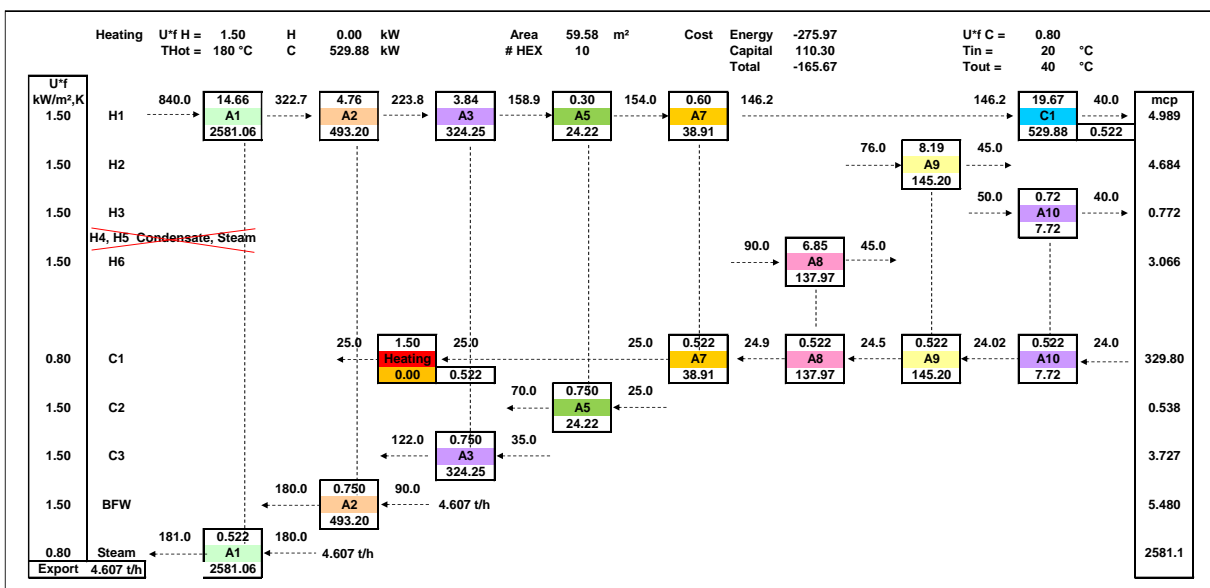


Figure 10.2

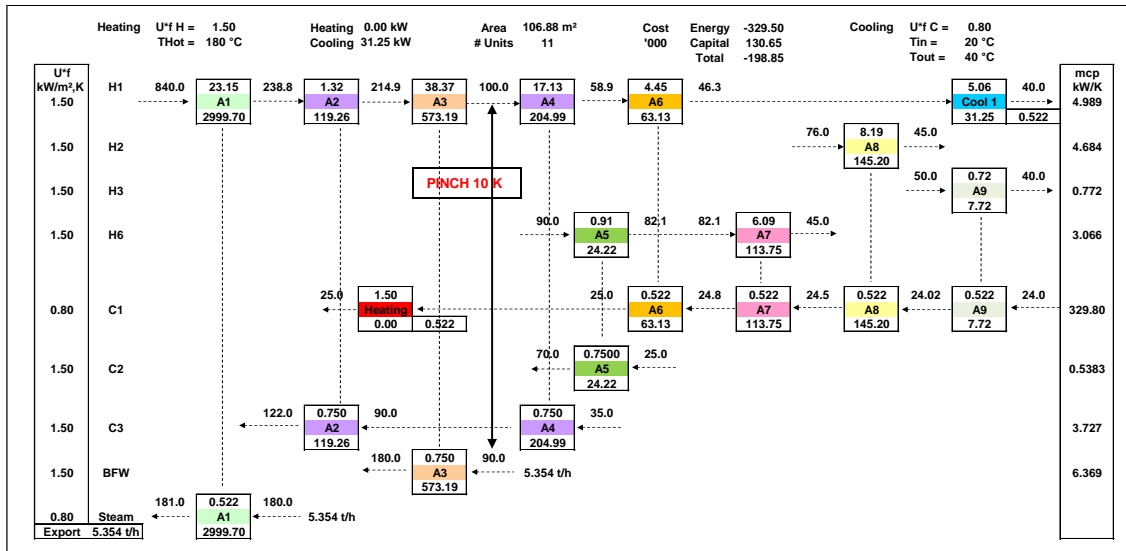


Figure 10.3

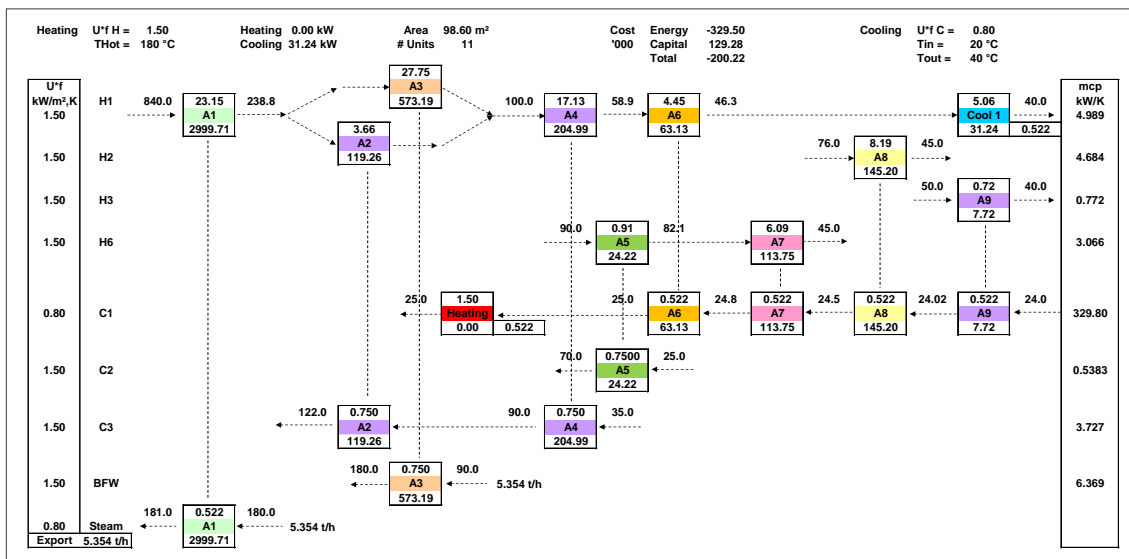


Figure 10.4

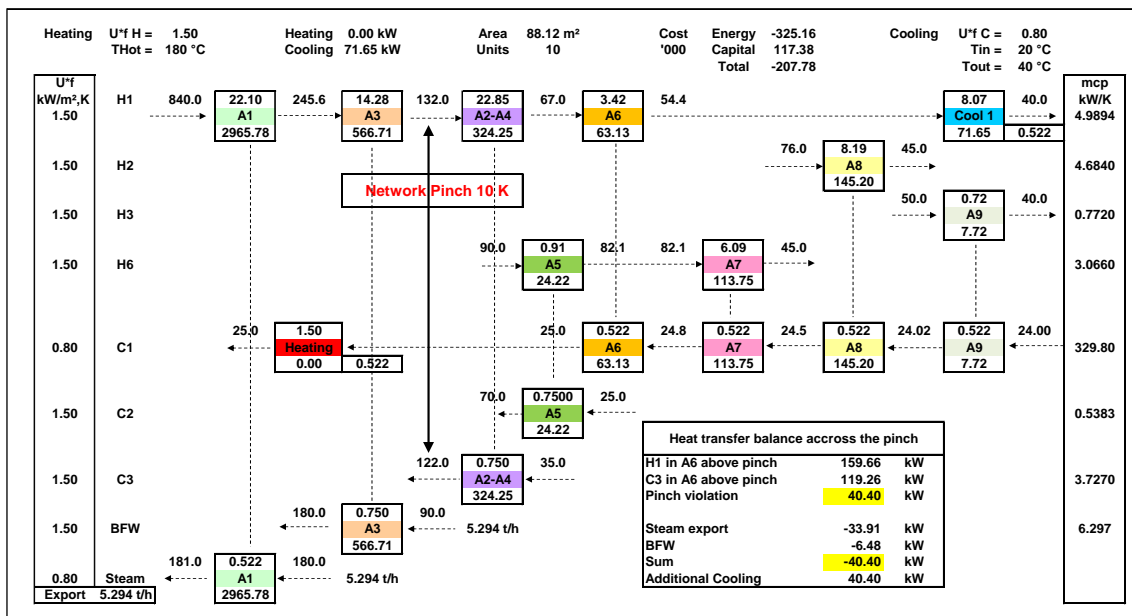


Figure 10.5

11 The 15 streams problem from Björk and Pettersson

This case study was developed by Björk and Pettersson [103]. It was also studied by Björk and Nordman [104], Fieg et al. [65], Peng and Cui [74], Chen et al. [79], Pavão et al. [76], [77], [111], Wang et al. [101], Xiao and Cui [102], Escobar and Trierweiler [105], Myankooh and Shafiei [93], Ghiasvand et al. [73], Anantharaman and Gundersen [100], Rathjens and Fieg [83], Chang et al. [112], Xu et al. [113] and Caballero et al. [XXX]. It was studied by this author in [106] and revisited in [107]. The data set is shown in Table 11.1. Shift values have been optimised for minimum area for the given heat load; crisscross optimization would enable an area reduction of 3.1%.

Table 11.1

Tsupply °C	Ttarget °C	Heat kW	Shift K	U*f kW/K,m ²	Descript.
180	75	3150	0.0	2.0	H1
280	120	9600	3.0	1.0	H2
180	75	3150	0.0	2.0	H3
140	40	3000	3.0	1.0	H4
220	120	5000	3.0	1.0	H5
180	55	4375	0.0	2.0	H6
200	60	4200	9.0	0.4	H7
120	40	8000	7.0	0.5	H8
40	230	3800	3.0	1.0	C1
100	220	7200	3.0	1.0	C2
40	190	5250	0.0	2.0	C3
50	190	4200	0.0	2.0	C4
50	250	12000	0.0	2.0	C5
90	190	5000	3.0	1.0	C6
160	250	5400	-2.0	3.0	C7
325	325	9800		1.0	Heating
25	40	7425		2.0	Cooling

Cost data

Heating: 80.0 \$/kW, year Cooling 10.0 \$/kW, year

HEX cost: 8000 + 500 x Area^{0.75}

Composite curves are shown in Figure 11.1. For the given heating load, the pinch is caused simultaneously by hot stream H8 and cold stream C2; the curves are parallel over one third of the integration band. A pinched system would require 26 heat exchanger units whilst a single system would require only 16 units. The trade-off curve is shown in Figure 11.2. It shows a minimum between 9500 kW and 9800 kW. Target values for a single system with 9800 kW heating are a cost of 1465.31 k\$/year, 16 units and an area of 3748.45 m².

The search led to an optimum network with a cost of 1492.66 k\$/year; it is shown in Figure 11.3. The cost is 1.9% above target. The network has 2 independent systems since the match H7-C4 with exchanger A4 is independent. A network with one single system is shown in Figure 11.4; it has a cost of 1493.57 k\$/year and 17 units. Both networks have 1 unit more than the theoretical minimum. Results

can be compared in Table 11.2. During this study, more than 20 networks were developed with a lower cost than the best network published (after revision).

Table 11.2

	Heating kW	Area m ²	NS °)	# HEX	# splits	Energy k\$/year	Capital k\$/year	Total k\$/year
Björk & Pettersson	-	-	-	-	-	-	-	1513.85
Björk & Nordman	-	-	-	-	-	-	-	1530.06
Anantharaman et al. ¹⁾	11540.0	3317.75	2	15	4	1014.85	515.9	1530.75
Fieg et al.	10615.0	4121.98	3	15	1	931.67	579.22	1510.89
Escobar and Trierweiler	9924.0	4494.89	1	17	1	869.37	635.89	1506.26
Myankoooh	11114.0	4309.14	3	17	0	976.54	612.88	1589.42
Peng and Cui	10974.0	3690.80	2	17	0	963.91	573.34	1537.25
Pavao et al. ³⁾	10066.0	4114.00	1	19	2	882.19	624.75	1506.94
Wang et al.	10308.7	3985.98	1	19	0	904.03	615.22	1519.25
Xiao and Cui	10308.7	3918.05	1	19	0	904.03	614.94	1518.97
Chen et al.	10213.7	4046.91	1	19	0	895.48	628.25	1523.74
Ghiasvand ^{1), 2)}	11470.0	3496.64	2	20	0	1008.55	588.44	1596.99
Rathjens and Fieg ³⁾	10280.3	3773.91	1	18	3	901.47	597.06	1498.54
Chang et al.	10239.5	4358.6	2	15	2	897.81	603.20	1501.00
Xu et al. ³⁾	9756.2	4623.22	2	16	2	854.31	640.62	1494.93
Caballero et al ³⁾	10050.0	4176.36	1	17	2	880.75	615.70	1496.45
This study	10235.0	3928.45	1	17	1	897.4	596.17	1493.57
	9745.0	4709.90	2	16	1	853.3	639.36	1492.66
^{o)} Number of Independent Systems								
¹⁾ Best of								
²⁾ after optimisation								
³⁾ revised								

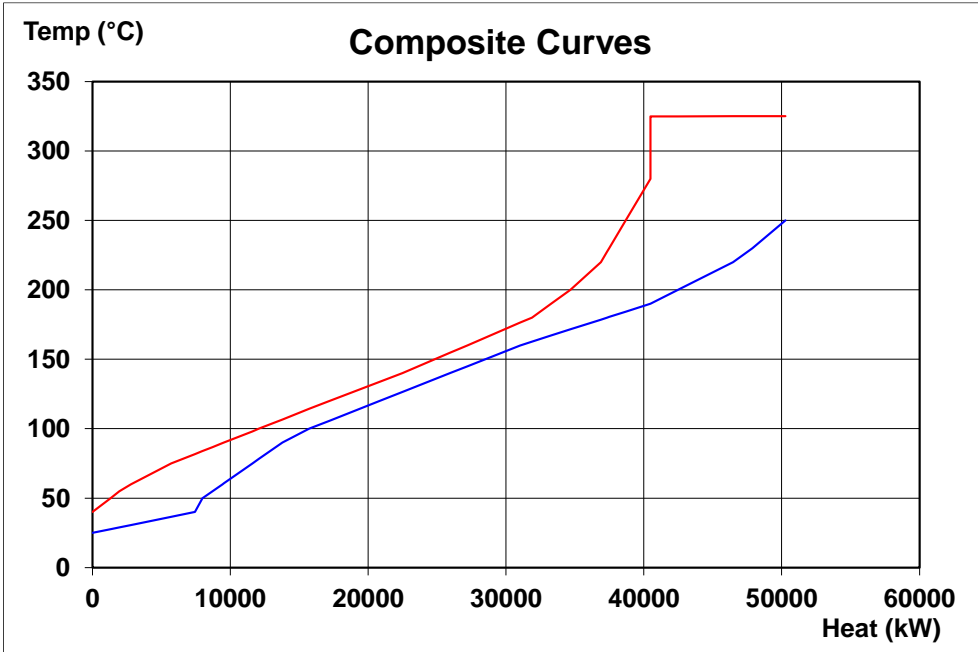


Figure 11.1

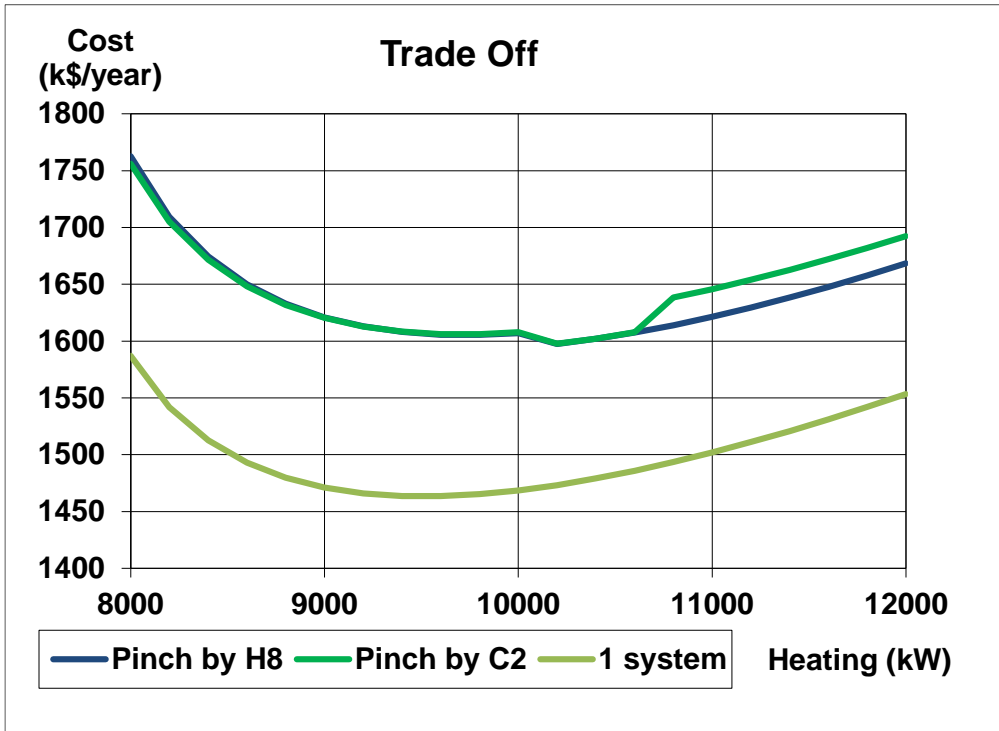


Figure 11.2

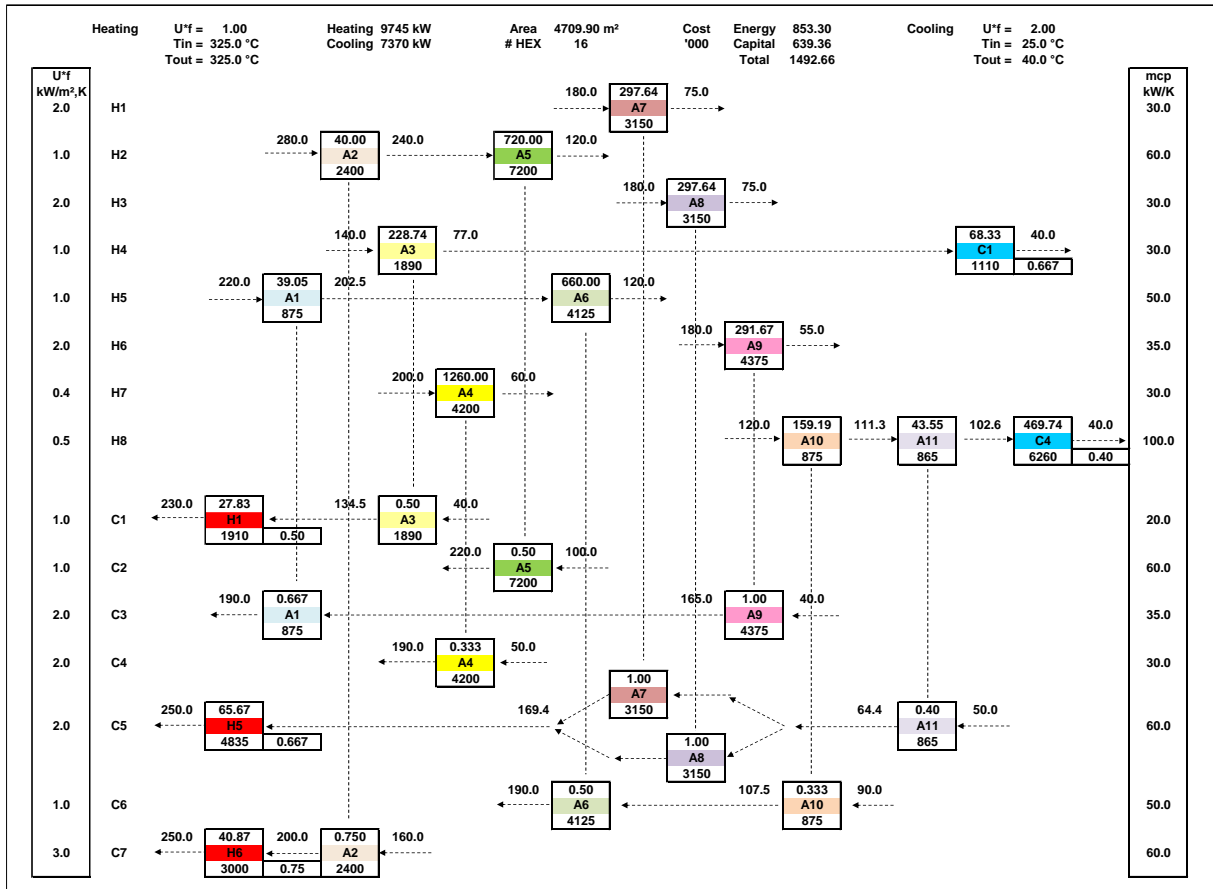


Figure 10.3

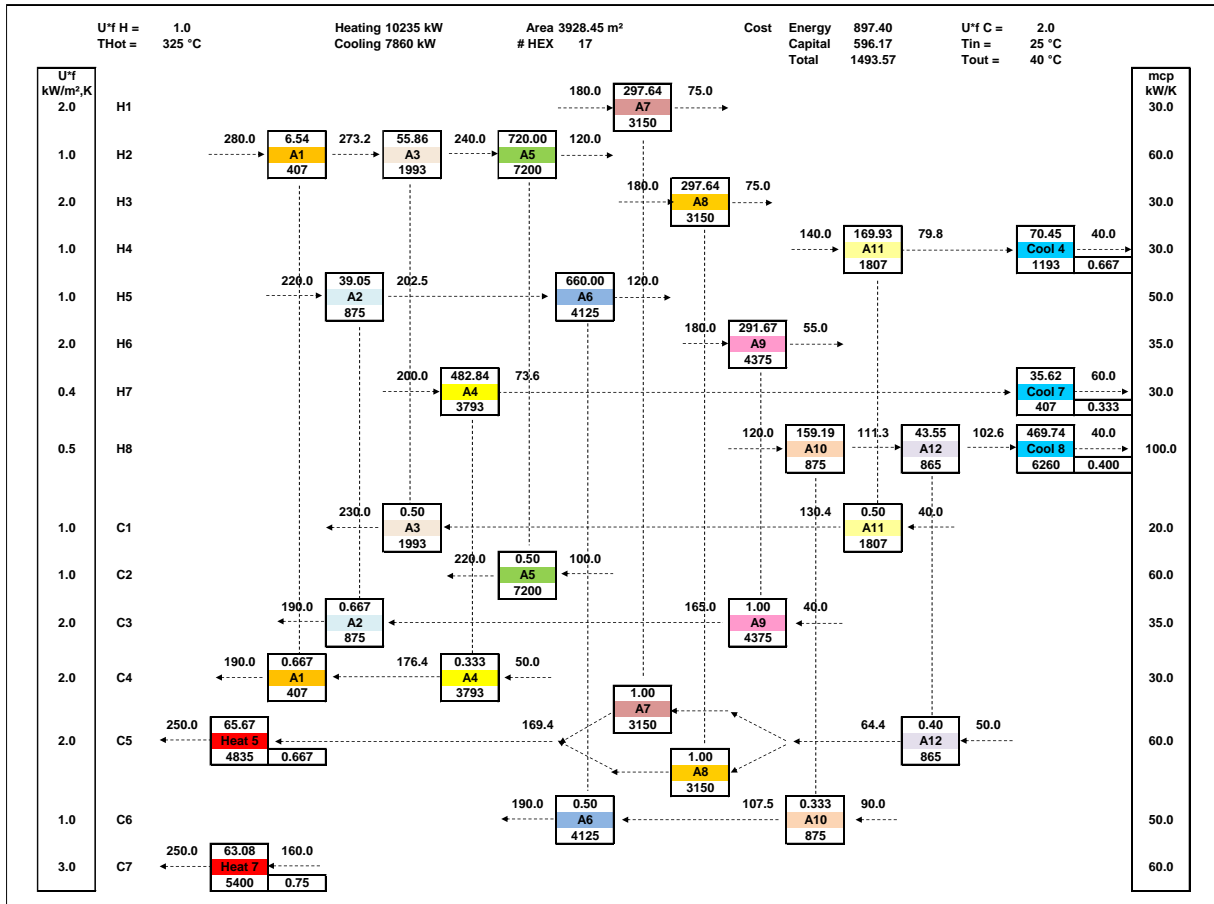


Figure 10.4

12 The Bandar Iman Aromatics Plant

This case was developed by Khorasany and Fesanghary [90]. It has been studied by many authors among which Huo et al. [72], Pavão et al. [76], [78], [111], Zhang et al. [74], Chen et al [79], Aguitoni et al. [7], Bao et al. [48], Zhang and Cui [32], Brand et al. [109], Gorji-Bandpy et al. [94], Nair and Karimi [110], Rathjens and Fieg [83], Xu et al. [82] and Caballero et al. [10], It was also studied by this author [108].

The data set is shown in Table 12.1. In the utility data of the original study, apparently, temperatures for steam and cooling water were given in K instead of °C; this was corrected. Shift values in Table 12.1 have been optimized for minimum area for a heating load of 9950 kW, corresponding with the minimum cost in the Trade-off curve in Figure 12.2. Composite curves are shown in Figure 12.1.

Table 12.1

Tsupply °C	Ttarget °C	Heat kW	Optim shift K	U*f kW/K,m ²	Descript. K
385.0	159.0	29 721.3	-26	1.238	H1
516.0	43.0	567 108.1	4	0.546	H2
132.0	82.0	18 926.0	0	0.771	H3
91.0	60.0	18 275.9	1	0.859	H4
217.0	43.0	32 401.6	2	1.000	H5
649.0	43.0	70 296.0	0	1.000	H6
30.0	385.0	42 280.5	-1	1.850	C1
99.0	471.0	71 070.6	3	1.129	C2
437.0	521.0	31 744.4	4	0.815	C3
78.0	418.6	54 642.5	8	1.000	C4
217.0	234.0	22 060.9	46	0.443	C5
256.0	266.0	27 530.0	-6	2.085	C6
49.0	149.0	19 739.0	0	1.000	C7
59.0	163.4	12 857.5	-1	1.063	C8
163.0	649.0	46 646.3	0	1.810	C9
219.0	221.3	4 594.3	1	1.377	C10
1800.0	800.0	8 700.0		1.200	Flue gas
236.0	236.0	0.0		1.000	Steam
38.0	82.0	412 262.9		1.000	CW

Cost data

Flue gas: 35.0 \$/kW,year; Steam: 27.0 \$/kW,year; Cooling Water 2.1 \$/kW,year

Annual HEX cost: $26\,600 + 4147.5 \times A^{0.6}$ \$/year

The pinch is caused by hot stream H2. Target cost is 7804.85 for a network with 19 units (2 systems), alternatively 7666.06 k\$/year for a network with 17 units (1 system).

The best network with 2 heaters has a cost of 6630.33 k\$/year; it is shown in Figure 12.4, the best network with 1 heater has a cost of 6642.28 \$/year and is shown in Figure 12.5. The results are significantly better than estimated by the analysis. The reason is the large differences between the heat exchanger areas whilst the analysis assumes equal areas, combined with the relatively low exponent in the exchanger cost function.

The shape of the Grand Composite (Figure 12.3) would suggest a potential for recovery of up to 150 MW of steam, the optimum value depending upon economics. Networks were developed for recovery of steam at a temperature of 240°C, giving a margin of 4K with the utility steam at 236 K. The credit for the steam recovered was set as the cost for offtake of steam (27.0 \$/kW,year). Boiler feed water was assumed to be available at 90°C.

The networks without steam recovery were taken as a basis and an additional split was introduced on hot stream H2 to accommodate the steam recovery. The resulting networks are shown in Figure 12.6, respectively Figure 12.7. It was possible to recover up to 289 t/h (143 MW) of 33 bar steam. Cooling water requirements dropped by 58%.

The results can be compared with published results in Table 12.2 and Table 12.3. Some published results could not be checked because of lack of details, some others had no optimized stream splits or different cooling water temperatures; many networks required review. All published networks with 2 heaters have parallel heaters in the flue gas track; since splitting a flue gas stream is not obvious, this is not best practice - unless required by the cold stream temperatures. The proposed networks have serial heaters in the flue gas track. The network with 1 heater can be derived from the network with 2 heaters by replacing heater Heat 3 by heat exchanger A2 in Figure 12.5 and further development with incremental evolution. The network of Caballero et al. with 1 heater [10], the cheapest network published so far, has the same structure as the network in Figure 12.5, but one more split and is 119 \$/year cheaper, which, however, would not compensate for the real cost of an additional split.

Table 12.2

Authors		Heating kW	Area m ²	# units	# splits	Energy '000 \$/y	Capital '000 \$/y	Total '000 \$/y
Khorasany et al. (2009)		66 070	n.a.	18	2	n.a.	n.a.	7 435.74
Gorji-Bandpy et al. (2011)		77 550	n.a.	18	4	n.a.	n.a.	7 178.79
Brandt et al. (2011) °)		8 014	31 838	18	3	1 144.80	5 655.08	6 799.88
Huo Zhaoyi et al.(2012)		35 140	28 475	17	0	2 151.18	5 072.72	7 223.90
Wang (2016)		11 534	31 661	18	0	1 275.39	5 693.69	6 969.08
Zhang et al. (2017) °)		23 794	29 768	19	0	1 730.23	5 481.88	7 212.12
Chen et al. (2017) °)		14 328	30 787	19	0	1 379.06	5 637.42	7 016.48
Agutoni et al. (2018) °)		33 877	28 259	17	4	2 104.32	4 998.47	7 102.79
Zhang and Cui (2018)		10 626	31 397	18	0	1 241.69	5 619.42	6 861.11
Pavão et al. (2018) °)		9 498	31 013	18	5	1 199.86	5 512.88	6 712.74
Nair et al. (2019)		8 689	30 669	19	7	1 169.83	5 526.07	6 695.90
Rathjens and Fieg (2019) °)		9 710	30 806	18	6	1 207.71	5 450.05	6 657.75
Xu et al. (2020) °)		9 666	30 808	18	5	1 206.08	5 446.10	6 652.18
Caballero et al. (2021) °)		8 741	30 623	18	4	1 171.77	5 470.39	6 642.16

°) revised by the author

This work								
Base case	2 heaters	9 772	30 650	18	3	1 210.02	5 420.31	6 630.33
	1 heater	8 716	30 632	18	3	1 170.85	5 471.44	6 642.28
With steam recovery	2 heaters	34 234	39 437	19	4	-2 186.58	6 719.94	4 533.36
	1 heater	16 917	40 403	20	4	-2 428.02	7 117.22	4 689.20

Table 12.3

Impact of recovery of saturated 33 bar steam						
	Additional heat input	Steam recovered		CW savings	Savings vs. base case	
	kW	kW	t/h	kW	'000 \$/y	%
2 heaters	24 462	143 603	289.05	174 957	2 096.97	32%
1 heater	8 201	130 495	262.12	172 638	1 953.08	29%

Figure 12.1

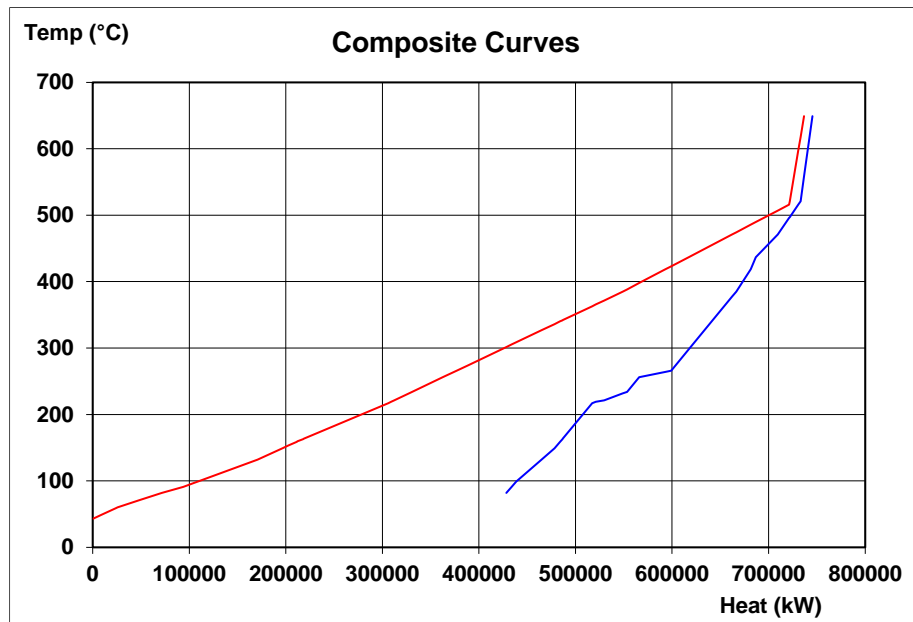


Figure 12.2

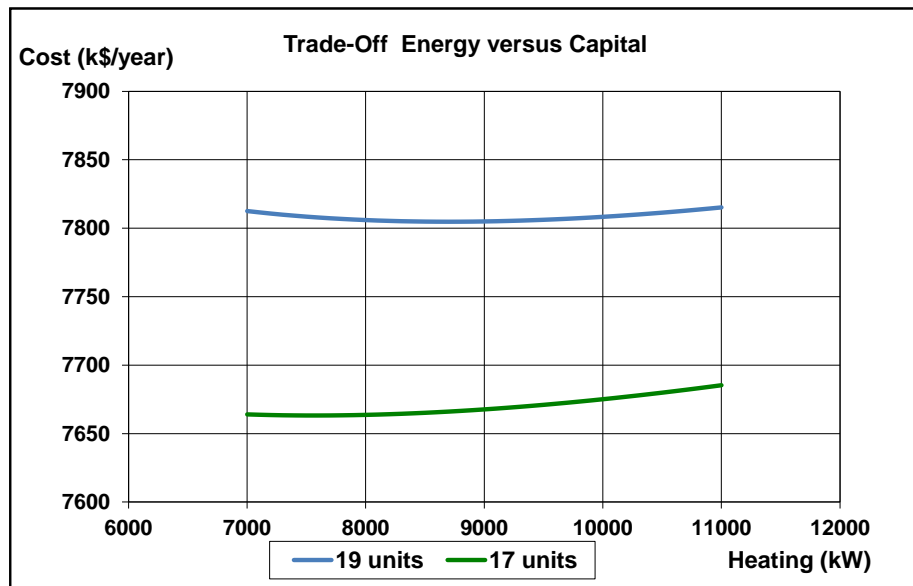
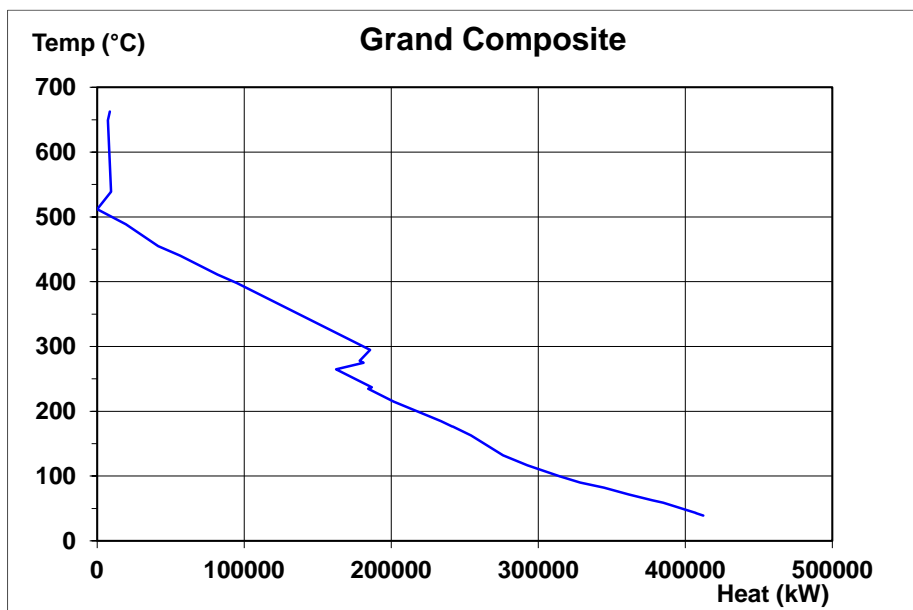


Figure 12.3



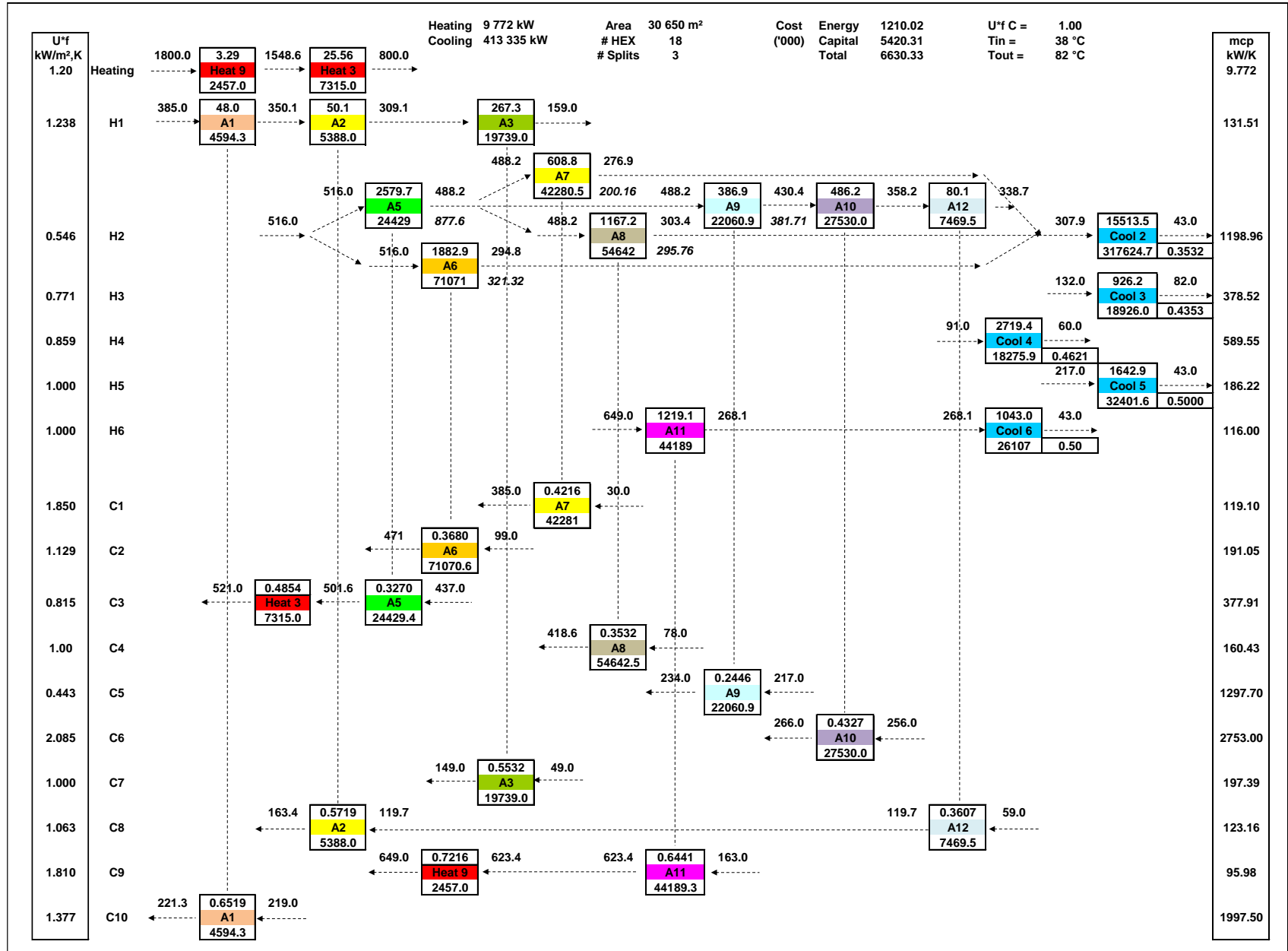


Figure 12.4

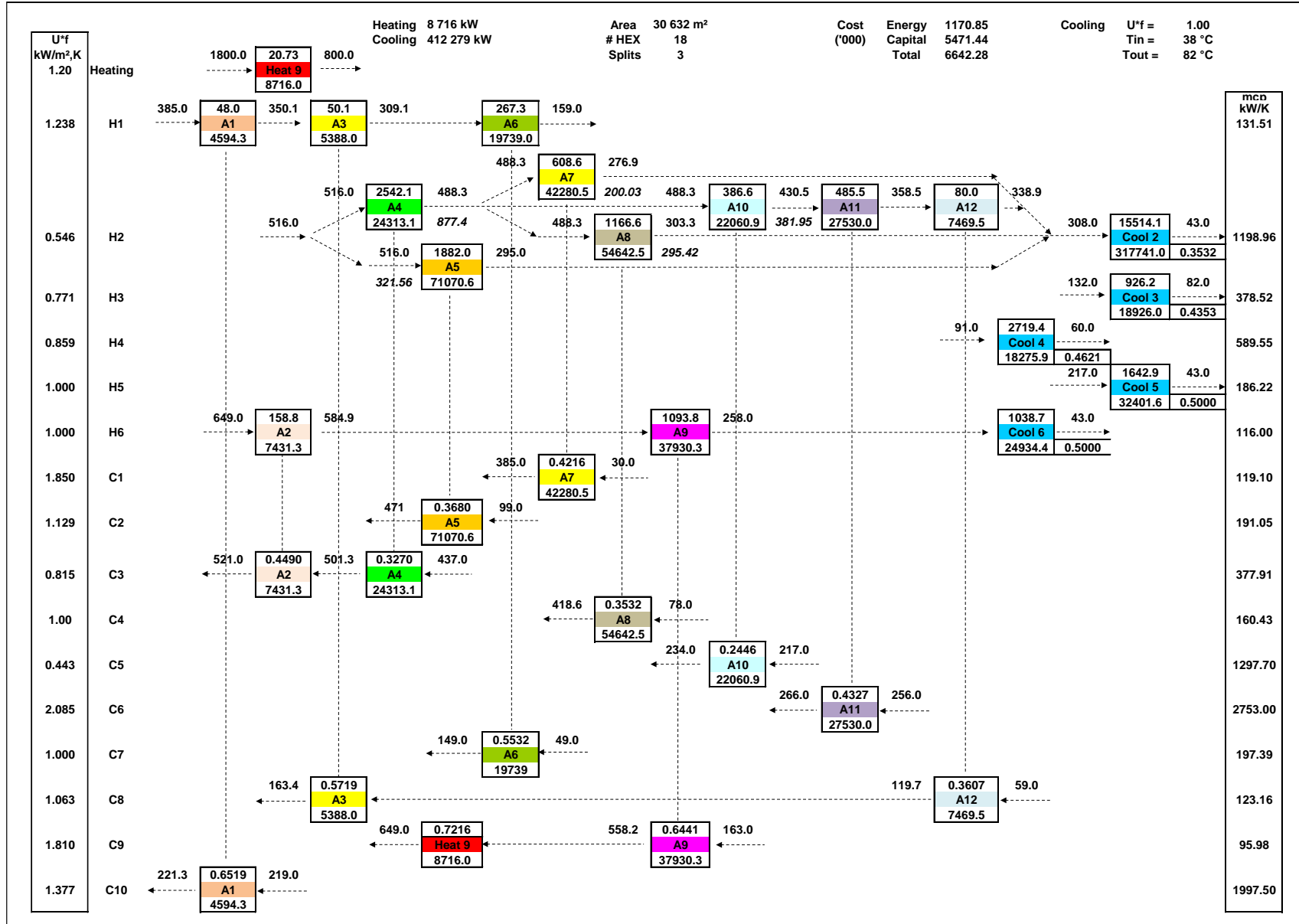


Figure 12.5

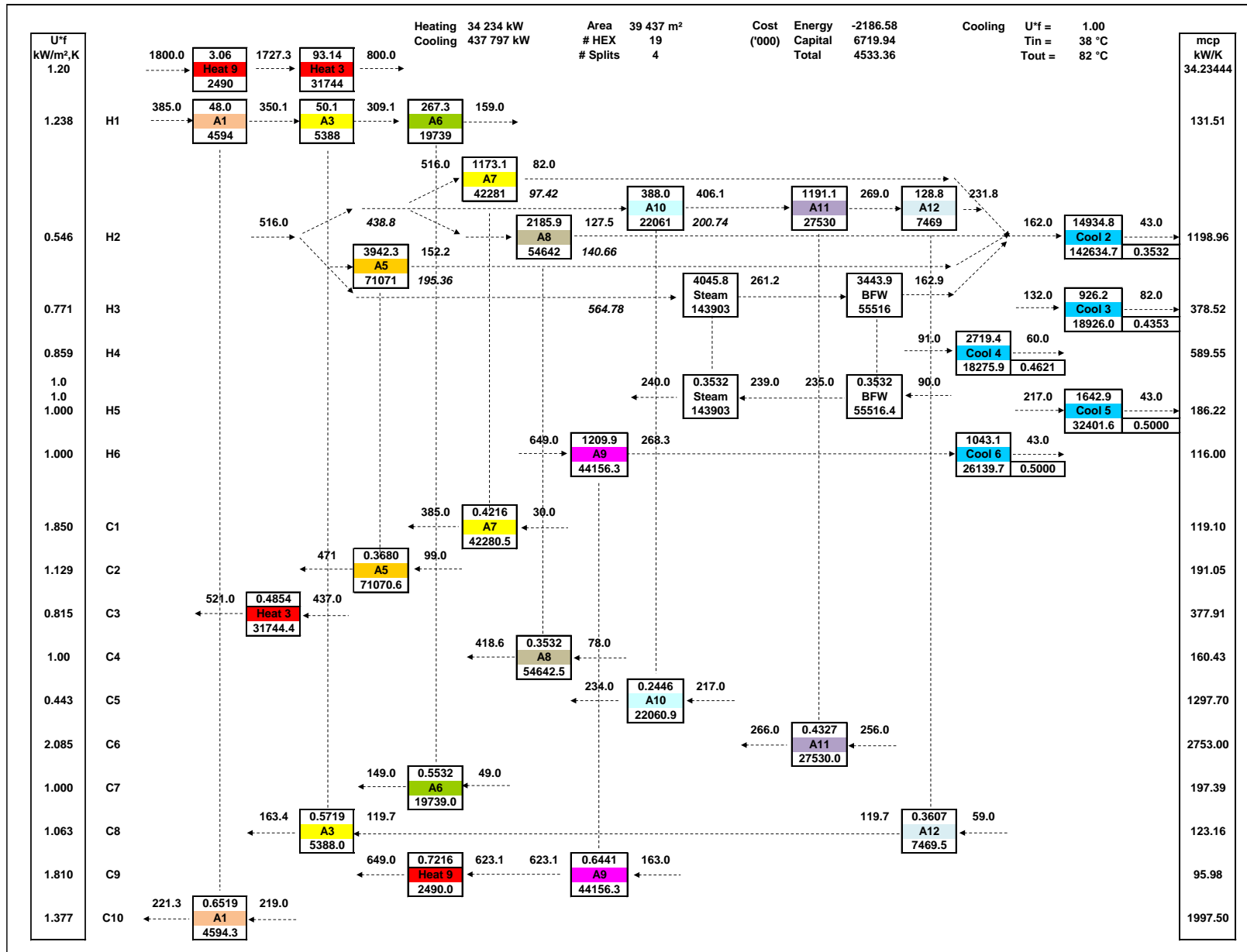


Figure 12.6

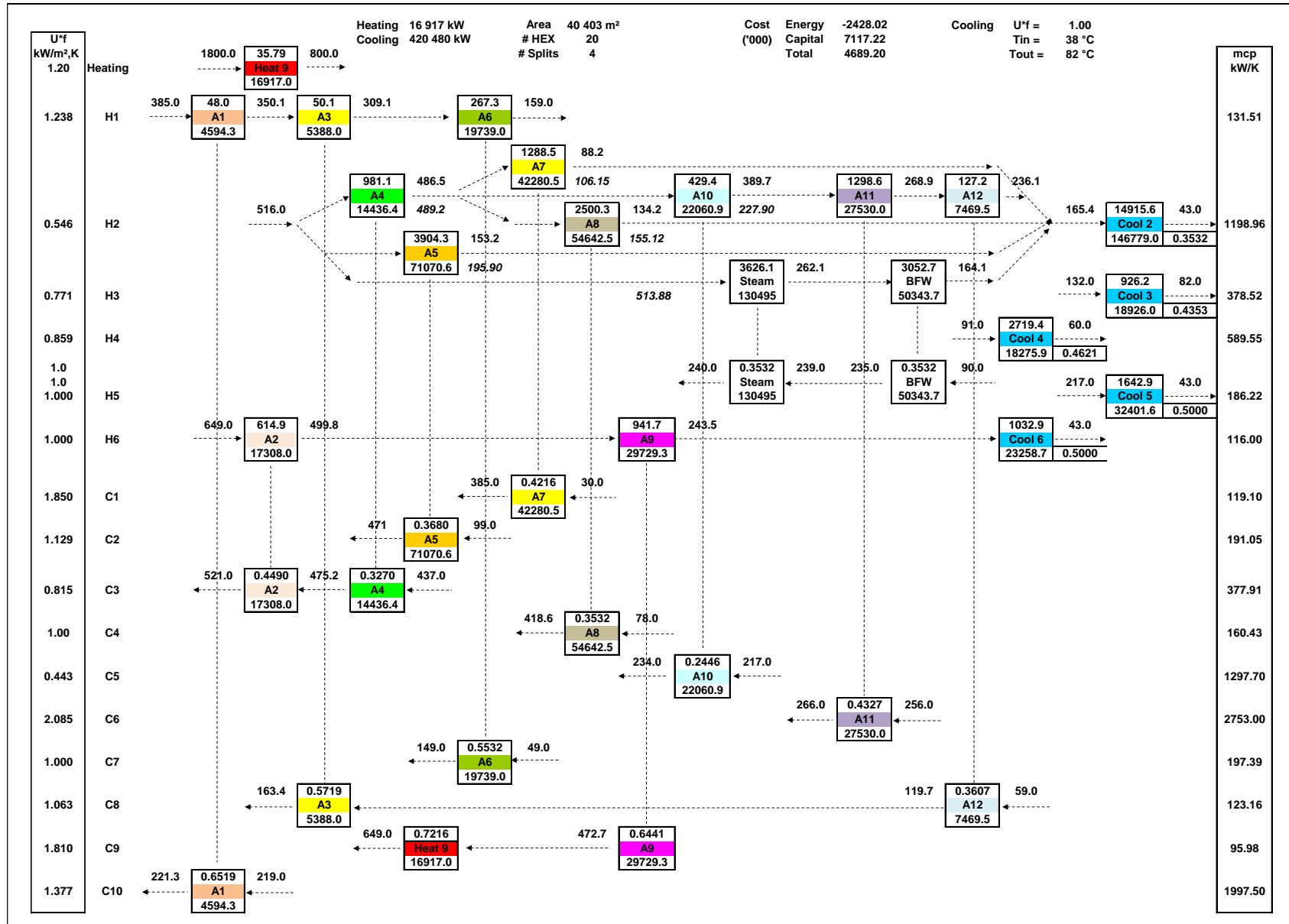


Figure 12.7

13 The 20 streams problem from Xing Luo (10H10C)

Case 10 is a larger scale example with 10 hot streams, 10 cold streams, 1 hot and 1 cold utility.

It was originally set up by Wu Xiao et al. for illustrating a new procedure based on Stream Pseudo Temperatures [120]. They developed a network using multi-stream heat exchangers.

The example was then used by Xing Luo et al. [65] for illustrating the capabilities of a hybrid genetic algorithm for synthesis of heat exchanger networks. Thereafter, it was also studied by Laukkanen et al. [36], [67], Liang et al. [119], Zhang et al [118], [116], Pavao et al. [76], [77], Yuan Xiao et al. [80], Rathjens and Fieg [83], Xu et al [113], Caballero et al. [10] and Xianli Wu et al. [117]. The case was also studied by this author [121].

Stream and cost data are given in Table 13.1.

Table 13.1

Tsupply	Ttarget	Heat	Optimum shift		U*f	Descript.
			Wu Xiao °)	this study		
°C	°C	kW	K	K	kW/K,m ²	-
180	75	3150	6.7	0	2.00	H1
280	120	2400	13.2	8	0.60	H2
180	75	3150	22.9	19	0.30	H3
140	45	2850	9.8	0	2.00	H4
220	120	2500	46.4	46	0.08	H5
180	55	1250	89.0	124	0.02	H6
170	45	3750	10.6	0	2.00	H7
180	50	3900	12.7	2	1.50	H8
280	90	2850	13.2	4	1.00	H9
180	60	3600	5.7	0	2.00	H10
40	230	3800	15.1	1	1.50	C1
120	260	4900	16.3	0	2.00	C2
40	190	5250	4.2	1	1.50	C3
50	190	4200	13.5	0	2.00	C4
50	250	4000	15.4	0	2.00	C5
40	150	1100	68.7	65	0.06	C6
40	150	2200	21.0	13	0.40	C7
120	210	3150	16.2	1	1.50	C8
40	130	3150	4.5	4	1.00	C9
60	120	1800	5.6	6	0.70	C10
325	325	8750		0	1.00	Heating
25	40	4600		0	2.00	Cooling

Cost data

Heating 70.0\$/kW,year ; Cooling 10.0\$/kW,year

Annual HEX cost = 8000 + 800 x A^{0.8} \$/year

°) Stream pseudo temperature method and using multistream HEX

Next to the shift values used by Wu Xiao, also optimum shift values using crisscross optimisation for a heat input of 8.75 MW are shown in Table 13.1.

Balanced Composite Curves are shown in Figure 13.1. The curves are parallel over a broad range with an unclear pinch caused by streams H4, C2 and C8 almost simultaneously. The Grand Composite is shown in Figure 13.2. It suggests a potential for roughly 3 MW low temperature heat as hot utility, say, as hot water 90°C to 50°C. This, however, would require recalculation of optimum shift values and would more than double the investment cost, which would not be compensated by lower energy cost.

Trade-off between energy and capital cost is shown in Figure 13.3, for shift values for hot stream H6 of 30 K and 124 K. The network was treated as a single system, requiring 21 units, whilst a pinch based design with 2 systems, segregated at the pinch, would require 36 units. The impact of the differences in heat transfer values on required surface area and resulting cost is significant and offers ample opportunities for crisscross. The effect of shifting hot stream H6 is impressive and enables the required surface area to be reduced by 30% (Figure 13.4). The effect on the area cost is shown in Figure 13.5. For shift values above 30 K, heating requirement goes up with 940 kW for a shift of 124 K which, however, is overcompensated by the drop in area cost.

With crisscross analysis, the energy target is 20% lower and the cost target is 14% lower than with classic analysis. As demonstrated by the various such networks that were developed, that much lower cost target is also achievable. The very large shift on hot stream H6 will cause all heat of that stream being dumped into the cooling water; that also appears to be the best solution whilst classic pinch analysis would have suggested to integrating 75% of that heat load with cold streams.

The main problem during the first study [121] arising after processing the data from the grid using LP was the very large number of heat exchanger units to deal with in a final optimisation program using incremental evolution on a data set with composite curves that were parallel over a broad integration span. The problem was revisited, using a more sophisticated approach.

With the view to minimising the number of units, heuristics were applied such as:

- Check whether the smallest heat load can be satisfied with a single heat exchanger unit;
- Check whether matches are possible between streams with similar mcp 's in parallel sections of the composite curves;
- Check whether matches are possible between streams crossing the pinch without violating pinch design rules;
- In view of avoiding stream splitting at the pinch, check whether there is a 'near' pinch close to the pinch; it might be advantageous to start the design at the 'near' pinch instead of at the pinch.

The size of the problem was reduced with the following steps:

- Following the original analysis, hot stream H6 is put on a cooler,
- Cold stream C6 (the smallest heat load) is matched with hot stream H5 (match on streams both with very low U^*f , taking advantage of the cost structure); as can be seen from the grid data, the pinch violation is minimal,
- The residue of hot stream H5 (1400 kW, the smallest remaining heat load with a small U^*f) is matched with cold stream C10 (the stream with the lowest U^*f),
- The residue of cold stream C10 (400 kW) is set aside as part of the remaining problem,

- Hot stream H8 (the biggest hot stream) is ticked off against the cold side of C3 (biggest cold stream),
- The residue of cold stream C3 (1350 kW) is put on a heater,
- Cold stream C9 (entirely below the pinch) is ticked off against the cold side of hot stream H10 (acceptable average DeltaT),
- The residue of hot stream H10 (450 kW) is set aside as part of the remaining problem,
- Cold stream C7 is ticked off against hot stream H3;
- The residue of hot stream H3 (950 kW) is put on a cooler.

The data set of the remaining problem is shown in Table 13.2.

Table 13.2

Tsupply	Ttarget	Heat	Shift	U*f	Descript.
°C	°C	kW	K	kW/K,m ²	-
180	75	3150	-9	2.00	H1
280	120	2400		0.60	H2
140	45	2850		2.00	H4
170	45	3750		2.00	H7
280	90	2850		1.00	H9
180	165	450		2.00	H10
40	230	3800		1.50	C1
120	260	4900		2.00	C2
50	190	4200		2.00	C4
50	250	4000		2.00	C5
120	210	3150		1.50	C8
60	73	400	-8.0	0.70	C10
325	325	7650		1.00	Heating1
25	40	2650		2.00	Cooling1

The pinch in the remaining problem is caused simultaneously by cold streams C2 and C8, with a very close near pinch from hot stream H4. Processing the original grid with 19 integration bands would generate 94 heat exchanger units. The number of bands can be reduced to 9 as shown in Table 13.3, which then would generate 52 heat exchanger units. The initial network can further be manipulated by allocating shift values to individual streams: shifting cold stream C1 over -10K would reduce the heating load on that stream with 171 kW as shown in Table 13.4. Many initial networks can be developed each of which would lead to alternative final networks.

Table 13.3

Process : Study 10H+10C				Version : Crisscross															
	area	#HEX	AreaCost																
Heatit	810.70	16	420.69																
Designit	817.59	52	770.48																
Descrip.	Heat	U*f	mcp	DeltaTS															
-	kW	kW/m ² ,K	kW/K	65.1	135.0	154.3	37.8	32.6	29.1	19.3	35.0	49.7	20.0						
				Bands	1	2	3	4	5	6	7	8	9						
Heating1	7650	1.000	76500.0	325.10	325.04	325.00													
H1	3150	2.000	30.0				180.00	171.00	161.00	126.33	105.41	75.00							
H2	2400	0.600	15.0			280.00	189.00	180.00	170.00	137.67	120.00								
H4	2850	2.000	30.0							140.00	114.41	90.89	45.00						
H7	3750	2.000	30.0							170.00	137.67	114.41	87.44	45.00					
H9	2850	1.000	15.0			280.00	189.00	180.00	170.00	137.67	114.41	90.00							
H10	450	2.000	30.0						180.00	165.00									
C1	3800	1.500	20.0		230.00	172.14	152.64	148.79	142.36	120.00	81.33	40.00							
C2	4900	2.000	35.0	260.00	190.00	172.14	152.64	148.79	138.07	120.00									
C4	4200	2.000	30.0			190.00	152.64	148.79	142.36	120.00	81.33	50.00							
C5	4000	2.000	20.0	250.00	190.00	172.14	152.64	148.79	142.36	120.00	81.33	50.00							
C8	3150	1.500	35.0	210.00	190.00	156.84	152.64	148.79	142.36	120.00									
C10	400	0.700	30.0									73.33	60.00						
Cooling1	2650	2.000	176.7														40.00	25.00	

Table 13.4

Process : Study 10H+10C				Version : Crisscross															
	area	#HEX	AreaCost																
Heatit	902.57	17	456.72																
Designit	813.96	50	755.67																
Descrip.	Heat	U*f	mcp	DeltaTS															
-	kW	kW/m²,K	kW/K	65.1	135.0	154.3	37.8	32.6	29.1	19.3	35.0	49.7	20.0						
				Bands	1	2	3	4	5	6	7	8	9						
Heating1	7650	1.000	76500.0	325.10	325.04	325.00													
H1	3150	2.000	30.0				180.00	171.00	161.00	130.33	107.32	75.00							
H2	2400	0.600	15.0			280.00	189.00	180.00	170.00	138.00	120.00								
H4	2850	2.000	30.0							140.00	116.32	88.67	45.00						
H7	3750	2.000	30.0						170.00	139.33	116.32	89.67	45.00						
H9	2850	1.000	15.0			280.00	189.00	180.00	170.00	139.33	116.32	90.00							
H10	450	2.000	30.0					180.00	165.00										
C1	3800	1.500	20.0		230.00	180.71	161.21	157.36	150.93	130.00	91.33	40.00							
C2	4900	2.000	35.0	260.00	190.00	170.71	151.21	147.36	136.64	120.00									
C4	4200	2.000	30.0			190.00	151.21	147.36	140.93	120.00	81.33	50.00							
C5	4000	2.000	20.0	250.00	190.00	170.71	151.21	147.36	140.93	120.00	81.33	50.00							
C8	3150	1.500	35.0	210.00	190.00	154.18	151.21	147.36	140.93	120.00									
C10	400	0.700	30.0								73.33	60.00							
Cooling1	2650	2.000	176.7									40.00	25.00						

The best results are listed in Table 13.5 and can be compared with networks from literature, only the best networks of which were mentioned. Some networks were finetuned, some of them were revised.

Table 13.5

Published results	Heating	Area	# HEX	# Splits	Energy	Capital	Total
	kW	m ²	-	-	'000 \$/y	'000 \$/y	'000 \$/y
Wu Xiao (2006)	9016.0	3229.00	29 (*)	-	697.90	-	1827.77
Xing Luo et al. (2009)	9513.5	3038.72	26	2	719.58	1033.90	1753.48
Laukkanen et al. (2012)	9500.1	3083.86	24	2	718.50	1021.28	1739.78
Liang et al. (2013) °)	10294.4	2949.56	22	1	782.05	974.82	1756.87
Pavão et al. (2016)	8690.0	3298.97	24	6	653.7	1071.60	1725.30
Yuan Xiao et al. (2018)	9562.9	3149.90	22	0	723.53	1014.53	1738.06
Rathjens and Fieg (2019)	8528.2	3304.24	24	4	640.75	1074.38	1715.13
Zhang et al. (2020)	8798.4	3324.25	22	0	662.37	1063.88	1726.25
Xu et al. (2020)	8881.1	3242.91	24	2	668.99	1056.04	1725.03
Caballero (2021) °)	8469.1	3436.48	23	6	636.03	1098.76	1734.79
Xianli Wu et al. (2021)	9191.2	3276.84	22	0	693.80	1043.73	1737.53
(*) 14 of which multi-stream HEX		°) revised					
This research	9079.0	3256.95	23	2	640.46	1059.49	1699.95
	9082.0	3254.16	23	1	640.67	1059.30	1699.97
	9519.0	3196.53	23	0	671.70	1042.92	1714.62
	9615.0	3194.38	22	1	678.52	1034.38	1712.90
	9591.0	3231.78	22	0	676.81	1040.46	1717.27
	9763.0	3162.94	21	1	739.54	1006.32	1745.86
	9763.0	3180.62	21	0	739.54	1007.93	1747.47

The networks of this study are shown in Figure 13.4 through Figure 13.10.

The network with 23 units and 1 split (Figure 13.5) can be considered as the best with a cost of 1699.97 k\$/year; an additional split on hot stream H5 (Figure 13.4) brings a saving of only 23 \$/year which would not pay for the additional cost of the split.

The networks with 23 and 22 units belong to one series, the networks with 21 units to another.

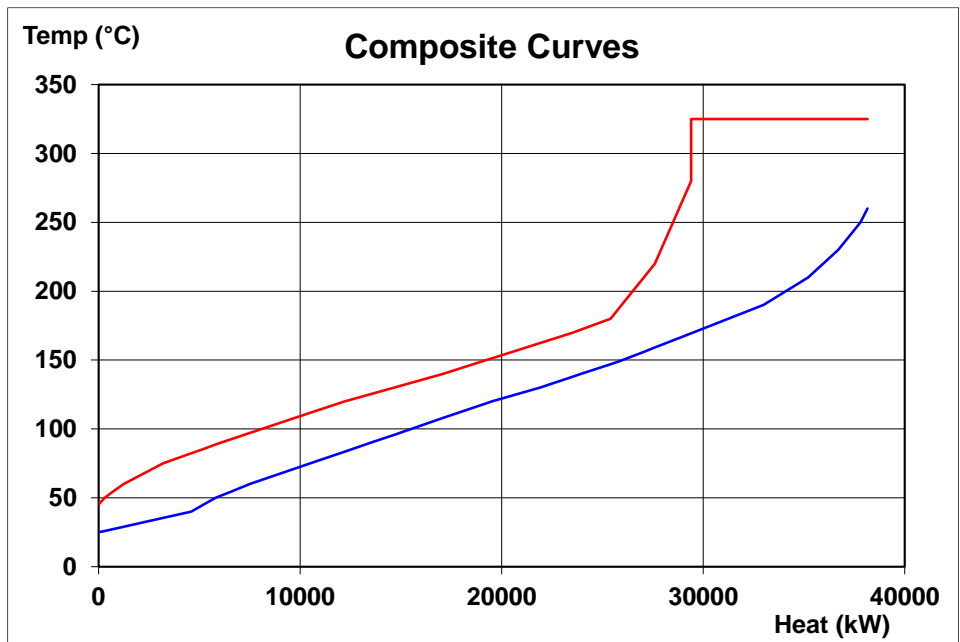


Figure 13.1

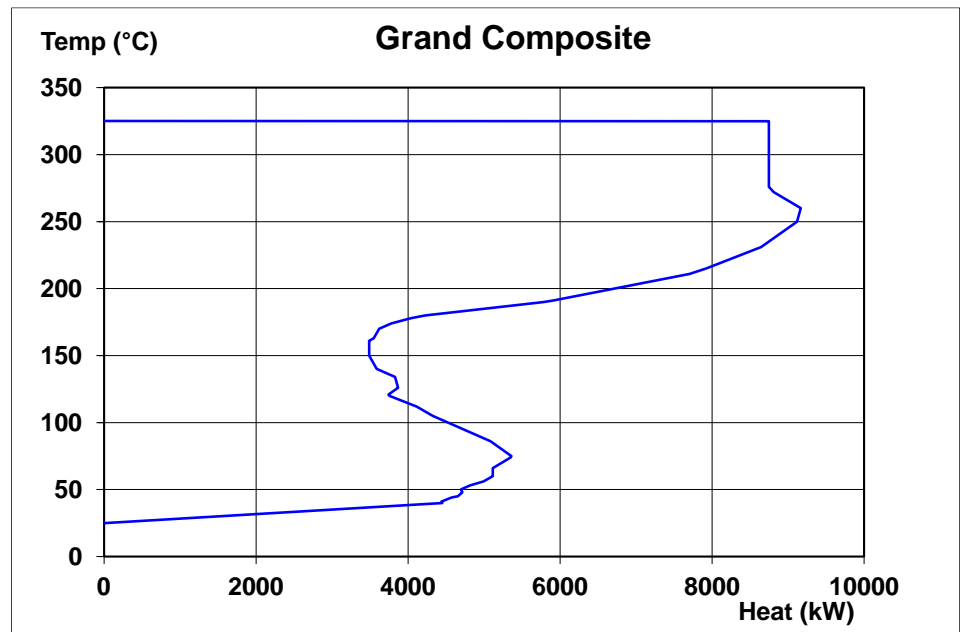


Figure 13.2

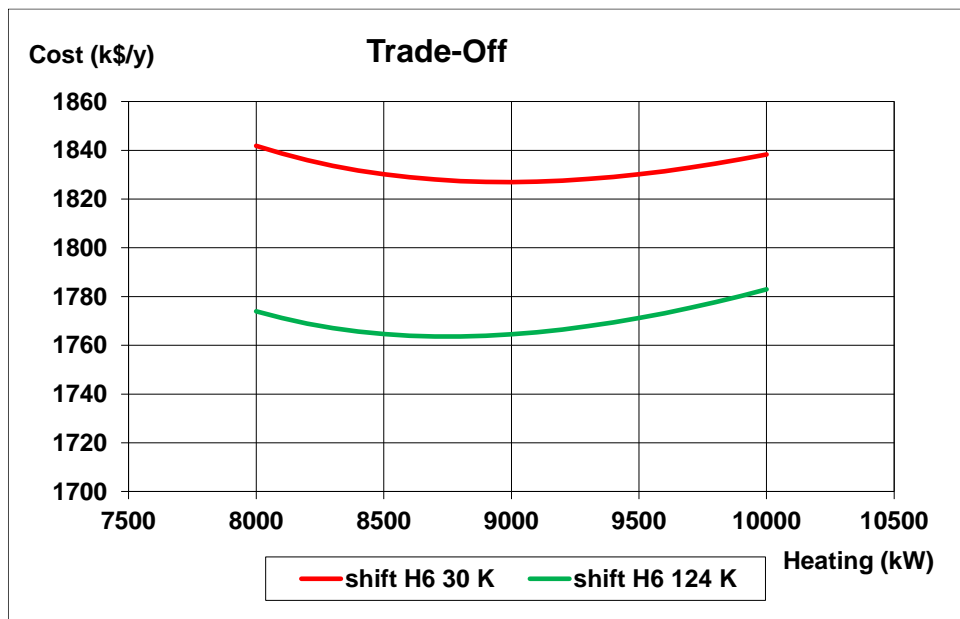


Figure 13.3

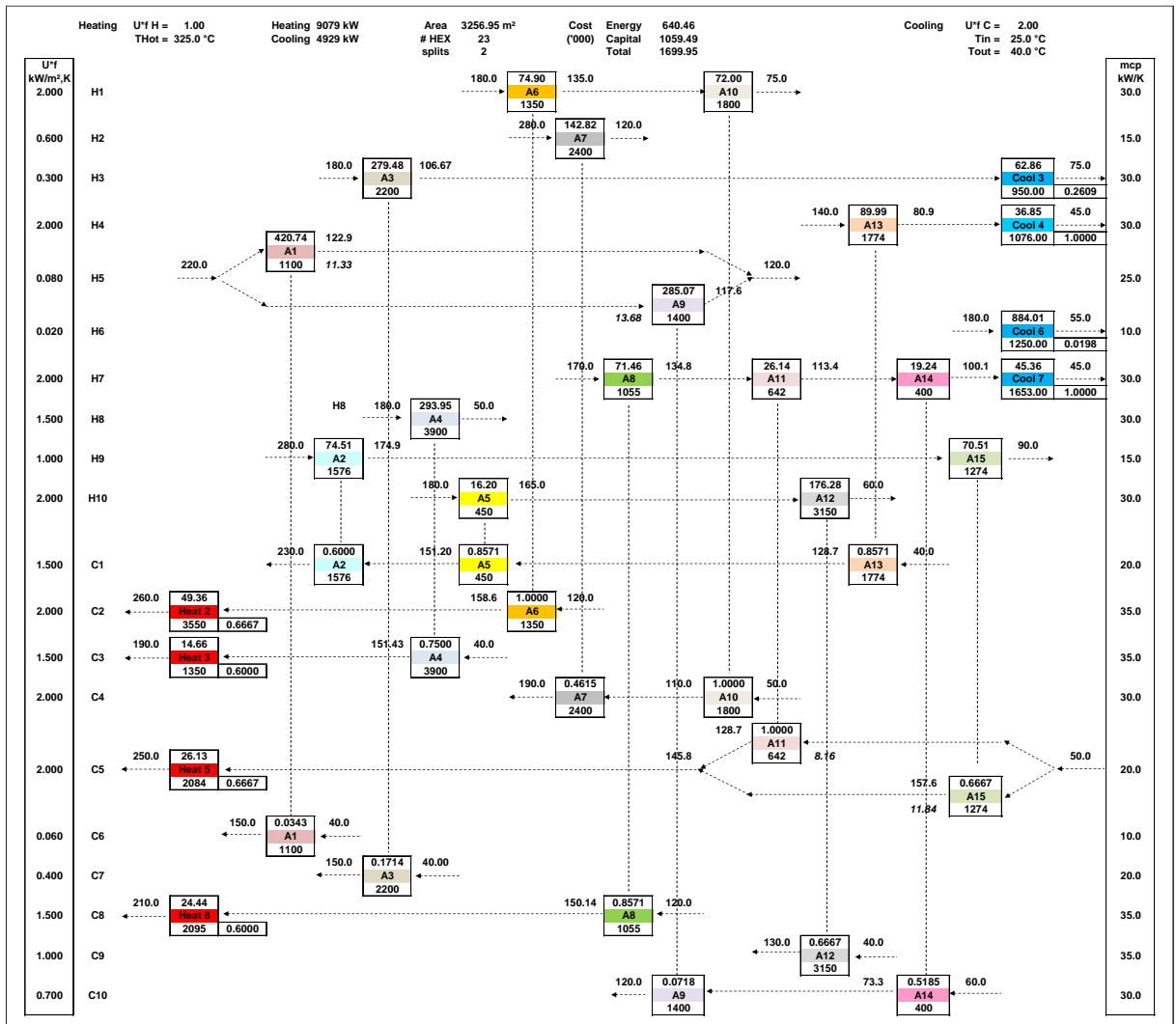


Figure 13.4

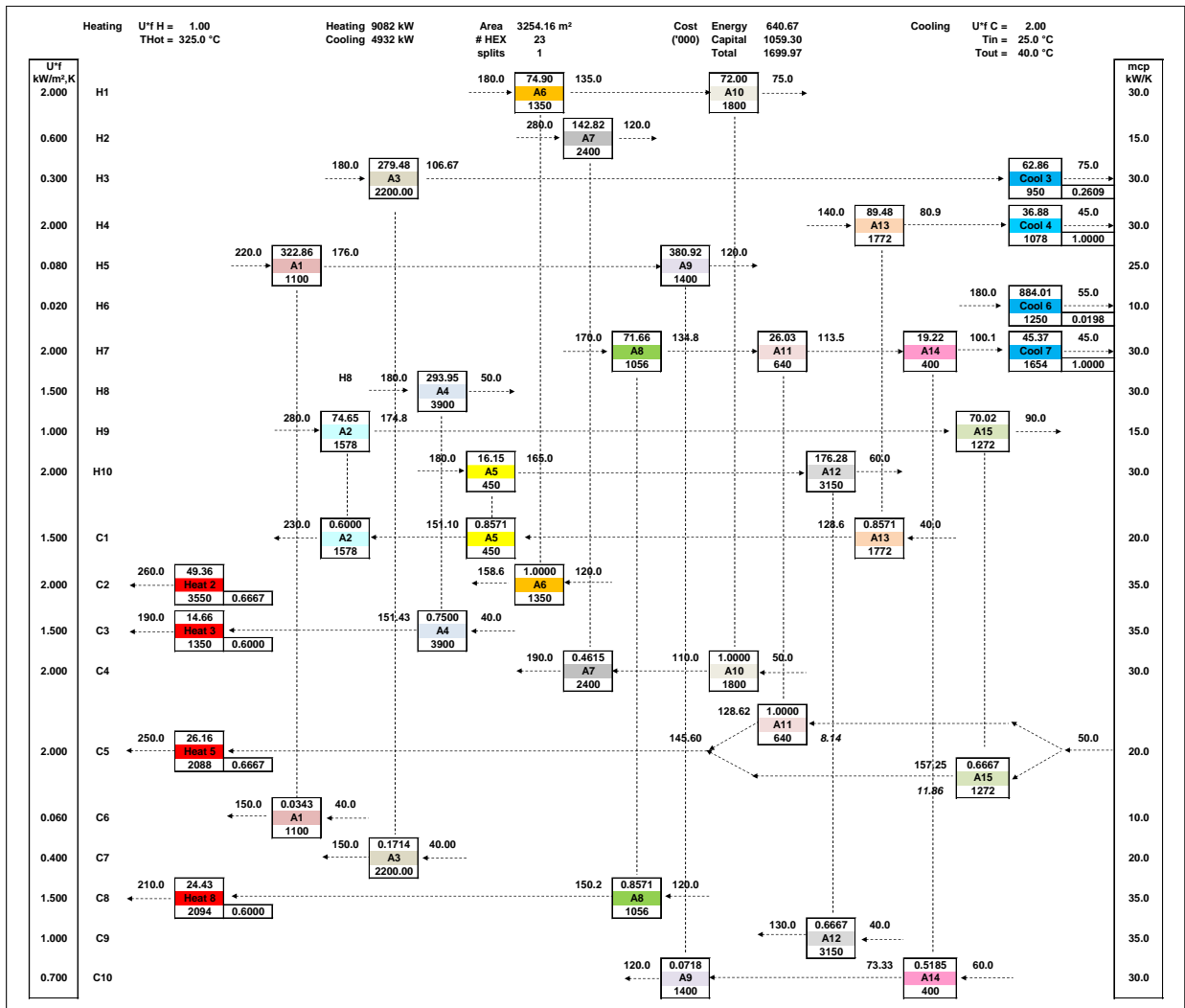


Figure 13.5

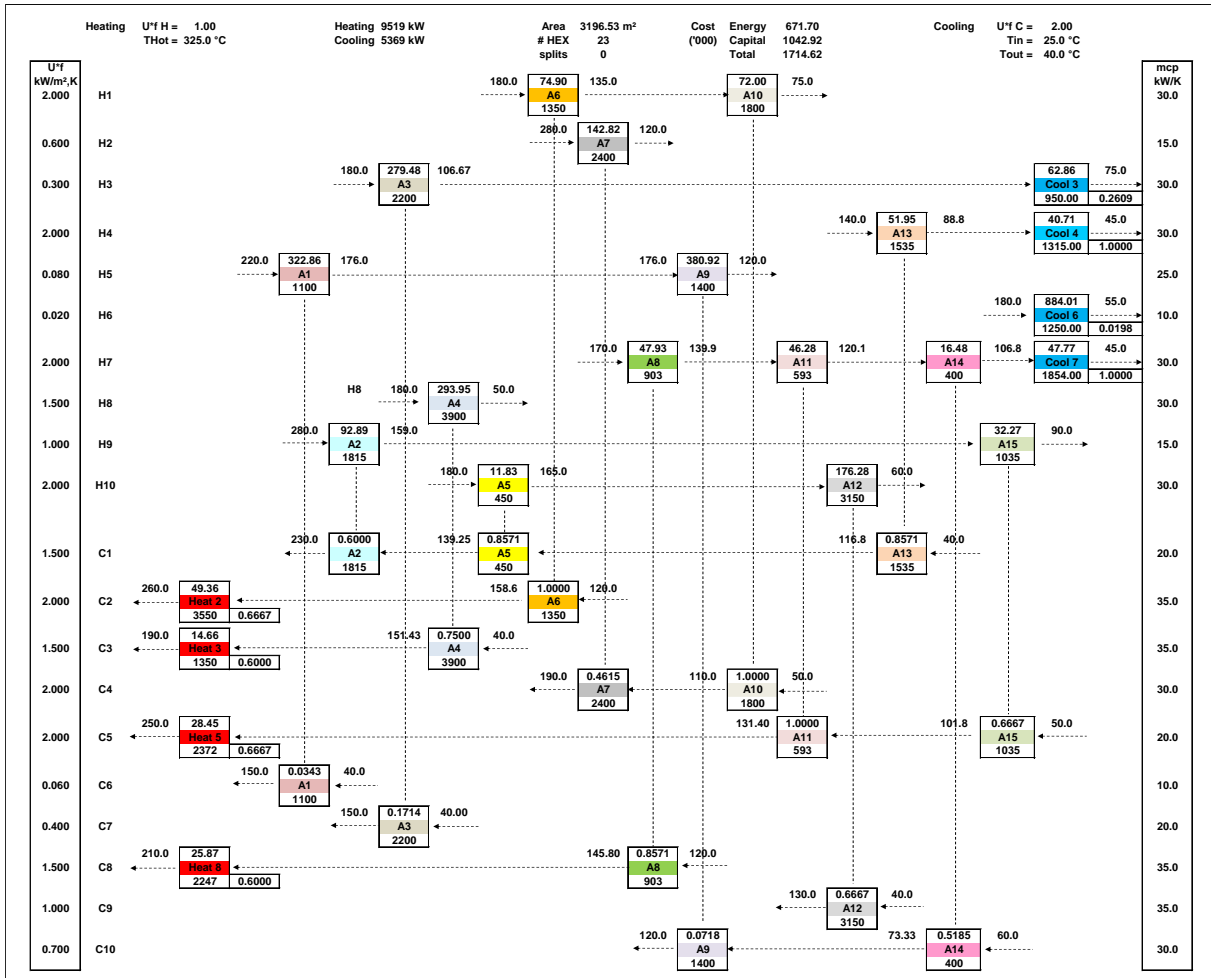


Figure 13.6

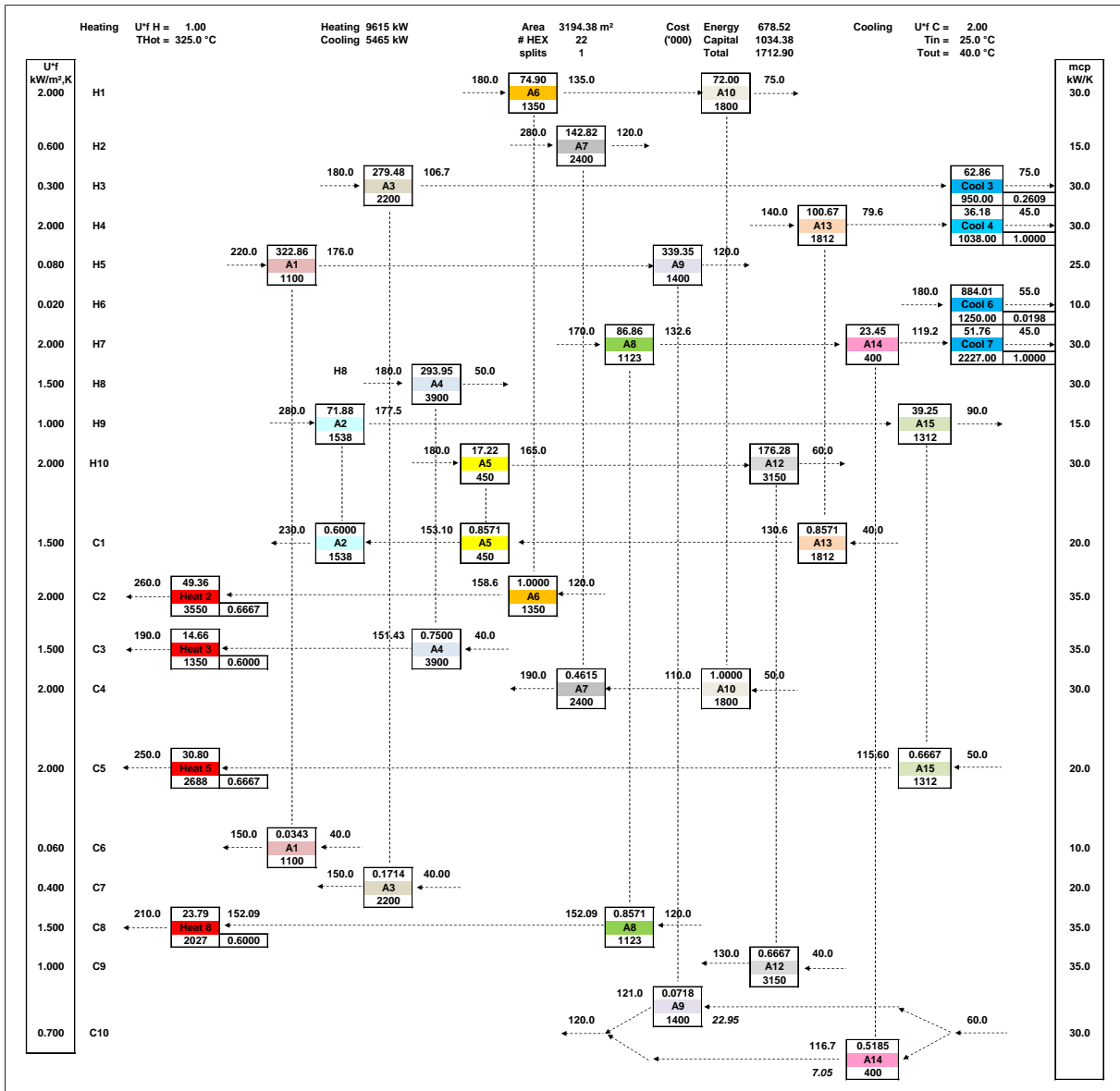


Figure 13.7

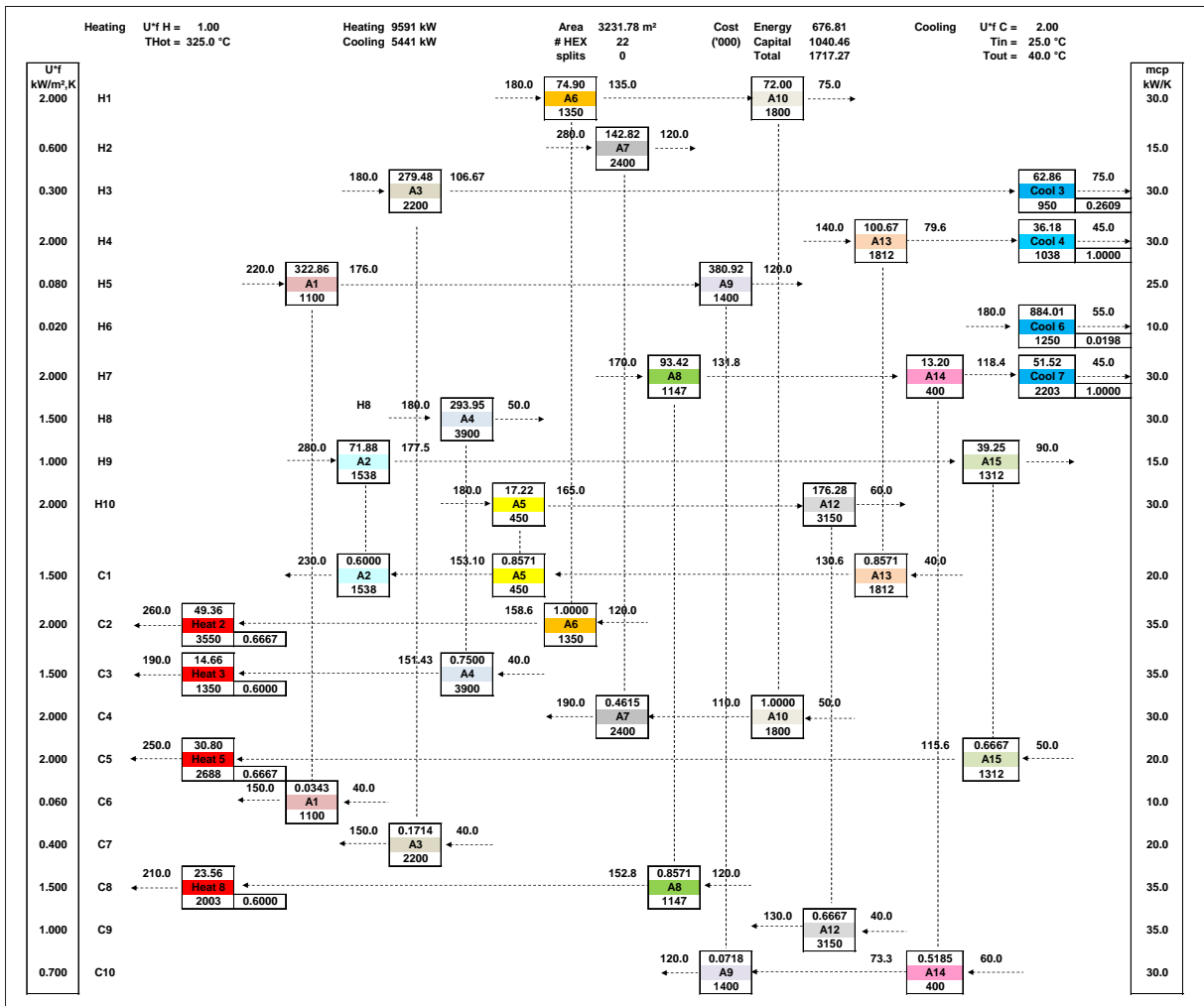


Figure 13.8

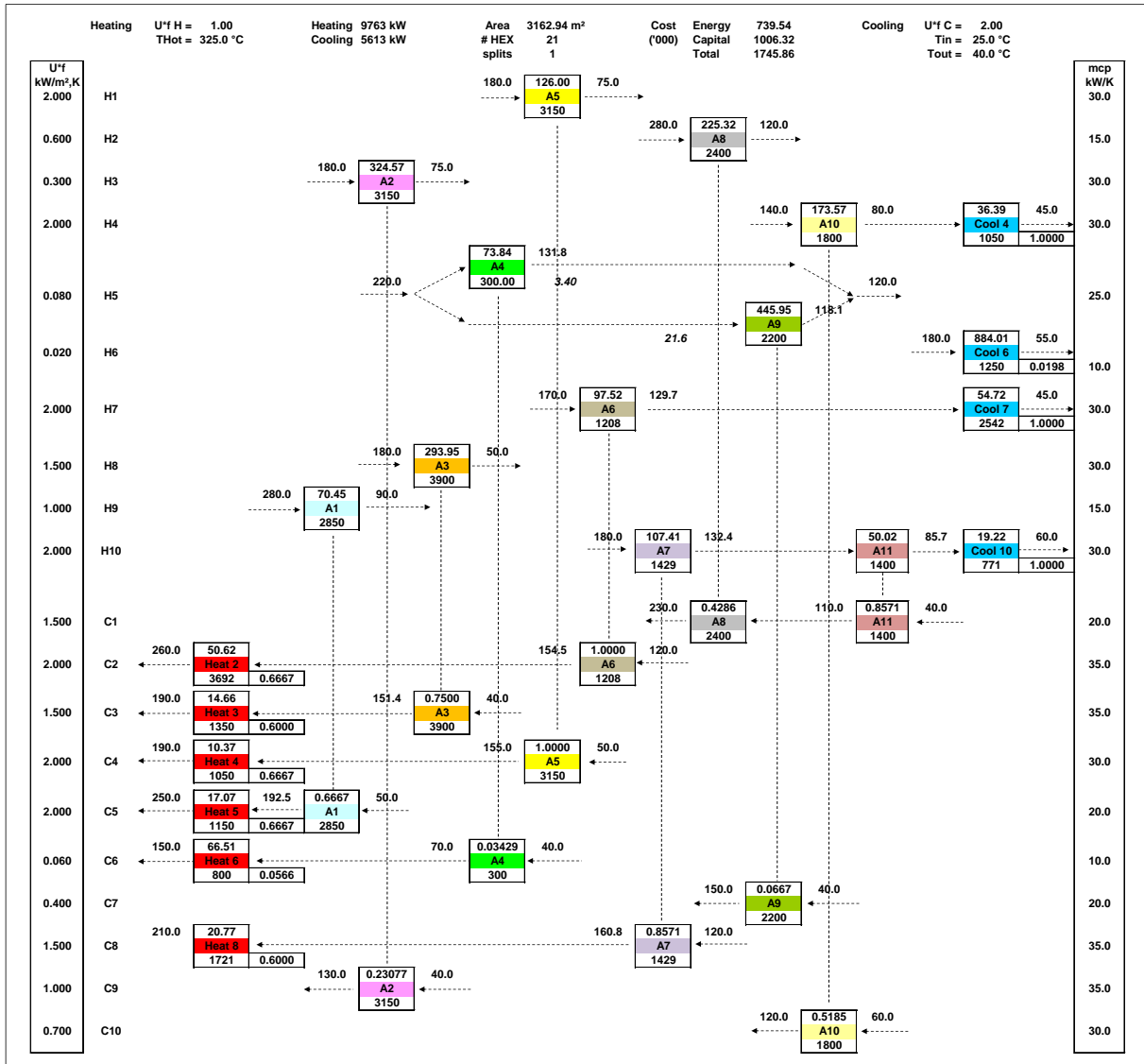


Figure 13.9

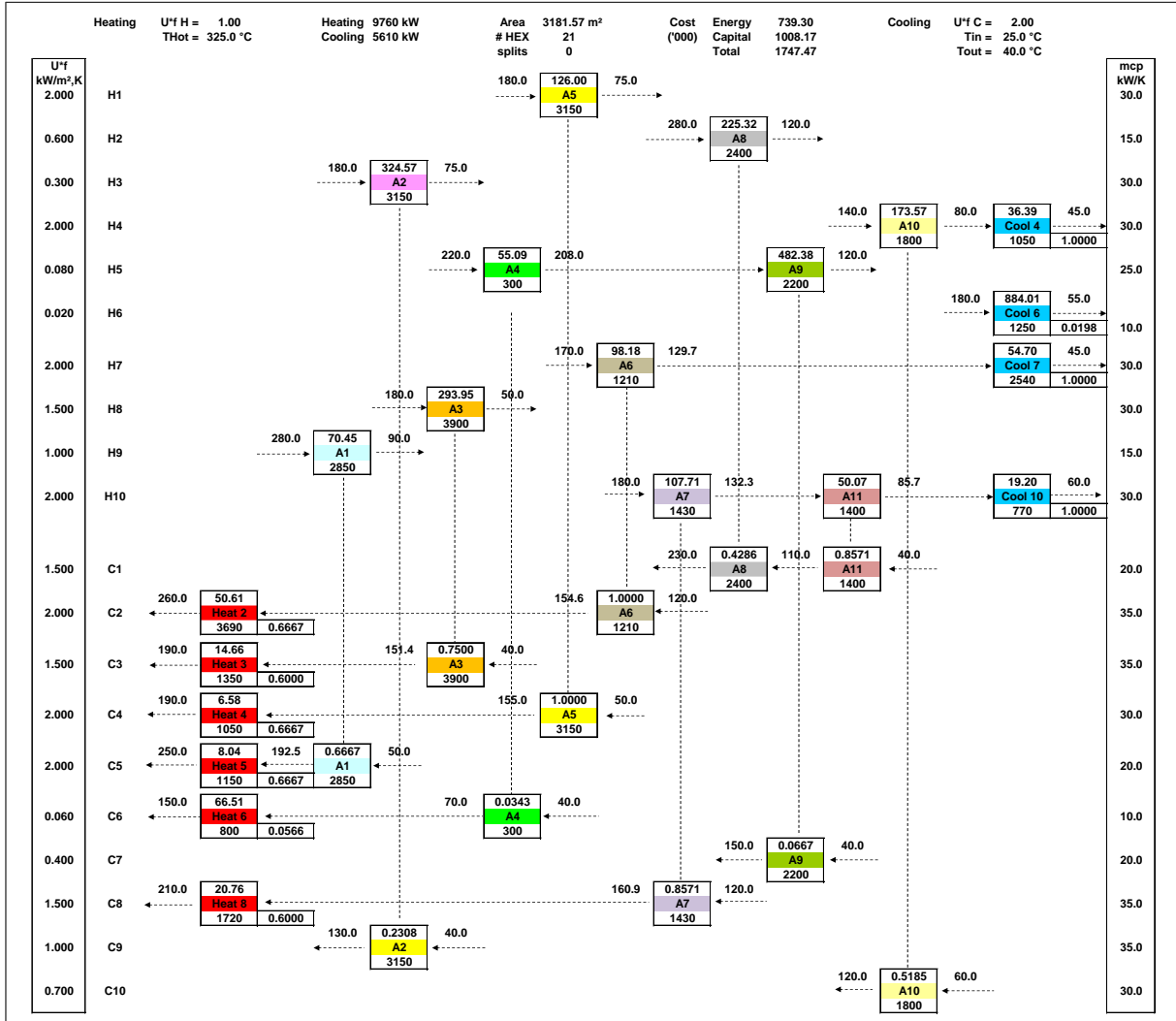


Figure 13.10

14 The 20 streams problem from Sorsak and Kravanja (13H7C)

The original data set from Sorsak and Kravanja [68] was adapted by Escobar and Trierweiler [105]. It was further intensively studied by Pavao et al. in 2016 [38] and in 2018 [33], by C Zhang et al. in 2016 [118] and [122], by Xiao et al. in 2017 [101], in 2018 [80] and in 2021 [46], by Z Bao et al. in 2018 [92], by H Zhang et al. in 2018 [115], by Rathjens and Fieg in 2019 [83] and by Xu et al. in 2019 [82]. The data set is shown in Table 14.1.

Table 14.1

Tsupply	Ttarget	Heat	Optimum shift	U*f	Descript.
°C	°C	kW	K	kW/K,m ²	-
576.0	437.0	3210.90	3	0.06	H1
599.0	399.0	3044.00	3	0.06	H2
530.0	382.0	2242.20	3	0.06	H3
449.0	237.0	3129.12	3	0.06	H4
368.0	177.0	2043.70	5	0.06	H5
121.0	114.0	1047.20	-36	1.00	H6
202.0	185.0	4389.40	-34	1.00	H7
185.0	113.0	603.36	-37	1.00	H8
140.0	120.0	1197.80	-36	1.00	H9
69.0	66.0	497.37	0	1.00	H10
120.0	68.0	454.48	-36	1.00	H11
67.0	35.0	243.84	0	1.00	H12
1034.5	576.0	9766.05	0	0.06	H13
123.0	343.0	2334.20	49	0.06	C1
20.0	156.0	904.40	0	1.20	C2
156.0	157.0	3291.00	-3	2.00	C3
20.0	182.0	4314.06	0	1.20	C4
182.0	318.0	4241.84	1	1.20	C5
318.0	320.0	8023.66	-3	2.00	C6
322.0	923.8	10591.33	49	0.06	C7
927	927	1831.07	-46	5.00	Heating
9	17	0.00		1.00	Cooling

Cost data

Heating: 250 \$/kW,year Cooling: 25 \$/kW,year

HEX cost: $4000 + 500 \times \text{Area}^{0.83}$ \$/year

Shift values in Table 14.1 were set with Crisscross Optimisation for minimum area.

Composite curves are shown in Figure 14.1 for classic pinch analysis. Available driving force is low at lower temperatures but is much higher with Crisscross as illustrated in the DeltaT versus Q diagram in Figure 14.2.

Trade-off between energy and capital indicates that no cooling is required. Total cost as a function of heating is shown in Figure 14.3 where results both from classic analysis and after Crisscross Optimisation are presented. The target with Crisscross is a cost of 1428.42 k\$/year for 20 units and a heat exchanger area of 4467.63 m²; this is 4% lower than the target in the classic approach.

The design procedure started with pinch analysis with crisscross optimiation, generation of the grid, application of a tick-off procedure, study of potential splits and swaps to eliminate loops.

An intermediate near-to-optimum network with a cost of 1403.99 k\$/year is shown in Figure 14.4. A loop can be identified, which can be broken by swapping the cold sides of heat exchanger units A17 and A18. The result is a network with a cost of 1397.91 k\$/year which is the optimum network with 20 units without splits. That network is also the basis for the optimum networks with splits. The networks with twenty units and one heater on cold stream C7 are mentioned in Table 14.2.

Then a new heuristic rule was applied: “check whether the introduction of an additional utility unit creates a loop through which further optimisation is possible”. This rule was applied on cold stream C1, creating a loop between heaters Heat 1 and Heat 7, leading to another near-to-optimum network with 21 units and a cost of 1391.97 k\$/year as shown in Figure 14.5. Introducing splits and optimisation via the loop generates optimum networks with 21 units and with 1 and 2 splits. The same heuristic rule was also applied on cold stream C5, creating a loop between heaters Heat 5 and Heat 7 as shown in Figure 14.6. Optimisation within the loop leads to the elimination of heat exchanger A2 and an optimum network with 21 units without splits.

The best networks with 21 and 20 units are reported in Tabel 14.2, where they can be compared with the results of published networks. They are shown in Figures 14.7 through 14.12. The costs of the best networks are below target which was 1428.42 k\$/year. These costs are up to 2.0% lower than the best network published so far [46]; more than 30 networks could be developed with lower cost.

Crisscross optimisation prior to design combined with appropriate heuristics appears to be a powerful tool for synthesising optimum networks.

Table 14.2

Published studies	Heating	Area	Units	Splits	Cost	Heaters
	kW	m ²	#	#	'000 \$/year	on stream
Escobar and Trierweiler (2013) *	1938.00	5551.08	21	5	1537.09	C7
Reoptimised by Pavao (2016)	1938.00	5389.05	21	5	1516.48	C7
Developed and optimised in this study	1938.00	5300.94	20	5	1489.67	C7
C. Zhang et al. (2016)	1831.07	5969.00	23	0	1536.99	H13,C1, C4, C5, C6
Pavao (2018)**	2074.91	5038.59	22	5	1467.80	C1, C4, C7
Bao et al (2018)	2077.51	5053.20	22	3	1462.32	C1, C4, C5, C6
Xiao, Cui, Sun, Chen (2018)	1867.57	5316.06	21	0	1424.17	C1, C4, C5, C6, C7
Hongliang Zhang and Cui (2018)	1831.07	5110.00	22	0	1418.98	C1, C5, C6
Xu et al. (2019) [14]	1831.07	5295.85	20	0	1412.80	C1, C5, C6
Rathjens and Fieg (2019)	1831.07	5142.15	20	4	1407.20	C1, C2, C6
Xiao, Kayange et al. (2021) **	1831.07	5025.08	21	0	1396.49	C1, C5, C6
This study	1831.07	4685.85	21	2	1368.99	C1, C7
	1831.07	4784.79	21	1	1369.07	C1, C7
	1831.07	4689.02	21	0	1389.26	C1, C5, C7
	1831.07	4784.32	20	2	1372.97	C7
	1831.07	4784.79	20	1	1373.04	C7
	1831.07	5055.57	20	0	1397.91	C7
* Revised by Pavao (2016) [7]						
** Revised by the author						
Networks with a heating load of 1831.07 kW need no coolers						

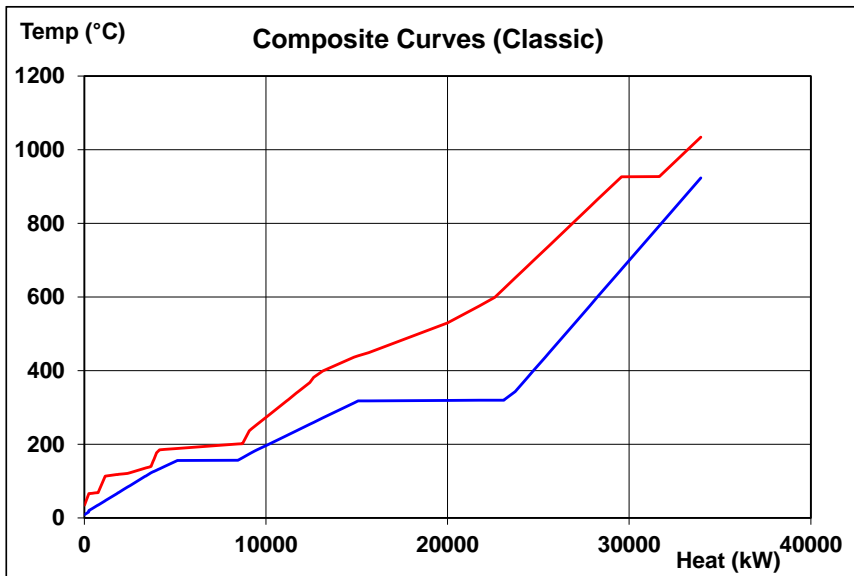


Figure 14.1

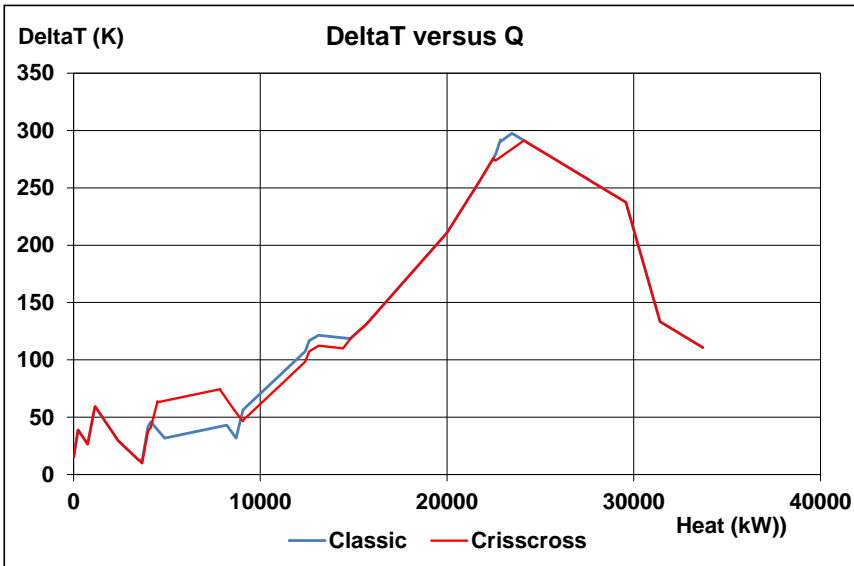


Figure 14.2

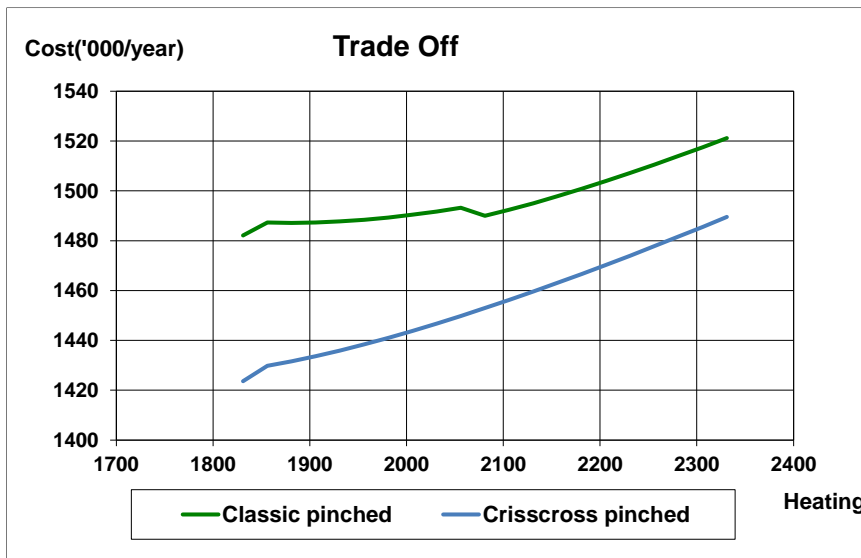


Figure 14.3

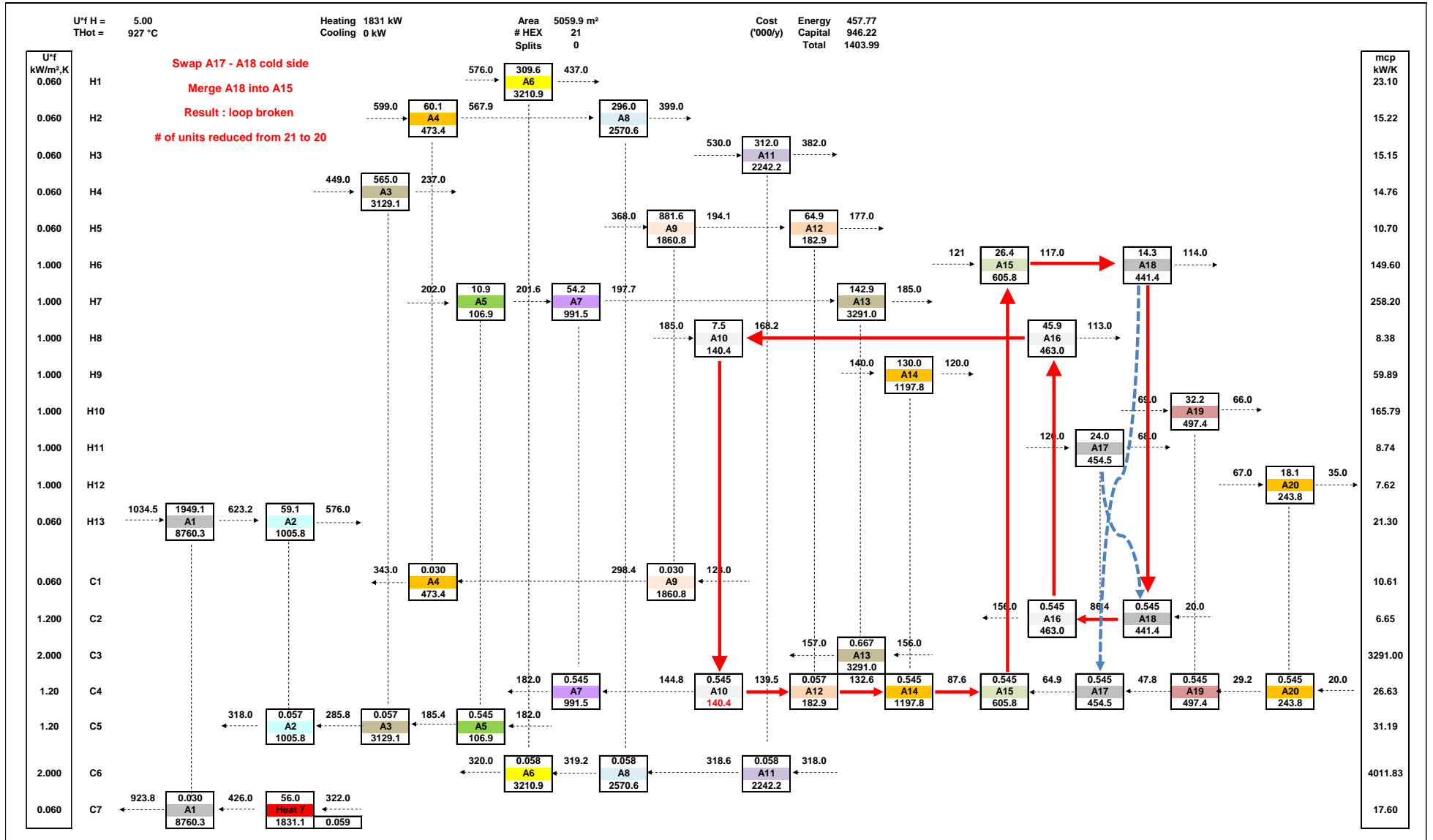


Figure 14.4

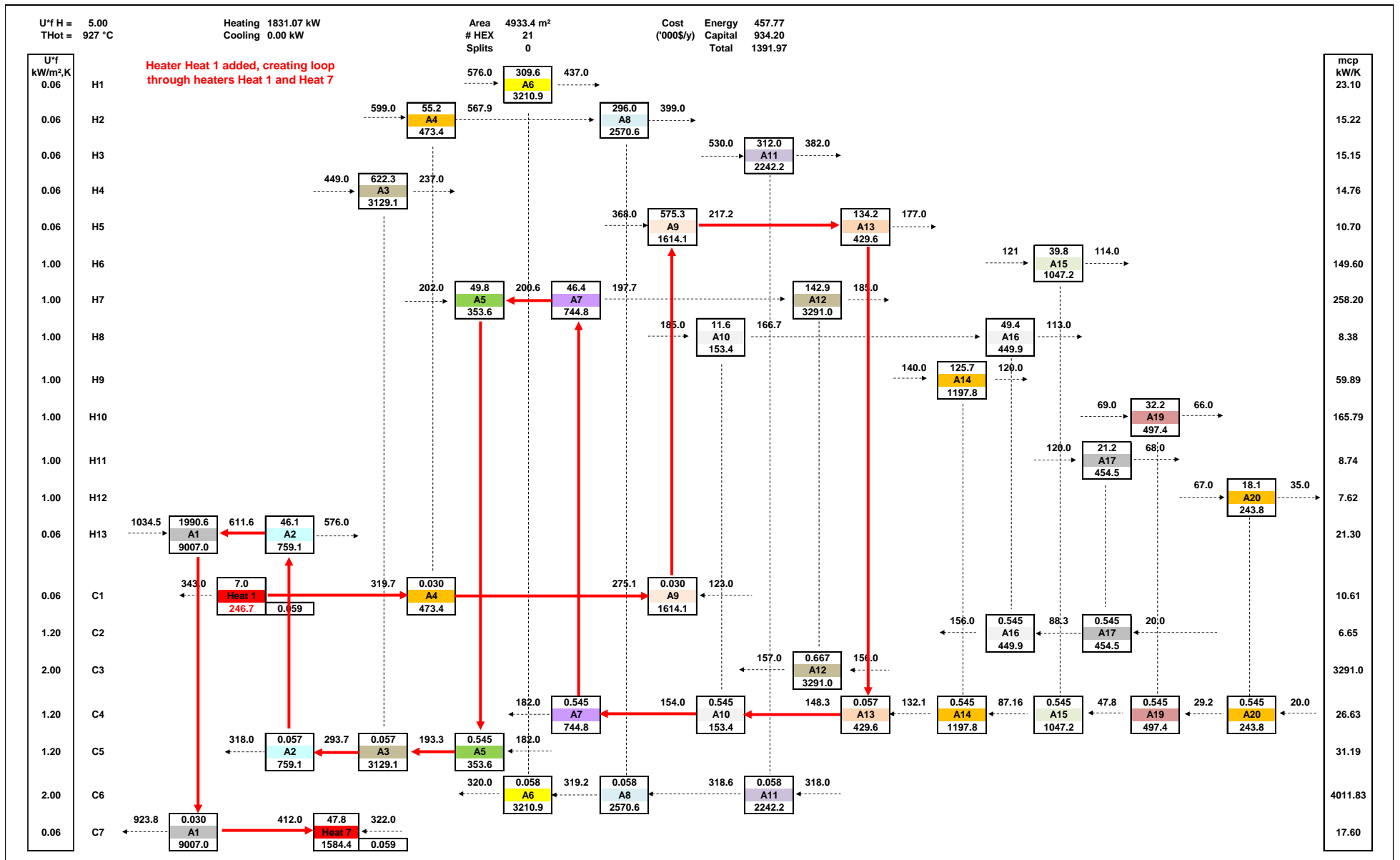


Figure 14.5

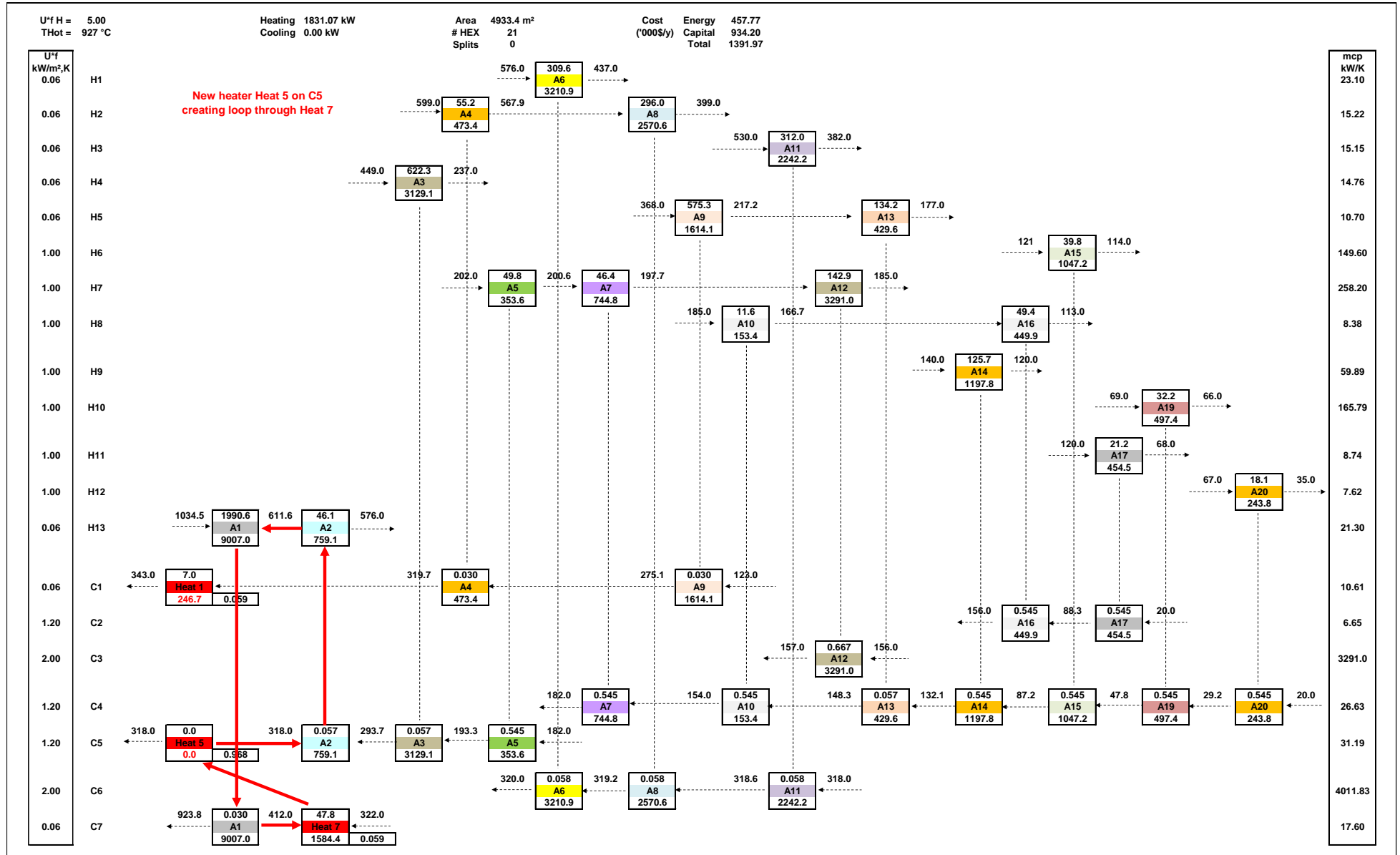


Figure 14.6

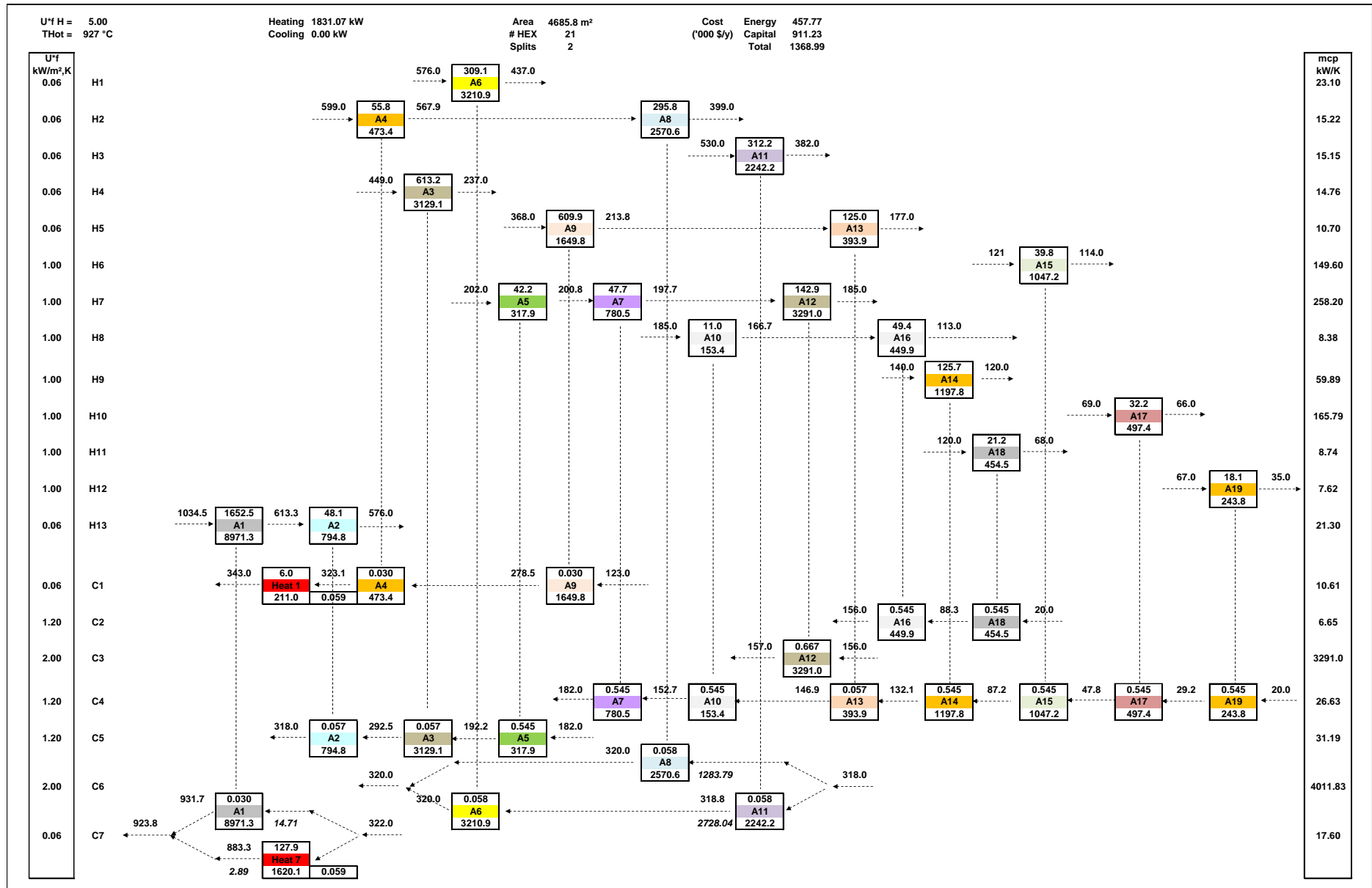


Figure 14.7

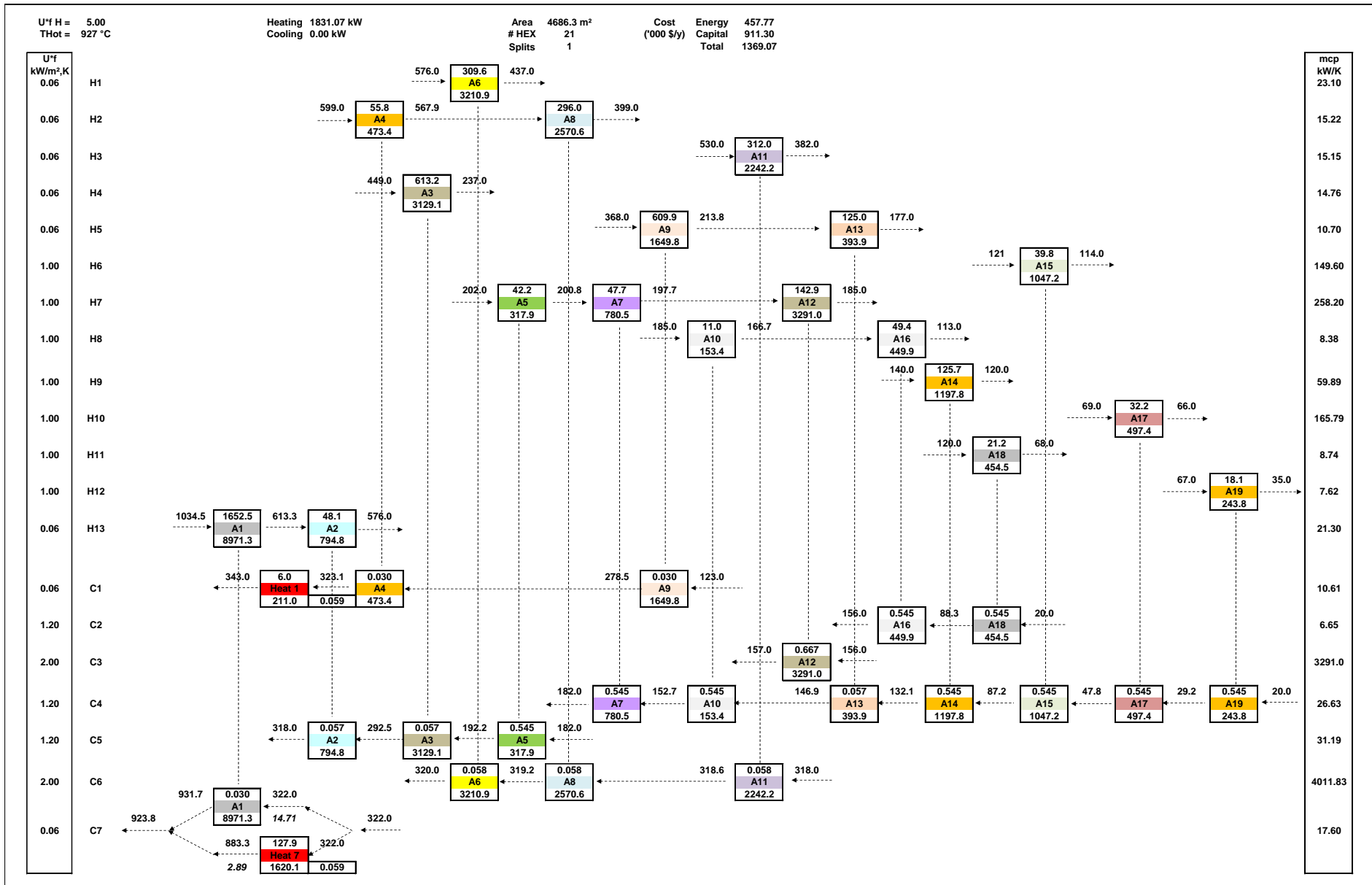


Figure 14.8

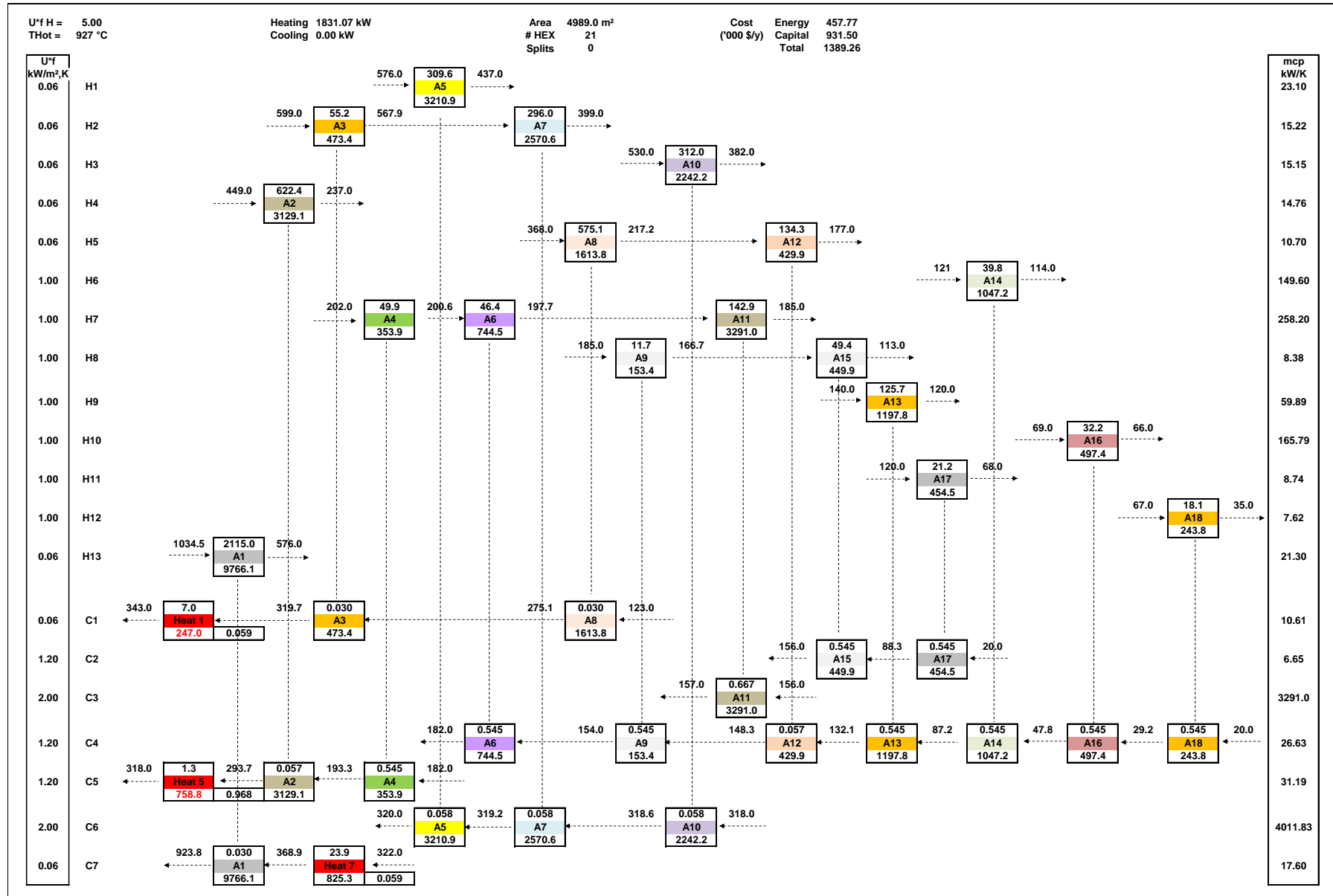


Figure 14.09

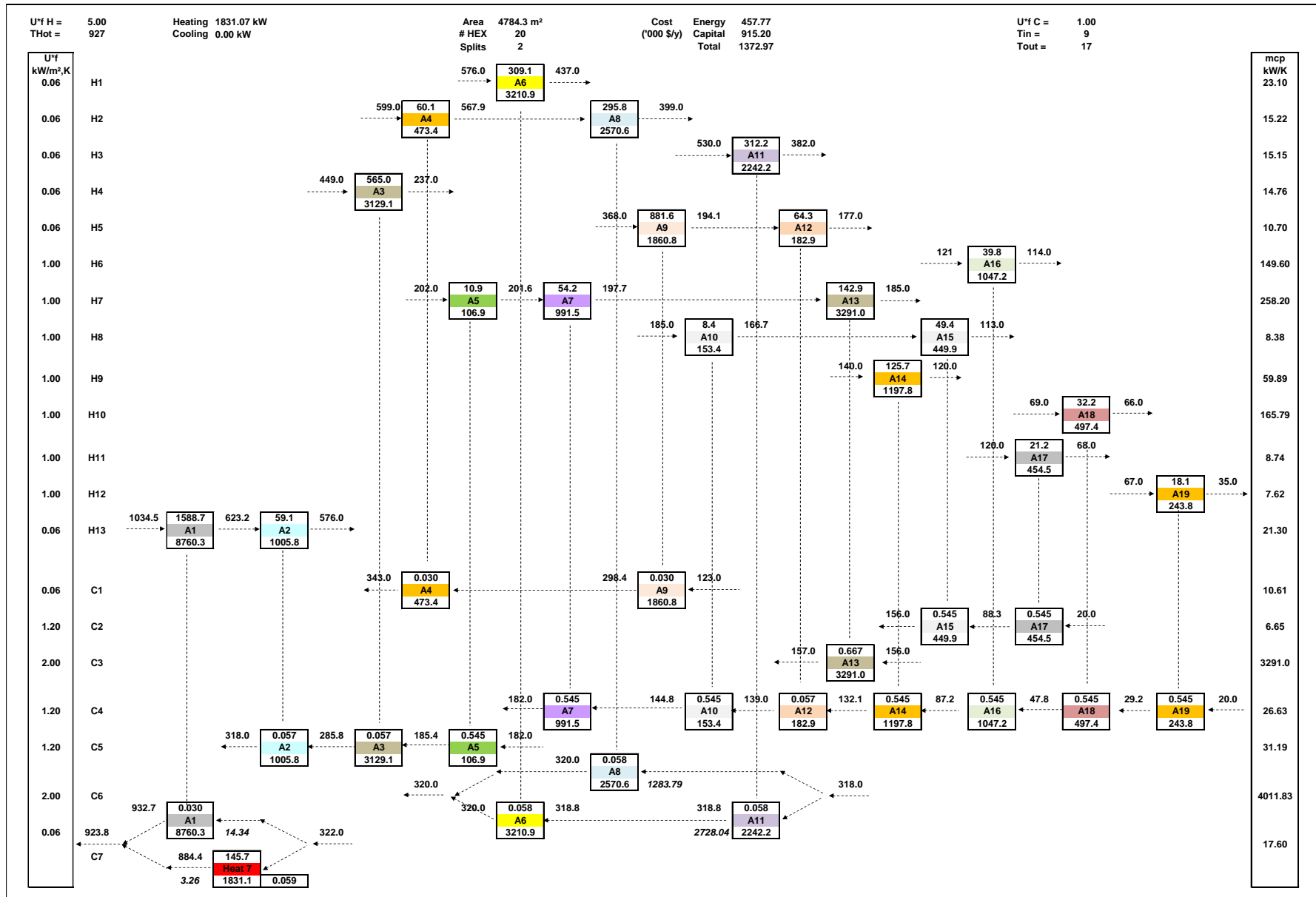


Figure 14.10

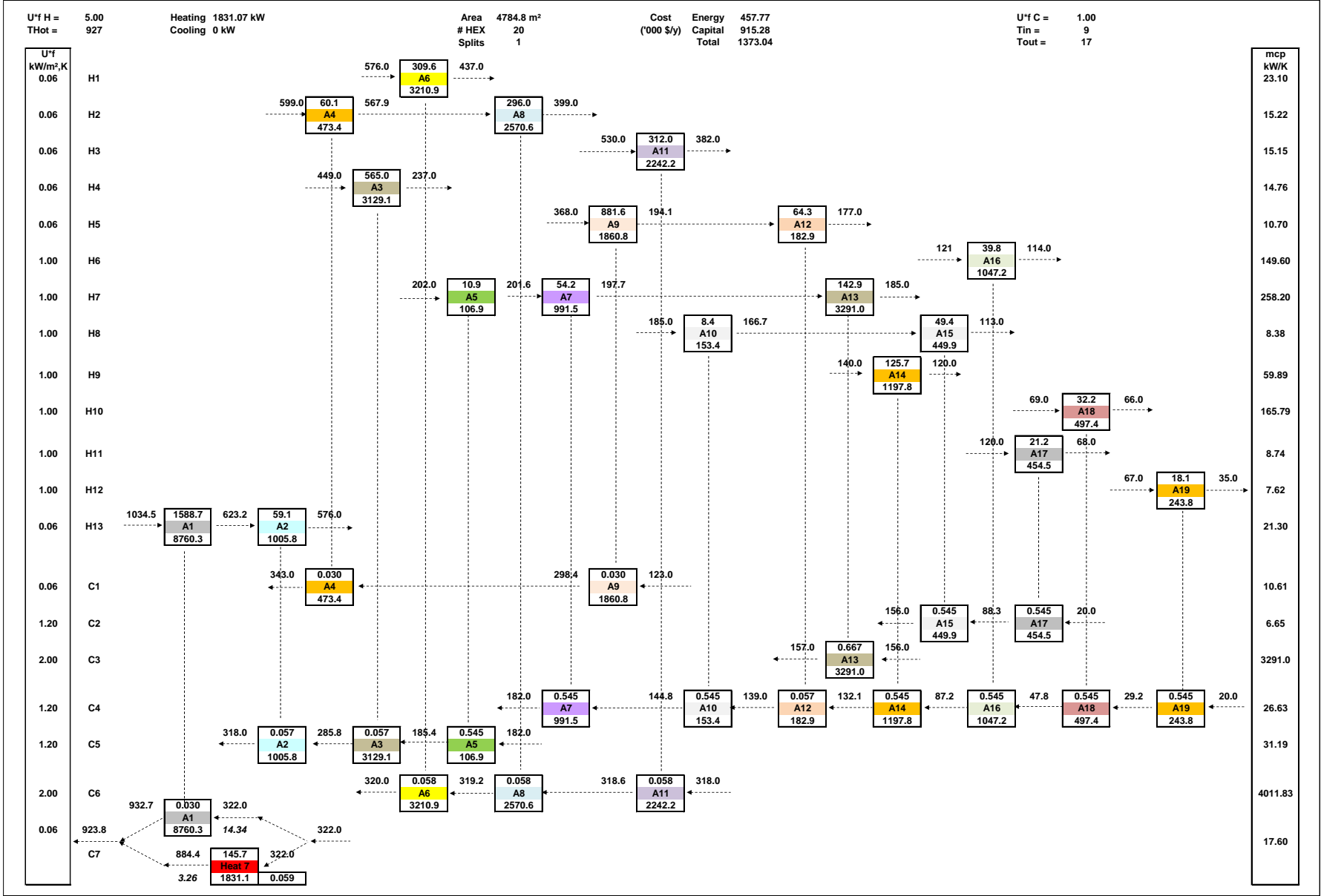


Figure 14.11

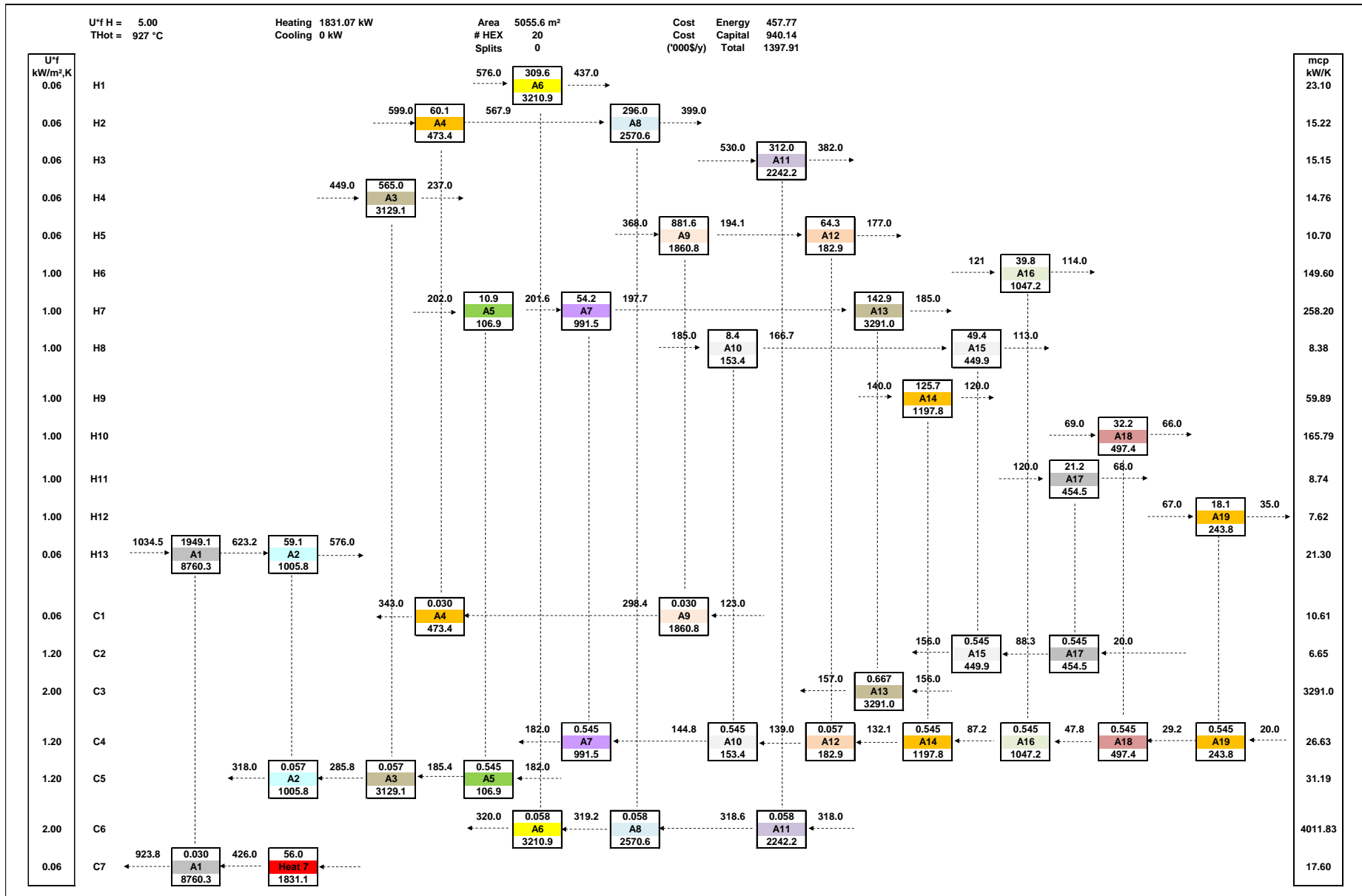


Figure 14.12

15 The Crude Fractionation Unit from Kim and Bagajewicz

This crude fractionation unit case was presented by Kim et al. in 2016 [123] and 2017 [32]. It has also been treated by Pavão et al. [33] and Nair and Karimi [110]. The case has also intensively been studied by this author in Case 24, Benchmark Solutions for a Crude Fractionation Unit [124].

The stream data and financial parameters are shown in Table 15.1.

Table 15.1

Tsupply	Ttarget	Heat	DT-shift	U*f	Descript.	mcp
°C	°C	kW	K	kW/K,m ²	-	kW/K
360.0	290.0	2786.7	1.0	0.47	VR1	39.81
290.0	115.0	6966.8	1.0	0.47	VR2	39.81
303.6	270.2	7848.3	3.0	0.41	LCR	234.98
359.6	280.0	1952.6	1.0	0.47	SR-Quench	24.53
250.6	90.0	21231.3	4.0	0.26	HVGO	132.2
248.8	110.0	4415.2	-1.0	0.72	LGO	31.81
277.0	121.9	3812.4	0.0	0.57	HGO	24.58
210.0	163.0	5440.7	3.0	0.33	MCR	115.76
170.1	60.0	3735.7	0.0	0.45	Kerosene	33.93
140.2	39.5	10724.6	5.0	0.26	TCR	106.5
178.6	108.9	3335.1	-1.0	0.60	LVGO	47.85
30.0	130.0	20248.0	0.0	0.26	Crude 1	202.48
130.0	350.0	63782.4	0.0	0.72	Crude 2	289.92
500.0	499.0	20375.0		0.53	Heating	
20.0	40.0	8593.98		0.53	Cooling	

Financial parameters

Heating : 100.0 \$/kW,year Cooling : 10.0 \$/kW,year

HEX cost : 25 000 + 55.0 x Area \$/year

It is not clear what kind of hot utility has been used: steam does not condense at the given temperature level and flue gas would have a different temperature profile. The temperatures were maintained, however, to allow comparison with results from other studies and, furthermore, they have no real impact on the results.

The heating load has been chosen on the basis of the trade-off curve in Figure 15.1. Shift values were optimized by crisscross optimization for minimum cost. Composite curves are shown in Figure 15.2. The pinch is caused by hot stream MRC; the minimum number of units is 18 for a system segregated at the pinch.

From practical point of view, it might be interesting to split the network not at the pinch, but at 130°C, the supply temperature of the high-temperature crude section after the desalter. With the split at that level, however, both the kerosene and the LVGO would have a small exchanger above and one below the desalter, which would increase the number of units from 18 to 19. This has been avoided in the trade-off analysis by pushing these streams down to below the desalter level by applying an increased shift on those streams, resulting in the green curve in Figure 15.1. The number of units in the analysis drops from 18 to 17, whilst the area goes up with 478 m² from 12944 m² to 13422 m². The additional

area cost of 26290 \$/y is quasi compensated by avoiding one unit, saving 25000 \$/y and, expectedly, the network will be simpler. The costs of the 2 alternatives are compared in Table 15.2. The difference between vertical and criss-cross area is only 1.0%, due to the small shift values.

Further analysis of the grid indicates that the cooling can be concentrated in one unit on the TCR and suggests putting all hot streams below the desalter on parallel branches of the crude. Herewith, the initial network below the desalter can be synthesised with the target number of units of 8.

The grid above the desalter can be reduced to 7 bands with 21 units (using LP) and herewith an initial network can be designed for further optimisation. Application of incremental evolution and smart optimisation procedures with non-isothermal splits will reduce the network to 17 units with an area of 13398 m², 11 splits and an annual cost of 3328.57 k\$/year (Figure 14.4). As indicated in that figure, this network can be fine-tuned by alternating the pipe connections between exchangers A1 through A5 and further optimised, leading to the network of Figure 14.5 with 10 splits and a cost of 3324.61 k\$/year.

That network can be further improved and simplified by reducing the number of splits. Above the desalter, the 5 streams VR2, HVGO, LGO, HGO and MCR must be cooled down with branches of the crude above the desalter to stay close to the energy target. Consequently, the crude above the desalter remains split in 5 branches. Below the desalter, however, the number of branches can be reduced by merging branches or by replacing an exchanger on a branch with a cooler. In finding optimum solutions, the following additional heuristics were tested:

- Move the heat exchanger with the smallest heat transfer coefficient to the cold side with larger driving force,
- Increase the load on that branch by adding other loads and increasing the split ratio on that branch to increase the driving force.

The results of application of said heuristics are shown in Figure 15.3.

So far, the above networks had no minimum approach temperature (EMAT). To enable full comparison with the network by Kim in [32], an EMAT of 10 °C was imposed on the previous networks. These results are also shown in Figure 15.3.

The results are summarised and compared with published results in Table 15.3. Optimum networks have 17 units and 9 splits. They are shown in Figure 15.5 (EMAT of 10 K), respectively Figure 15.6 (no EMAT). The full list of networks with splits from 4 to 10 can be found in [124].

All networks, except those with only 4 splits, have a cost within less than 0.8 % of the optimum. There exist more than 50 networks with a cost range within 0.5% of the optimum.

Conclusion: targeting with insight in the process combined with simple procedures enables synthesis of competitive heat exchanger network structures

Table 15.2

Results Pinch analysis			
InputUtil.Hot/Cold	kW	20375.00	8593.98
HEX area (vertical):	m ²	13059.61	
HEX area (criss-cross):	m ²	12943.94	
Annual cost utilities	'000 \$/y	2123.44	
Pinched at 210°C (MCR)			
HEX area (criss-cross):	m ²	12943.94	
Feasible # units above/below Pinch :		8	10
Annual cost Investment	'000 \$/y	1161.92	
Total annual cost	'000 \$/y	3285.36	
Split at 130°C and KERO and LVGO pushed down			
HEX area (criss-cross):	m ²	13421.97	
Feasible # units above/below split:		9	8
Annual cost Investment	'000 \$/y	1163.21	
Total annual cost	'000 \$/y	3286.65	

Table 15.3

	Heating	Cooling	Area	Units	Splits	Utility cost	Area cost	Total cost
	kW	kW	m ²	-	-	'000 \$/y	'000 \$/y	'000 \$/y
EMAT 10 K								
Kim et al. (best of) °)	23 566	11 783	9 794	17	6	2 474.43	982.22	3 456.65
Kim et al. °°)	23 566	11 785	10 044	17	6	2 474.45	977.40	3 451.85
°) reported °°) recalculated and optimised								
This research								
	21 034	9 253	12 945	17	10	2 195.93	1 136.98	3 332.91
	21 017	9 236	12 942	17	9	2 194.06	1 136.79	3 330.85
	21 017	9 236	12 949	17	8	2 194.06	1 137.17	3 331.23
	21 021	9 240	12 982	17	7	2 194.50	1 139.00	3 333.50
	21 066	9 285	13 007	17	6	2 199.45	1 140.39	3 339.84
	21 177	9 396	13 060	17	5	2 211.66	1 143.30	3 354.96
	21 468	9 687	13 088	19	4	2 243.67	1 194.82	3 438.49
no EMAT								
Pavão et al.	20 891	9108	13 835	18	7	2180.18	1 210.89	3 391.07
Nair et al. (best of)	20 894	9114	13 432	17	10	2180.54	1 163.78	3 344.32
This research								
	20 747	8 966	13 368	17	10	2 164.36	1 160.25	3 324.61
	20 705	8 924	13 396	17	9	2 159.74	1 161.79	3 321.53
	20 710	8 929	13 393	17	8	2 160.29	1 161.64	3 321.93
	20 717	8 936	13 433	17	7	2 161.06	1 163.80	3 324.86
	20 795	9 014	13 409	17	6	2 169.64	1 162.47	3 332.11
	20 908	9 127	13 472	17	5	2 182.07	1 165.95	3 348.02
	21 224	9 443	13 763	18	4	2 216.83	1 206.95	3 423.78

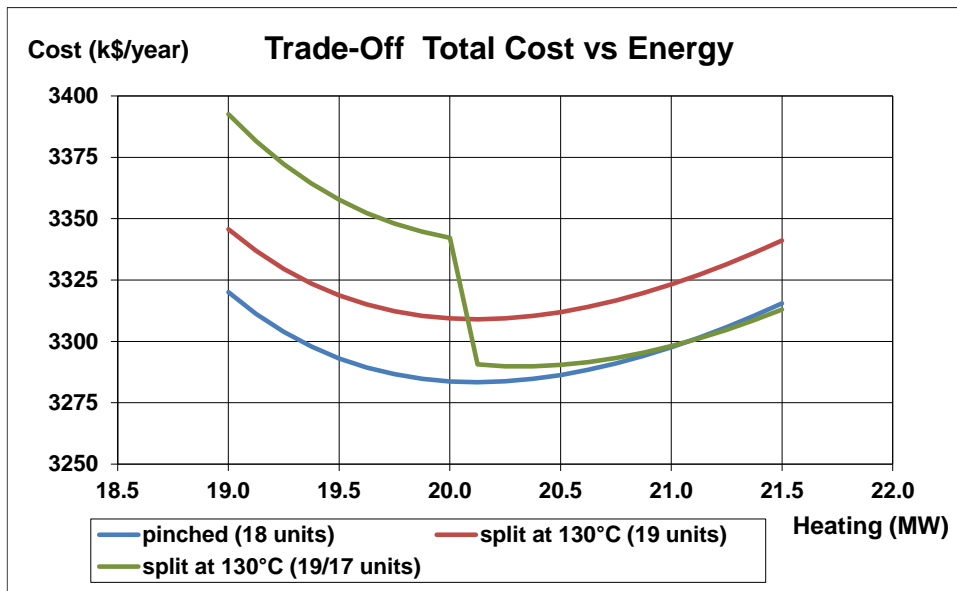


Figure 15.1

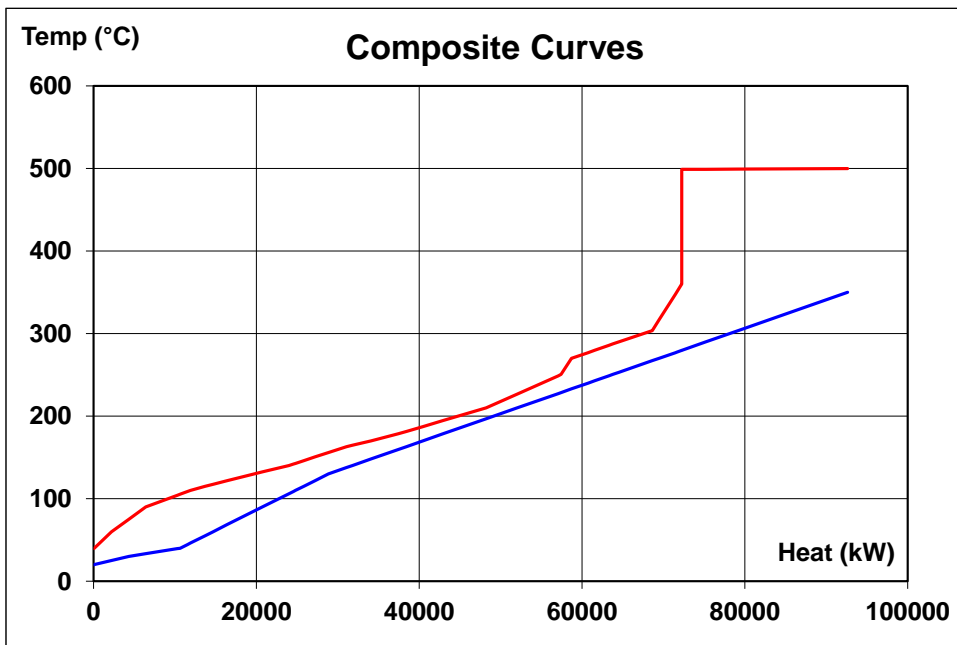


Figure 15.2

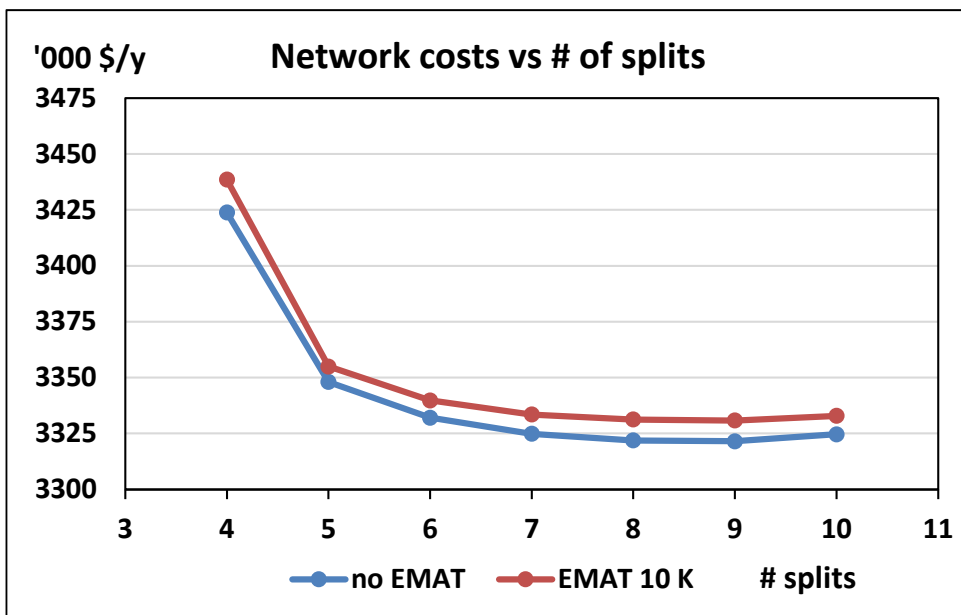


Figure 15.3

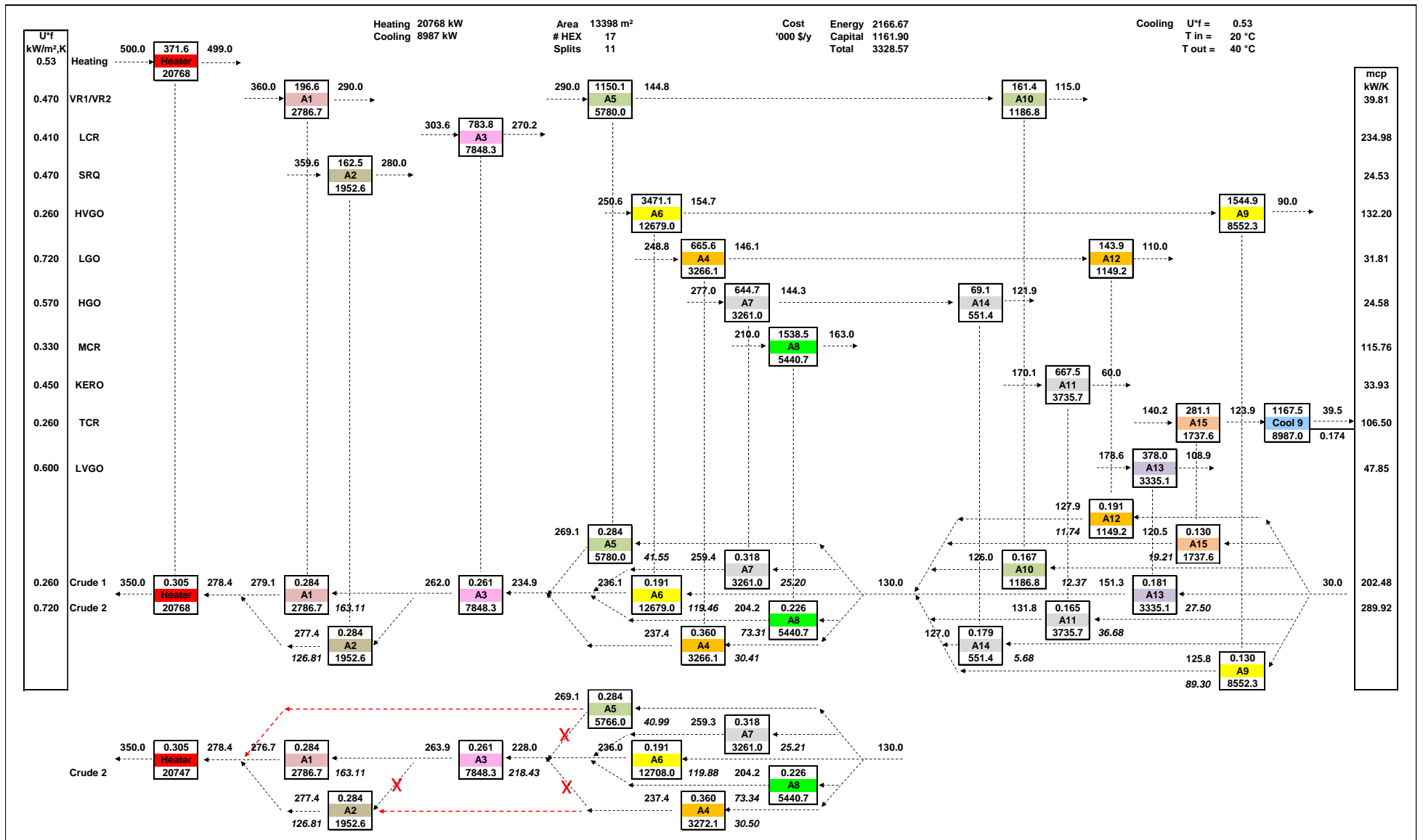


Figure 15.4

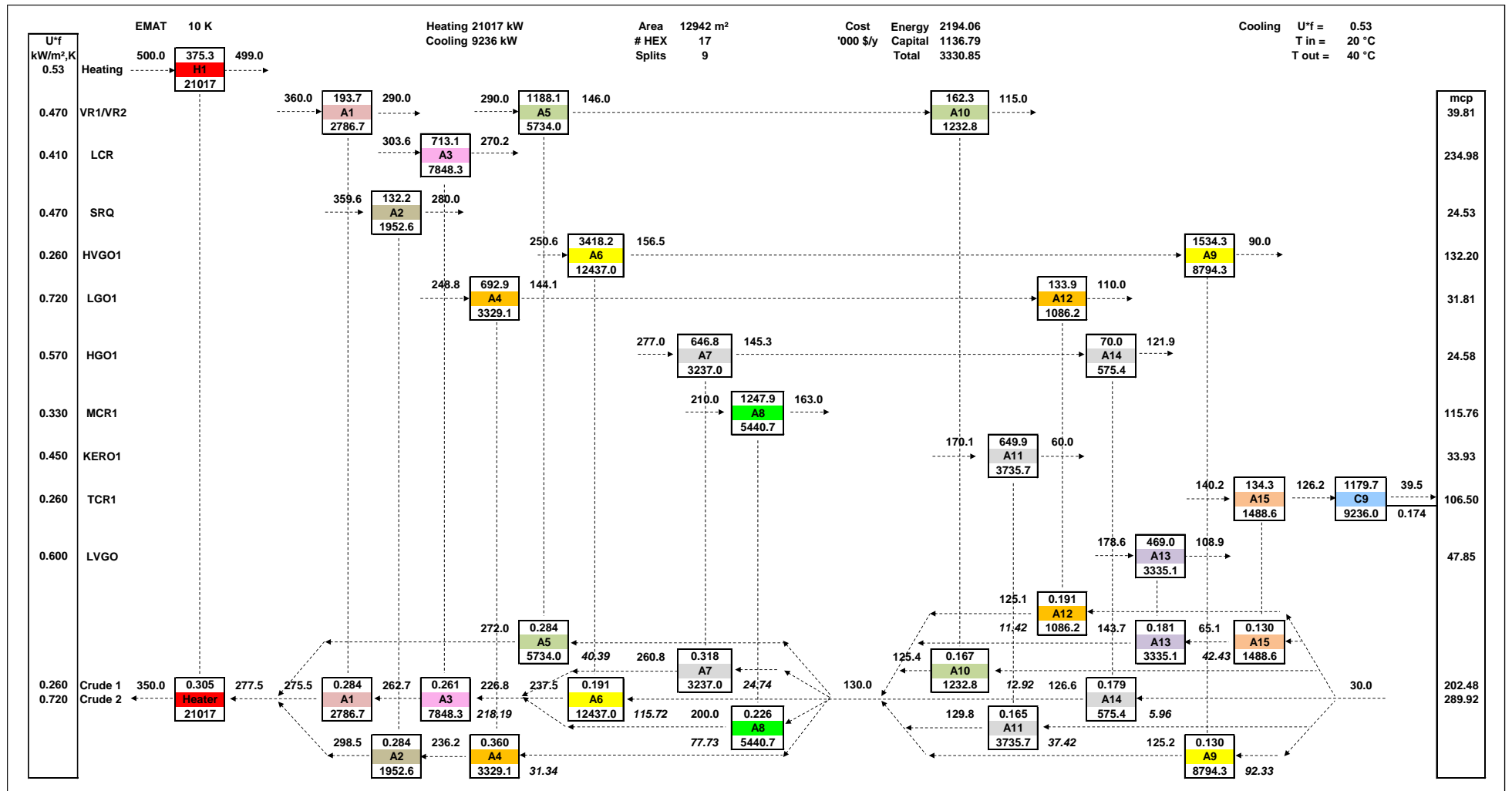


Figure 15.5

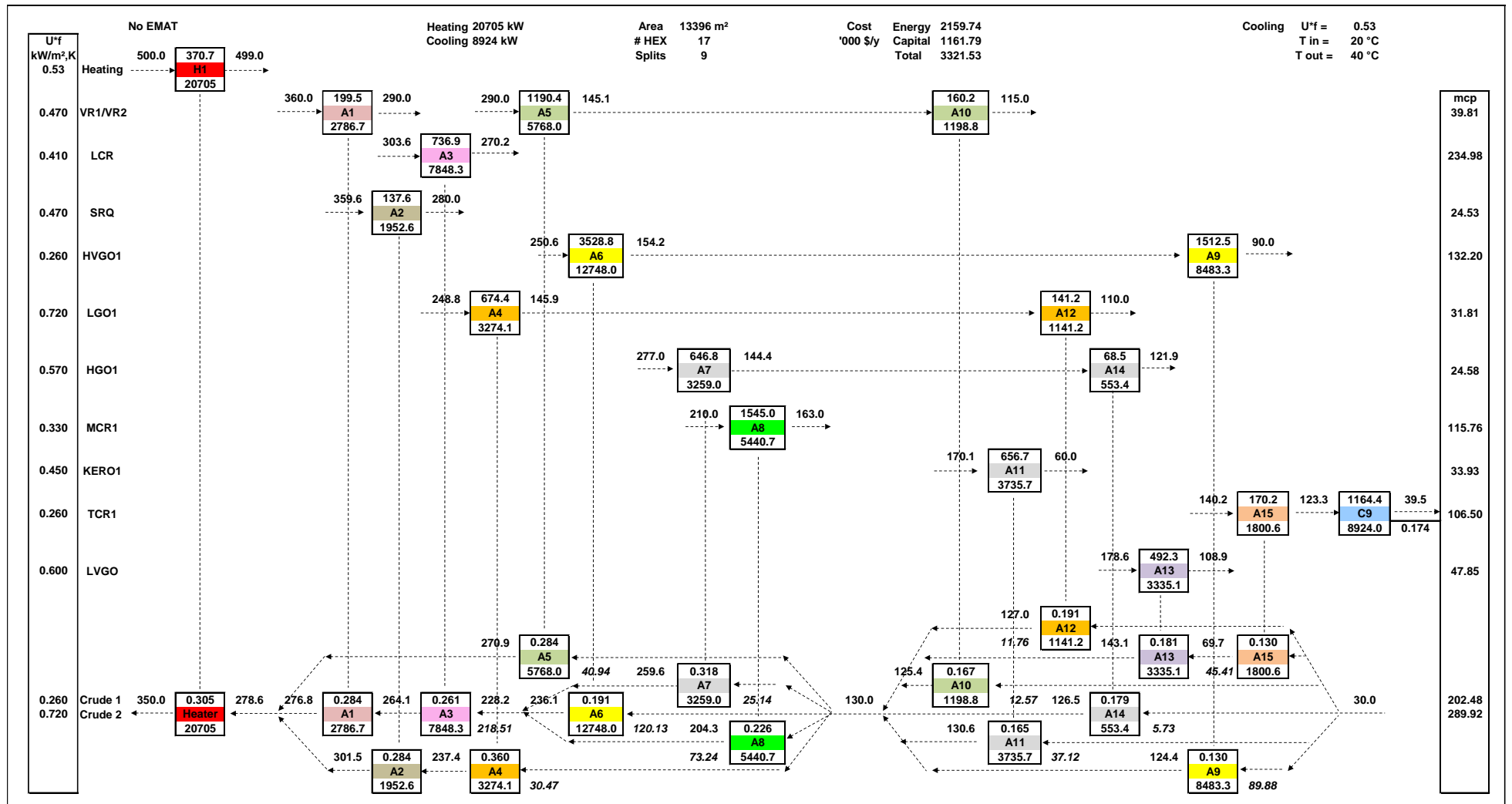


Figure 15.6

16 The 9 streams problem from Faria, Kim and Bagajewicz

The original data set of this 9 streams example was reported as 10SP1 by Cerda 10 1980 [126] and was studied by Papoulias and Grossmann in 1983 [127]. The problem was then revisited, however, with a different data set: hot stream H9 was redefined as hot utility and heat loads were only 30% of the original. This data set was treated by Faria et al. in 2015 [128], by Kim and Bagajewicz in 2016 [123] and 2017 [129] and by Nair and Karimi in 2019 [110]. It was also studied by this author [125].

The 9 streams data set and financial parameters are given in Table 16.1.

Table 16.1

Tsupply	Ttarget	Heat	DT-shift	U*f	Descript.	mcp
K	K	kW	K	kW/K,m ²	-	kW/K
500.15	339.15	713.39	5	0.06	H1	4.431
472.15	339.15	707.43	5	0.06	H2	5.319
522.15	411.15	350.98	5	0.06	H3	3.162
433.15	366.15	176.48	5	0.06	H4	2.634
355.15	450.15	492.48	5	0.06	C1	5.184
366.15	478.15	467.04	5	0.06	C2	4.170
311.15	494.15	463.36	5	0.06	C3	2.532
333.15	433.15	228.6	5	0.06	C4	2.286
389.15	495.15	193.34	5	0.06	C5	1.824
544.15	422.15	11.91	5	0.06	Heating	
311.15	355.15	115.37	5	0.06	Cooling	

Financial parameters

Heating : 566167 \$/kW,year Cooling : 53349 \$/kW,year

HEX cost : 5 291.9 + 77.8 x Area \$/year

A minimum value of 10 K as EMAT (exchanger minimum approach temperature) is imposed.

The utilities costs for this case are extremely high and would suggest a very specific application environment. A comment with the study by Cerda reads as follows: “*The material contained in this document is based upon work supported by a National Aeronautics and Space Administration (NASA) grant or cooperative agreement*”. This comment might explain the extraordinary level of utilities cost.

Results of the Pinch Analysis are given in Table 16.2.

Table 16.2

Pinch Temperature THot/Tcold streams (K):		376.15	366.15
Pinch caused by stream N#		6	Cold stream
Minimum Heating / Cooling (kW) :		11.91	115.37
Feasible # units above/below Pinch :		9	6
		Total	Above Below Pinch
HEX area :	m ²	4137.96	3269.35 868.61
Cost Utilities :	*000 \$/y	12896.50	6741.75 6154.75
Cost Investment :	*000 \$/y	401.27	301.95 99.32
Total Cost :	*000 \$/y	13297.77	

The composite curves are shown in Figure 16.1. The curves are parallel over a wide range; the pinch is caused by cold stream C2. Trade of between energy and capital is shown in Figure 16.2. The anal-

ysis further indicates that the problem can be turned into a threshold problem (without heating) if an EMAT of 9.0385 K is applied; in such case, the cost target would go down from 13298 k\$/year to 5933 k\$/year.

Three alternative routes have been worked out.

a) First route.

A grid diagram is generated using the pinch analysis tool, resulting in a scheme with 16 integration bands. A design with an LP program generates a network satisfying energy and area targets, however, with 83 heat exchanger units; that number has to be reduced. To simplify the task, the number of integration bands is reduced from 16 to 7 by merging adjacent bands, without significantly changing the nature of the problem (Table 16.3).

Table 16.3 – Reduced Grid diagram

Descript. -	Heat kW	mcp kW/K	Bands											
			1	2	3	4	Pinch	5	6	7				
Heating	11.908	0.10	544.15	500.87	472.15	422.15								
H1	713.39	4.43		500.15	472.15	411.15		376.15		367.27		350.78	339.15	
H2	707.43	5.32			472.15	411.15		376.15		367.27		350.78	339.15	
H3	350.98	3.16	522.15	500.87	472.15	411.15								
H4	176.48	2.63					433.15		376.15		366.15			
C1	492.48	5.18			450.15	400.82		366.15		355.15				
C2	467.04	4.17		478.15	452.62	400.82		366.15						
C3	463.36	2.53	494.15	478.15	452.62	400.82		366.15		353.99		333.15	311.15	
C4	228.60	2.29				433.15		400.82		366.15		355.15	333.15	
C5	193.34	1.82	495.15	478.15	452.62	389.15								
Cooling	115.368	2.62									355.15		333.15	311.15

Application of LP on the reduced diagram generates a network with 34 units and an area of 4342.38 m², some 5% above the minimum target area. This is the initial network for further evolution in the first route.

b) Second route.

To simplify the task ahead of applying LP, in a second route, as suggested by heuristics (satisfy the smallest heat load with 1 exchanger unit), a heater is imposed on a branch of cold stream C5 and the other branch of C5 is matched with a branch of hot stream H3. The remaining problem is processed as in the first route.

c) Third route.

In a third route, cold stream C5 is matched with a branch of hot stream H3, and a heater is imposed on a branch of cold stream C2, fitting into the grid diagram. The remaining problem is further processed as in the first route.

Obviously, inspection of the grid diagram might suggest other matches. Evolution of the networks enables cost reduction by elimination of heat exchanger units. In this phase, the imposed EMAT of 10 K is kept. The following techniques are applied for optimisation:

- introduction of non-isothermal splits,
- development by incremental evolution,
- distortion of the solution space,
- use of smart nodes.

Once the above techniques are exhausted, then swaps between HEX units in a same integration band are explored to remove EMAT constraints and, if successful, the optimisation techniques are repeated. Finally, split configurations and splits are analysed where they lead to EMAT constraints and, eventually, optimised.

The initial network of route 1 has a heater on cold stream C2 and a smaller heater on cold steam C5. After evolution, there is 1 heater left on cold stream C2.

Route 2 with a heater on cold stream C5 leads to a network with a quite similar structure. Differences between the two networks are:

- the location of the heater,
- the load distribution among the heat exchanger units,
- the split ratios.

Evolution in route 3 leads to the same network as in route 1 with the heater on cold stream C2.

Diverse types of splits as shown in Figure 16.3 were investigated for the first split in hot stream H1; related to the investment cost, the differences with the best split are less than 0.07% for route 1 and less than 0.009% for route 2.

Both networks fully satisfy the energy targets. The network with the heater on cold stream C5 has the lowest cost (13317.88 k\$/year); the difference with the other network, however, is marginal (680 \$/year). The 2 networks with 17 units and 10 splits are shown with a heater on cold stream C5 in Figure 16.4, respectively on cold stream C2 in Figure 16.5. In both networks, heat exchanger unit A15 could be replaced by a second cooler, in which case the cost would go up with a marginal 192 \$/year.

After synthesising the networks for a heating load of 10.908 kW, these networks can be further developed into the threshold case with no heating; this leads to a single best solution with a total cost of 5955.09 k\$/year. This network is shown in Figure 16.6.

All results are within less than 0.4% of target costs. They can be compared with published results in Table 16.4. Threshold networks have not been reported in literature.

Table 16.4	Heating	Cooling	Area	# units	# splits	Energy	Capital	Total cost	Heater on
	kW	kW	m ²	-	-	'000 \$/y	'000 \$/y	'000 \$/y	
Faria et al.	151.39	254.85	3 067	11	1	99 309.25	296.78	99 606.03	C5
Kim et al.	151.39	254.85	3 322	12	1	99 309.25	322.01	99 631.26	C5
Nair et al.	42.681	146.14	5 008	13	12	31 961.00	458.29	32 419.29	C4
This study									
Targets	11.91	115.37	4 138	15	-	12 896.50	401.27	13 297.77	
Results	11.91	115.37	4 263	17	10	12 896.50	421.38	13 317.88	C5
	11.91	115.37	4 269	17	10	12 896.50	422.07	13 318.56	C2
DTMin 9.0385 K									
Targets	0.00	103.46	4 369	14	-	5 519.49	413.92	5 933.41	-
Results	0.00	103.46	4 511	16	12	5 519.49	435.61	5 955.09	-

The cost of the best network with an EMAT of 10 K is less than 14% of the cost of the network in [128] and 58.9 % lower than the cost of the best network published so far. With an EMAT of 9.0385 K, no heating is needed, and the cost can drop further with another 55%.

The initial network based on the original grid is not unique but depends upon the sequence of the streams as input for the LP application. A different sequence will generate a different initial network with the same area and area cost but with a different distribution of the loads on the heat exchanger units in the integration bands. With 144 possible permutations in the sequences, there are as many different initial networks, each of which could develop into a network, different from those presented here.

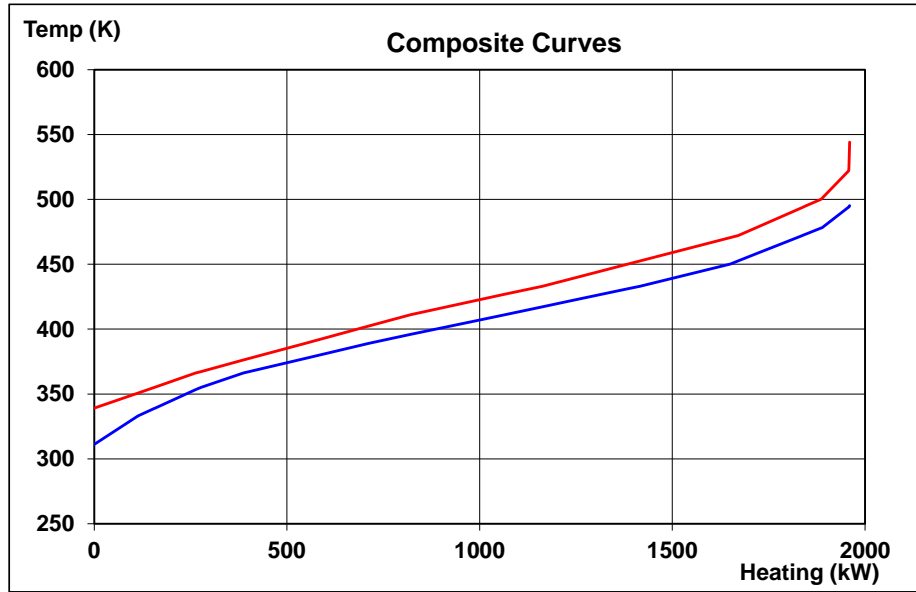


Figure 16.1

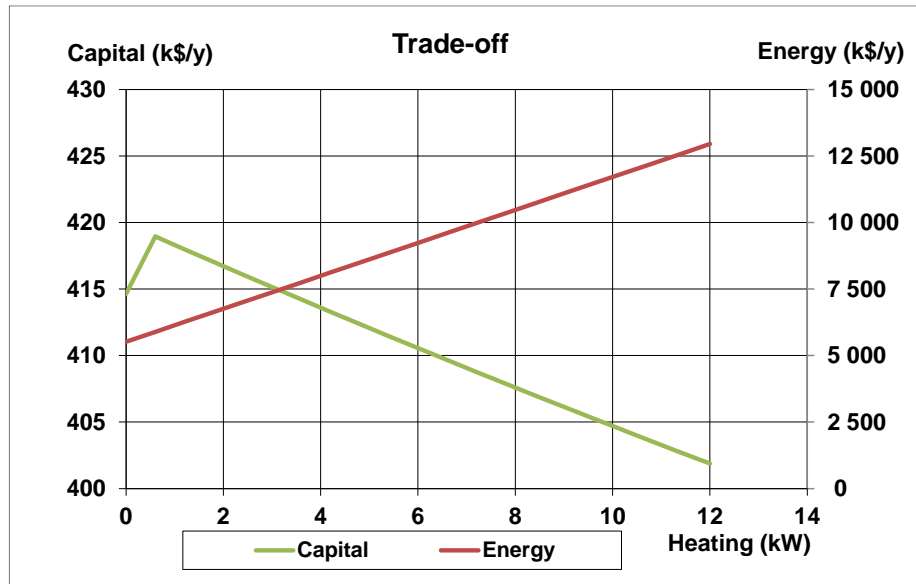


Figure 16.2

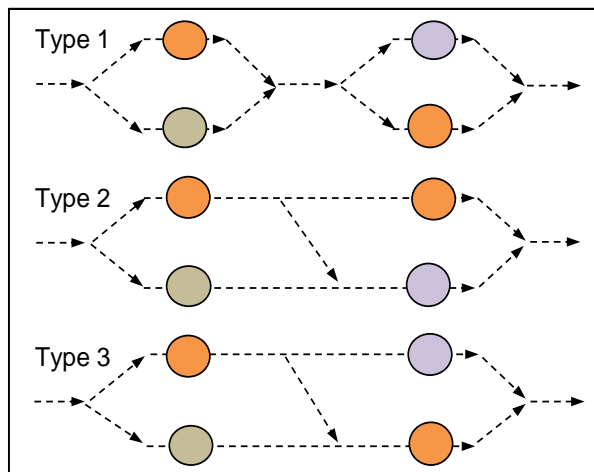
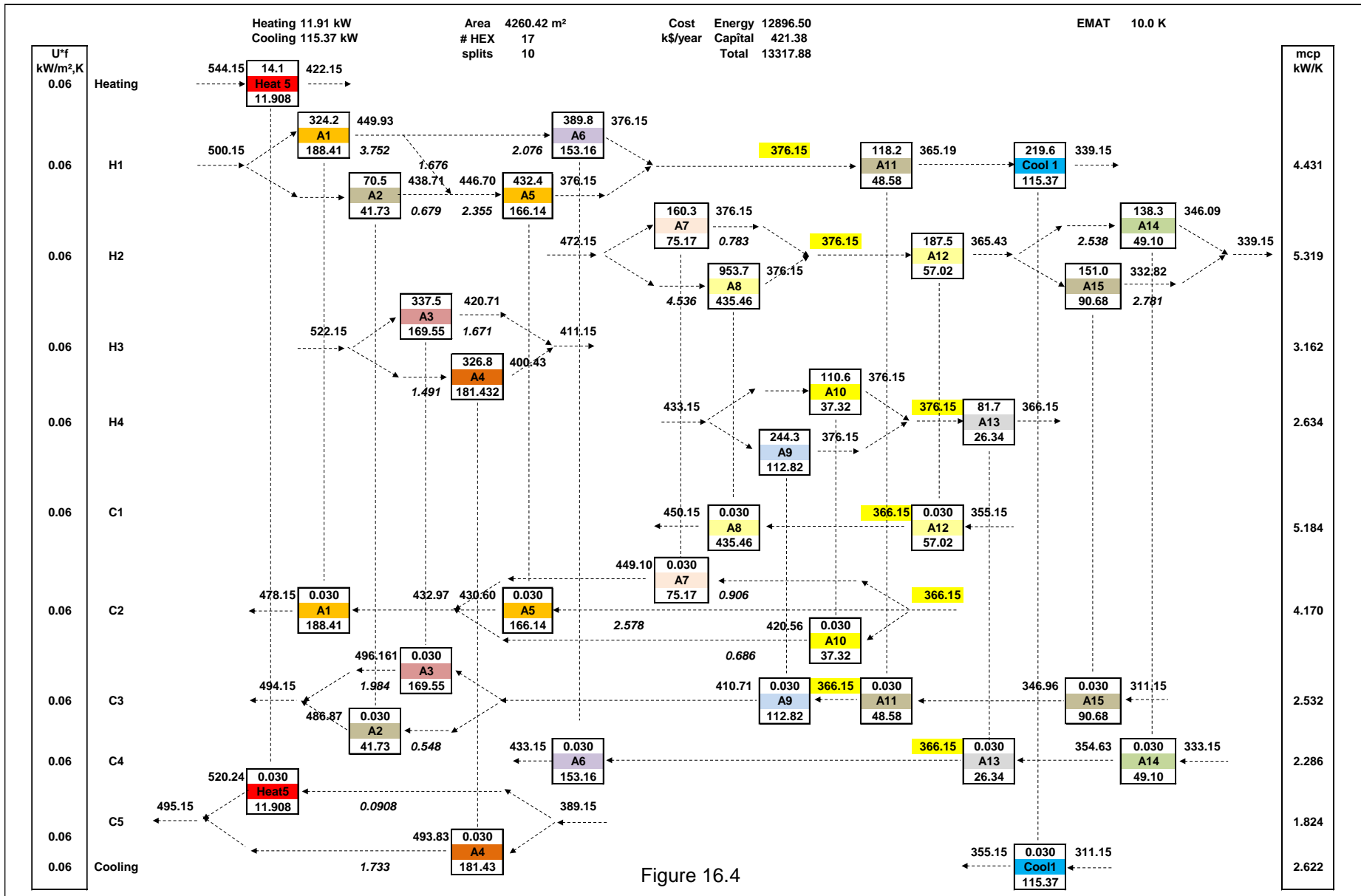
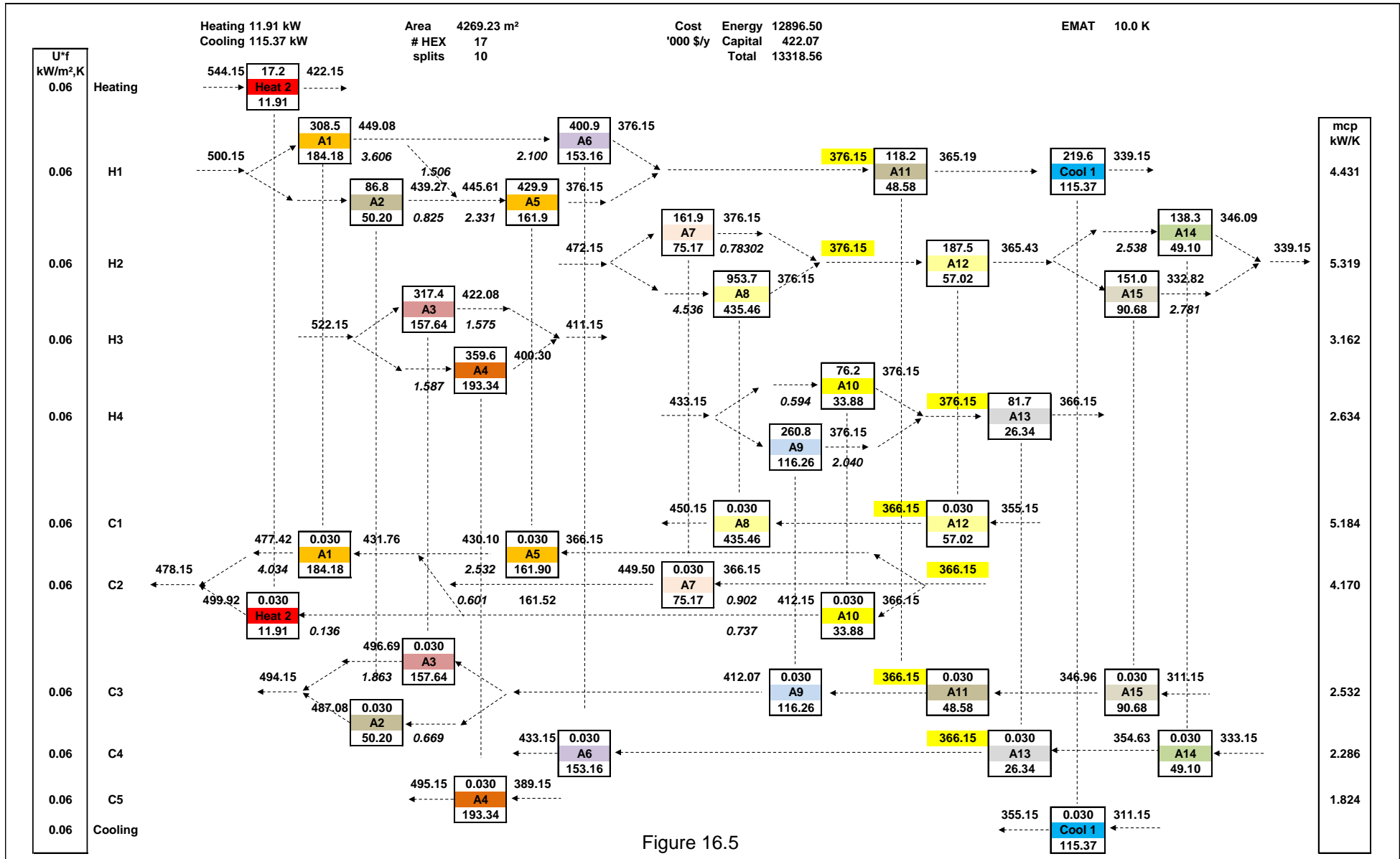
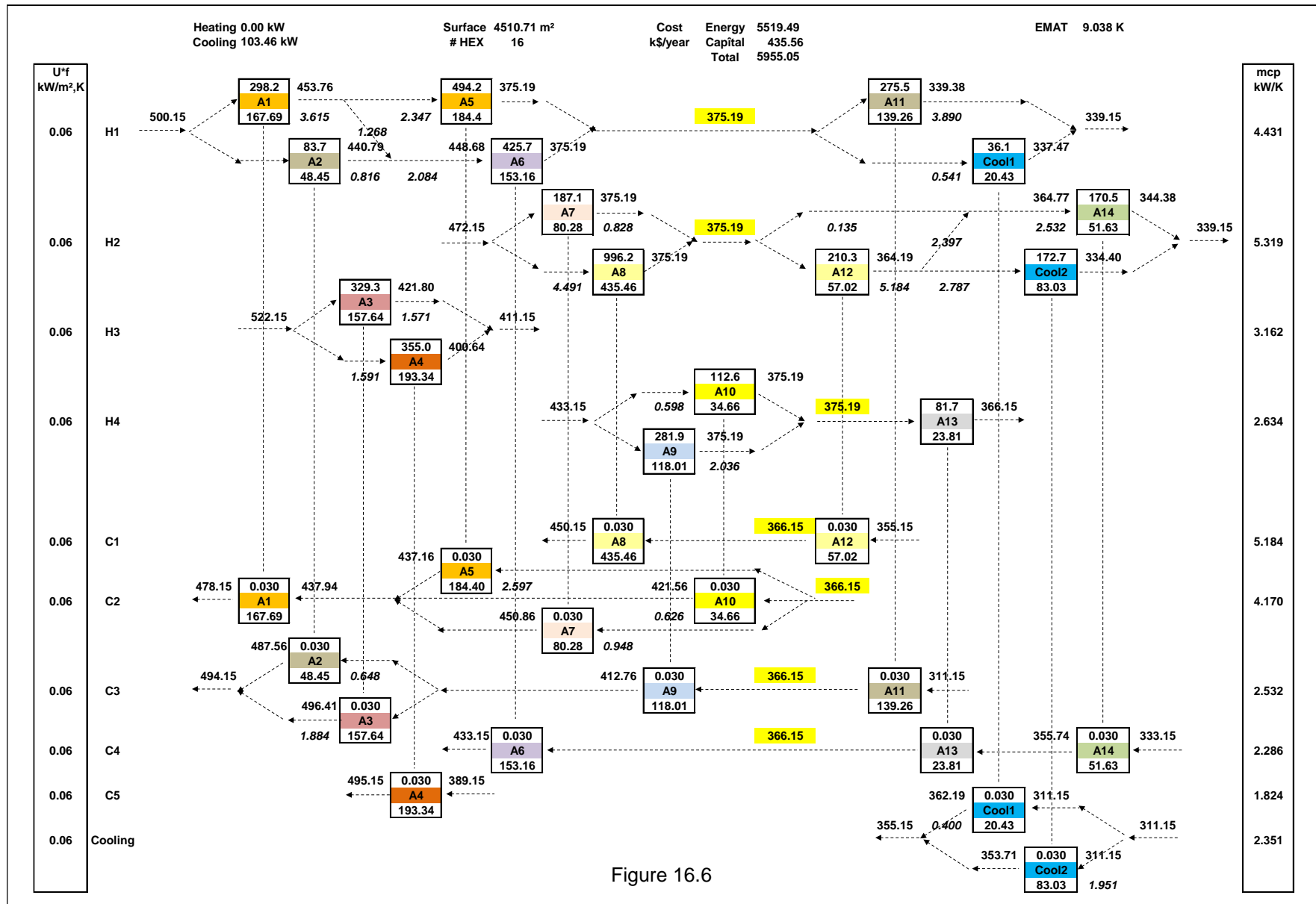


Figure 16.3







17 Literature

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